

Rothamsted Repository Download

A - Papers appearing in refereed journals

Milne, A. E., Coleman, K., Todman, L. C. and Whitmore, A. P. 2020.
Model-based optimisation of agricultural profitability and nutrient
management: a practical approach for dealing with issues of scale.
Environmental Monitoring And Assessment. 192, p. 730.
<https://doi.org/10.1007/s10661-020-08699-z>

The publisher's version can be accessed at:

- <https://doi.org/10.1007/s10661-020-08699-z>

The output can be accessed at: <https://repository.rothamsted.ac.uk/item/8w8y4/model-based-optimisation-of-agricultural-profitability-and-nutrient-management-a-practical-approach-for-dealing-with-issues-of-scale>.

© 27 October 2020, Please contact library@rothamsted.ac.uk for copyright queries.

1 Accounting for landscape interactions in multi-objective optimisation of
2 ecosystem services

3 Alice E. Milne, Kevin Coleman, Lindsay C. Todman & Andrew P. Whitmore

4

5 **Addresses**

6 Alice E. Milne, Kevin Coleman, & Andrew P. Whitmore

7 Sustainable Agriculture Sciences, Rothamsted Research, Harpenden, Herts, AL5 2JQ, UK

8 Lindsay C. Todman

9 School of Agriculture, Policy and Development, University of Reading, Berks, RG6 6AR, UK

10 Corresponding author Alice E Milne (email: alice.milne@rothamsted.ac.uk , Tel: +44 1582 938
11 380)

12

13 **ORCID ID's**

14 Alice Milne (0000-0002-4509-0578)

15 Kevin Coleman (0000-0002-9640-1479)

16 Lindsay Todman (0000-0003-1232-294X)

17 Andrew Whitmore (0000-0001-8984-1436)

18

19 **Acknowledgements**

20 Rothamsted Research receives grant aided support from the Biotechnology and
21 Biological Sciences Research Council (BBSRC) of the United Kingdom. This research was
22 funded by a DEFRA and EU collaborative project "Targets for Sustainable And Resilient

23 Agriculture” (TSARA), received as part of the FACCE-JPI Surplus initiative, the Biotechnology
24 and Biological Sciences Research Council (BBSRC) Institute Strategic Programme (ISP)
25 grants, “Soils to Nutrition” (S2N) grant number BBS/E/C/000I0330, and the joint Natural
26 Environment Research Council (NERC) and ~~the~~ Biotechnology and Biological Sciences
27 Research Council (BBSRC) ISP grant “Achieving Sustainable Agricultural Systems” (ASSIST)
28 grant number NE/N018125/1 LTS-M ASSIST, using facilities funded by the BBSRC.

29

30

31 **Abstract** To manage agricultural landscapes more sustainably we must understand and
32 quantify the synergies and trade-offs between environmental impact, production and
33 ecosystem services. Models play an important role in this type of analysis as generally it is
34 infeasible to achieve this from experiments or monitoring alone. Here we link a relatively
35 detailed, agricultural landscape model with a multiple-objective optimisation algorithm to
36 determine solutions that maximise on both profitability and minimise greenhouse gas
37 emissions in response to management. Optimisation of landscapes for multiple objectives can
38 be complex, particularly when there are a large number of control variables. We explore some
39 practical solutions to such a problem, and show the advantages of using a hierarchical
40 approach to the optimisation, whereby it is applied to finer scale units first, and then the
41 solutions from each optimisation are combined in a second step. We show that if there is no
42 interaction between units then the solution derived using such an approach will be the same
43 as the one obtained if the landscape is optimised in one step. However, if there is spatial
44 interaction, or if there are constraints on the allowable sets of solutions then outcomes can be
45 quite different. In these cases, other approaches to increase the efficiency of the optimisation
46 may be more appropriate – such as partially seeding the population with near optimal
47 solutions.

48

49 **Keywords** Landscape modelling, trade-offs, synergies, environmental impact, multiple-
50 objective optimisation

51

52

53 **Introduction**

54 Agricultural landscapes provide our food, contribute to the way natural resources are
55 managed, and provide areas for recreation and public wellbeing (Westmacott and Worthington
56 2006). Pressures to increase food production have led to many unsustainable agricultural
57 practices which degrade the soil, reduce water quality, increase the likelihood of flooding,
58 impact biodiversity and result in the emissions of greenhouse gases (Bennett et al. 2009;
59 Seppelt et al. 2016; Tilman et al. 2002). Mitigating anthropogenic impacts on the environment
60 and global food security are hence two major challenges, and identifying and exploiting
61 synergies between these should result in social, economic and ecological benefits (Cramer et
62 al. 2017). Sound landscape management strategies are therefore essential for long-term
63 sustainability, and so it is not surprising that there is an increasing amount of research of how
64 we should manage agricultural landscapes to fulfil multiple objectives aligning to production
65 and environmental quality (Kennedy et al. 2016; Groot et al. 2018; Verhagen et al. 2018;
66 Fischer et al. 2017; O'Farrell and Anderson 2010). This ambition, however, inevitably involves
67 trade-offs between conflicting objectives (Howe et al. 2014).

68 In much of the research done on landscape design and management, the recurring theme
69 is around the need to understand and quantify the synergies and trade-offs between
70 environmental impact, production and ecosystem services (Gourevitch et al. 2016; Howe et
71 al. 2014; Kennedy et al. 2016). Approaches that rely on data and measurement are hampered
72 by the fact that it is often infeasible to experiment at the scales (both spatial and temporal)
73 appropriate to how best to manage landscapes. Not surprisingly therefore, computer
74 simulation models have an important role to play in filling the large gaps between what we
75 need to know and what is available from measurements. Many approaches rely on scenario
76 analysis whereby various management strategies or policies are tested through simulation.
77 This is essentially forecasting what an uncertain future may look like starting from a defined
78 present state. Another approach that has become somewhat fashionable is backcasting, here
79 pathways are derived by working backwards from a desired future endpoint (van Vliet and Kok

80 2015; Kanter et al. 2016; Robinson 2003; Nelson et al. 2009). The first step in this approach
81 is to develop a vision of what a desirable future would look like. This is followed by an analysis
82 of how these visions can be realised using either participatory approaches, model based
83 approaches or a combination of the two (van Vliet and Kok 2015). The approach we explore
84 here is to link a, relatively detailed, models of the impact of management on an agricultural
85 landscape with an optimisation algorithm. Linking models of ecosystems services with
86 optimisation algorithms to elucidate mechanisms to fulfil multiple objectives is becoming
87 increasingly popular. Kennedy et al. (2016) used models of agricultural profit, biodiversity and
88 freshwater quality linked to an optimisation algorithm to investigate trade-offs under various
89 land use scenarios. Their objective function was formed from a weighted sum of the individual
90 objectives. They demonstrate the advantages of considering multiple objectives when
91 optimising landscape management strategies, over optimisation based on production or profit
92 alone. Their analysis showed that through joint planning for economic and environmental goals
93 at a landscape-scale, Brazil's agricultural sector can expand production and meet regulatory
94 requirements, while maintaining biodiversity and ecosystem service provision. Others have
95 advocated the use multi-objective optimisation, where by the optimisation algorithm is used to
96 determine Pareto optimal fronts between multiple objectives. The Pareto front describes the
97 trade-off between objective variables such as yield and biodiversity. For example, Verhagen
98 et al. (2018) present a multi-objective landscape optimization for on-farm and off-farm agri-
99 environment measures to maximise fruit production, potential newt habitats, and landscape
100 aesthetics whilst minimising loss of pasture production. The models that they use include
101 lookup tables as well as more complex approaches. Groot et al. (2018) present a landscape
102 modelling framework for multi-scale spatially explicit analysis of trade-offs and synergies
103 among ecosystem services. Similar to the method described here they include a multiple
104 objective optimisation to determine trade-offs between ecosystems services that may be
105 estimated from simple relationships or more complex models. Teillard et al. (2017) apply
106 multiple objective optimization to determine how the spatial planning of agricultural intensity
107 allocation could improve on food production and the diversity of farmland birds on a national

108 scale. There optimisation considers the whole of France with control variables applied at the
109 scale of small agricultural regions, of which there were 590.

110 A challenge that frequently arises is the scale at which we can optimise management
111 in the landscape. For example, if we consider the management of fertilizer on a field-by-field
112 basis across a landscape, without consideration of any other control variable, even then the
113 optimisation can become intractably large, with so many control variables that convergence to
114 an optimal solution is unlikely. Here we consider the implications of taking a hierarchical
115 approach to this type of problem, whereby we optimise the management decisions made on
116 a field-by-field basis first, and then combine these in subsequent steps. We explore the
117 conditions under which such an approach would be beneficial, and where it would not. The
118 application that we consider is how to manage a landscape for improved nutrient efficiency.
119 We work with a simulated landscape based on a 1km x 1km square of arable land in the UK,
120 and demonstrate that our approach can provide solutions to this large-scale problem. In
121 particular, we explore the implications such an approach has when our landscape has
122 substantial spatial interaction or when there are conditions (or constraints) on the allowable
123 set of solutions. We also consider the possible benefits of pre-seeding our optimisation of a
124 landscape with solutions based on optimal solutions at field scale and determine whether this
125 could improve on the rate of convergence of our optimisation. We conclude with some broad
126 recommendations and discuss how more complex scenarios could be approached.

127

128 **Method**

129

130 Landscape model

131

132 We used the Landscape model (Coleman et al. 2017) to simulate the effect of fertilizer
133 management on profit, yield and the environmental. This model operates at a daily time step
134 and simulates the essential processes of soil, water, crop growth and biodiversity for
135 agricultural landscapes in the UK (Fig. 1). Full details are given in Coleman et al. (2017). The
136 model includes a simulation of soil-water dynamics which uses a capacity based approach
137 (Addiscott and Whitmore 1991) where the capacity of each layer depends on soil texture, soil
138 organic matter and bulk density. Water is available for crop uptake and is lost through
139 percolation, runoff, evaporation and transpiration. The soil organic carbon (SOC) dynamics
140 are based on the Rothamsted carbon model, RothC, (Coleman and Jenkinson 2014) Soil
141 organic nitrogen (SON) and soil organic phosphorus (SOP) are modelled in a similar way to
142 the SOC dynamics, both SON and SOP have the same pool structure as the active SOC
143 pools. . Soil mineral nitrogen comprises ammonium (NH_4^+) and nitrate (NO_3^-) and is input
144 through atmospheric deposition, and inorganic fertilizer application. When organic
145 amendments are added, N enters the soil inorganic nitrogen pools by mineralisation. Mineral
146 nitrogen may be taken up by the crop and is lost through runoff, leaching (NO_3^- only) and
147 emissions from the soil. Mineral phosphorus is inputted as fertilizer and may be taken up by
148 the crop and can be lost through runoff.

149 The crop model is a generic plant growth model based on LINTUL (Wolf 2012; Shibu
150 et al. 2010). The model has been parameterised for 20 crops including major cereal crops,
151 grass, potatoes sugar beet, and onions, and also has an arable weed component that
152 simulates 136 weed species (Metcalf et al, 2019, unpublished) (Metcalf et al. 2019).

153 The Landscape model is spatially explicit. This is achieved by considering the area to
154 be modelled as a grid of cells where each cell represents a field or part of a field (depending
155 on the size of field). Soil properties are set in each cell and the soil water of each cell is set to
156 field capacity. Within each cell, we model crop growth, the dynamics of soil water, SOC, SON,
157 SOP, changes in bulk density and nutrient (i.e. inorganic N and P) flows on a daily time step.

158 Water and nutrients can move laterally between cells as runoff, as well as vertically through
159 the soil profile, as drainage.

160

161 Modelled landscapes

162

163 We modelled three arable landscapes with crop and fertilizer (N, P, and farmyard manure)
164 scenarios run for 9 seasons. To explore the basic principles of scaling up the optimisation to
165 landscape scale, first we considered a simple 1x2 grid with no spatial interaction and a crop
166 of continuous winter wheat. We then investigate a similar scenario but this time we assume
167 the landscape has a slope and that water and nutrients flow laterally. For these scenarios, soil
168 properties were based on the soil found in two fields in Silsoe, Bedfordshire, UK, which we
169 examined in a previous study (Lark et al. 2004). We chose these soils because they are
170 contrasting yet found close to one another, making our simplistic landscape plausible yet
171 diverse enough for optimal solutions to vary between cells. The soil conditions for the two
172 fields are shown in Table 1. The model requires initial conditions for soil properties across
173 three layers, but we only had measurements for the top layer (Table 1). We based the soil
174 conditions for the other two layers on some broad assumptions. We assumed that the sand,
175 silt, clay, and pH took the same value throughout the three layers. We assumed that the
176 organic carbon in the second (23–46cm) and third (46–69cm) was 50% and 25% of the value
177 for the top layer respectively. The bulk density for layers 2 and 3 was estimated using the
178 (Rawls 1983) nomogram which uses values of texture and organic carbon to estimate bulk
179 density. As our aim was to simulate plausible field conditions, and not specifically evaluate the
180 two fields from Silsoe, we considered these assumptions acceptable.

181 In our final simulation, we consider a more realistic landscape using a larger 10x10
182 grid which is based on a 1 km x 1 km area of the UK in cereal production. For this simulation,
183 we assume that each field is in a three-year or six-year rotation somewhat typical of a rotation

184 found in the UK (wheat–beans–wheat–barley–wheat–oilseed rape or wheat–wheat–oilseed
185 rape). The point in the rotation that each field is started with varies across the landscape (see
186 Fig. 2). Although we had information on the topography of this area of the UK, we did not
187 have detailed information on soil type. We therefore assumed that the soil properties had a
188 similar range to those we used in our 1x2 grid and allowed the properties to vary in relation to
189 elevation with lighter sander soils associated to cells with higher elevation and heavier soils
190 associated with lower points.

191

192 The Optimisation Algorithm

193

194 We coupled the simulation model with an optimisation algorithm to determine Pareto optimal
195 fronts between multiple objectives defined in terms of outputs from the model. For each
196 management unit (e.g. field), the control variables comprised the amount of N-fertilizer applied,
197 the amount of P- fertilizer applied and the amount of FYM applied. In the optimisation,
198 fertilizer-N can be applied on any of nine dates starting from the sowing date or the 14th
199 February (whichever is later) and then every ten days after. This is a pragmatic way to include
200 variable timing in the optimisation, without explicitly adding timing as an additional control
201 variable (Parsons and Beest 2004), as we expect that many of the nine application rates will
202 be zero. The timings of fertilizer-P and FYM are fixed to a week before sowing and the sowing
203 date, respectively. The N fertilizer variables were bounded between 0 and 300 kg N ha⁻¹ per
204 application, P fertilizer between 0 and 100 kg P ha⁻¹, and the FYM between 0 and 3 t C ha⁻¹.
205 So that our results were straightforward to interpret we restrict the number of objectives to two:
206 profit (£ ha⁻¹) and nitrous oxide emissions (expressed in kg CO₂-e ha⁻¹ year⁻¹ where we
207 assume a conversion factor of 298).

208 The profit function is calculated as sum of the yield multiplied by the price of the crop
209 each season, minus the total cost of applying fertilizer, which is made up of an application cost

210 (£ per application) and the price of the N and P applied (£ and £ respectively). This is divided
211 by the number of years to give the average profit. In the simulations shown here FYM is
212 assumed to be free but does incur an application cost.

213 The optimisation algorithm that we used combines a non-dominated sorting routine
214 from NSGA-II (Deb et al. 2002) with differential evolution (Storn and Price 1997). Our aim is
215 to use the optimisation algorithm to define a Pareto front of optimal solutions. For this we
216 chose to consider a population of 100 solutions. Initially, the optimisation algorithm randomly
217 generates values for the control variables for each member of the initial population. These
218 management strategies are then implemented in the model, and the non-dominated sorting
219 identifies the options that result in the 'best' objectives, i.e. those that are non-dominated. A
220 point is said to be dominated by another if it is worse for every single objective (for example
221 see Coleman et al. 2017). The differential evolution algorithm then combines aspects of the
222 management options that led to non-dominated objectives, along with some randomisation to
223 identify new management options that could potentially perform even better. The process is
224 iterated in directions that the differential evolution algorithm suggests will be an improvement,
225 until the results converge and produce a similar Pareto front with each iteration.

226

227 Landscape optimisation approaches

228

229 We compared four methods for optimising landscape units for our 1x2 grid scenarios.
230 In the first approach (Strategy 1), we optimised the landscape units separately and produced
231 Pareto frontiers for each landscape unit. These Frontiers were then combined in a second
232 step to produce an optimal frontier for the landscape (Todman et al. 2019, unpublished) . Any
233 interaction between the two units was therefore neglected. In the second approach (Strategy
234 2), we assumed that the same management strategy should be applied to all landscape units
235 and optimised accordingly (that is to say, the landscape was optimised at a larger scale). In

236 the third approach (Strategy 3), we optimised the landscape in one step, assuming that each
237 unit was managed separately. For this third approach we compared starting the optimisation
238 with a population set where the control variables were generated randomly with one where
239 half of the population were seeded using the solutions generated when we optimised the units
240 separately (Strategy 4). We also explored the difference between sets of solutions generated
241 using Strategies 1 and 3 when a condition that the amount on maximum amount of N that
242 could leach (an arbitrarily set threshold of 20 kg N ha⁻¹) was imposed on the allowable set of
243 solutions. For each approach, we determined the number of iterations before the solution
244 converged and the time taken for convergence. Based on our findings from this investigation,
245 we applied the optimisation to the larger more realistic 10x10 landscape.

246

247 **Results**

248

249 Optimisation without condition on the maximum amount of N leached

250

251 The number of iterations for the solutions to converge and the times taken are shown in Table
252 2. The time taken for the two single fields to converge, was less than half of that taken for the
253 two-cell grid to converge. When the population of solutions was partially initiated with solutions
254 from the single cell optimisations this time reduced to be similar to that taken for the single cell
255 optimisation. However, the time to optimise the single cells should be also accounted for in
256 this scenario.

257 There was no substantial difference in the time taken for the 1 x 2 grid with spatial interaction
258 to converge compared with the time taken for the grid without spatial interaction.

259 The time for the case where management is assumed to be the same across the 1x2
260 landscape was similar, to the single cell solutions. Based on these results we optimised our

261 10 x 10 cell landscape using solutions from single cell optimisations to initialise half the
262 population of solutions. The other half of the population was initialised randomly. We found
263 that the population of solutions were able to converge to a frontier, although this took a
264 substantial amount of time (see Table 2).

265 The optimised solutions for the two separate fields show distinct populations (Figs 3)
266 that relate to various types of fertilizer treatments. In both fields, there is a population of
267 solutions where only P fertilizer is applied (shown in green). These solutions show low profit
268 and low emissions. In fact, in these solutions applying P fertilizer is not cost effective and only
269 has advantage because the slight increase in yield that it causes results in more N going into
270 the plant and so less lost as N₂O emissions. The populations shown in blue related to solutions
271 where fertilizer-N is applied. Increasing N fertilizer results in larger and more profitable yield,
272 but emissions of N₂O increase. Field 1 has an additional population of solutions (shown in
273 purple) these relate to applications of FYM. This source of fertilizer is cheaper than mineral N
274 so gives greater profit in Field 1 but does also result in greater emissions. There are no
275 equivalent sets of solutions for Field 2. This difference is due to the soil. The soil in Field 1 has
276 a greater content of clay and so additions of FYM have great impact on improving the bulk
277 density of the soil and hence water holding capacity than Field 2. The crop, therefore suffers
278 less stress. The optimised solutions for the 1x2 grids are shown in Fig. 4–6. Combining the
279 two sets of optimal solutions shown in Fig. 3 gives the set of solutions shown in Fig. 4. If there
280 is no interaction between fields, the Pareto optimal frontier of this set of solutions is the same
281 that is given by optimising the landscape as a whole (shown by the black discs in Fig. 4) i.e.
282 the solution of a problem with, in this case, twice as many control variables. If, however, there
283 is interaction between the landscape units (i.e. fields) then the two-step optimisation process
284 does not reach the same solution as when the landscape is optimised in one stage (Fig. 5).
285 We also considered optimising the landscape with the assumption that management was
286 uniformly applied (Fig 6). Not surprisingly, improvements in both emissions and profit can be
287 made if the control is allowed to vary at the finer scale (single cell) rather than be uniformly

288 applied across soils that are substantially different. The improvements, however, are small for
289 the solutions that relate to mineral nitrate application (on average £30 ha⁻¹ year⁻¹ and 30 kg
290 CO₂ eq ha⁻¹ year⁻¹) compared with the solutions where FYM or P-fertilizer is applied. In
291 particular, the two solutions with the largest emissions relate to occasions where FYM is
292 applied in both fields.

293

294 Optimisation with constraints

295 When the constraint was imposed at the larger scale (i.e. when the cells were optimised
296 together rather than separately and then the solutions merged) more solutions were viable
297 (Fig. 7) as N leached in from one cell could be compensated for by the other cell. In particular,
298 this affected the profitability that could be achieved.

299

300 Optimisation of 10 x 10 cell landscape

301

302 The 10 x 10 cell grid converged to a frontier with similar (but less distinct) populations of
303 solutions to that observed for the 1x2 grid (Fig. 8). That is to say, there was a distinct set of
304 solutions that related to P-fertilizer only, which were characterised by low emissions and small
305 profit. A second cluster was characterised by moderate rates of N- and P-fertilizer but little to
306 no FYM. The final set solutions comprised solutions with larger additions of all fertilizer types.

307

308 **Discussion**

309 Optimisation of landscapes for multiple objectives is complex particularly if the management
310 controls available are applied at fine scale, for example, field scale management. In such
311 cases, the number of control variables can become infeasibly large and it may no longer be

312 possible to use an optimisation algorithm. We have explored some practical solutions to
313 approach such a problem.

314 One way to reduce the number of control variables used in any single optimisation step
315 is to take a hierarchical approach whereby the optimisation is applied to finer scale units, for
316 example field scale, and then the solutions from each optimisation are combined in a second
317 step. We show that if there is no interaction between units then the solution derived using such
318 an approach will be the same as the one obtained if the landscape is optimised in one step,
319 provided of course that neither approach gets stuck in a local minimum. A hierarchical
320 approach could also be used if the number of control variables within each spatial unit is large.
321 In this case the control variables could be grouped into sub-groups such that the expected
322 interaction between the control variables within each sub-group is large and the interaction
323 between the sub-groups of control variables is minimal. The advantages of the hierarchical
324 approach are clear, the number of control variables used to determine the solution of a single
325 unit is far fewer and the search space is therefore far less complex meaning that the chances
326 of getting stuck in a local minimum are greatly reduced. Secondly the process of optimising
327 the landscape can be parallelised reducing the time taken to reach a solution.

328 A second solution, is to apply the control variables at a larger scale than an individual
329 unit. We showed that this had clear advantages in the time taken to converge to a solution,
330 and can reduce complexity enormously. To use this strategy wisely, some form of pre-
331 clustering algorithm should be applied to the landscape to group similar landscape units
332 together and apply the controls at the scale of these groupings.

333 The problem is less straightforward if there are interactions between cells. In these
334 cases, the optimal solution discovered using the hierarchical approach is likely to come to a
335 different solution compared with the one found when the landscape is optimised in one step.
336 As we demonstrate, there is also an issue with the hierarchical approach if we apply conditions
337 on the set of allowable solutions at a scale greater than the size of the unit that we optimise.
338 In the example that we consider we imposed a condition that nitrate leaching could not exceed

339 a specified limit per hectare. If this limit is imposed at the scale of the field (or unit cell) then
340 we miss solutions that exploit the opportunity to exceed the limit in certain cells, compensating
341 for this by imposing much lower levels than the threshold in others. This is analogous to
342 imposing a regulation on water quality at catchment scale despite the fact pollutants are
343 generally managed at field scale.

344 Where it is not possible to take a hierarchical approach to the optimisation, it may be
345 advantageous to strategically seed solutions. This is particularly appropriate with the genetic
346 algorithm that we used as it is possible to pre-populate a proportion of the solutions leaving
347 the remaining solutions random and hence maintaining the potential for a broad group of
348 optimal solutions. In our case, we pre-seeded 50% of our solutions with solutions made up of
349 the optimal solutions from the individual units. As our solutions have multiple objectives we
350 needed to ensure that these composite sets were similarly sorted from objectives that favoured
351 lower emissions to those that favoured profit so that the composite solutions were closer to
352 the feasible frontier than one we might expect from random. This approach, admittedly has
353 drawbacks. It is time consuming to set up the initial solution set, and such a construction is
354 more likely to lead the algorithm to get stuck in local minima compared with truly random initial
355 conditions. This risk, however, could be minimised by using different seeding strategies such
356 as using a small percentage of seeded solutions, or seeded with partial solutions (e.g. with
357 the solutions for one spatial unit, but with randomised controls for all other spatial units).
358 Further options for this initial population could also be developed based on the ideas of
359 stakeholders or by generating possible scenarios, as has been done elsewhere (Hu et al.
360 2015). Here, however, we demonstrated that a simple seeding approach can make it possible
361 to optimise relatively large and complex landscape units.

362 In the case study we considered we only looked at two objectives to simplify our
363 exposition, however it is straightforward to include multiple objectives and with this particular
364 model we have included up to six. The two that we chose to use demonstrate a trade-off
365 between production and environment – with little obvious synergy. It is clear the to increase

366 profit we must fertilize but to the detriment of the environment. However, one interesting
367 interaction picked up by the model was that if we increase P-fertilizer, potential yield can
368 increase allowing more N to be taken up by the plant and so less emissions; although the
369 application of P was not cost effective in this case. Interestingly, the clustering solutions as
370 described by Todman et al. (2019) shows that they fall into two or three different fertilization
371 strategies (depending on soil type) that group somewhat along the trade-off curve (i.e. result
372 in similar outcomes). This demonstrates the power of the optimisation approach, in that it
373 elucidates clear patterns which are helpful when evaluating environmental response to
374 management. In particular, we saw that on the clay soil additions of FYM can increase yield
375 substantially but at the cost of increased emissions. This highlights the potential for increasing
376 objective potential but allowing for finer-scale management solutions – as illustrated both by
377 Fig. 6, where we explored the differences that can occur when applying management solutions
378 at coarse scale and Fig 7 where we show that the scale at which a constraint or condition is
379 applied can have a large impact on the sets of allowable solutions. Both of these findings,
380 although not surprising, have serious implications for policy as they show the importance of
381 aligning a policy or management recommendation with the appropriate scale.

382

383 **References**

384

- 385 Addiscott, T. M., & Whitmore, A. P. (1991). Simulation of solute leaching in soils of differing
386 permeabilities. *Soil Use and Management*, 7(2), 94-102.
- 387 Bennett, E. M., Peterson, G. D., & Gordon, L. J. (2009). Understanding relationships among
388 multiple ecosystem services. *Ecology Letters*, 12(12), 1394-1404,
389 doi:10.1111/j.1461-0248.2009.01387.x.

- 390 Coleman, K., & Jenkinson, D. S. (2014). *RothC - A Model for the turnover of carbon in soil:*
391 *Model description and users guide (updated June 2014)*. Harpenden, UK: Lawes
392 Agricultural Trust.
- 393 Coleman, K., Muhammed, S. E., Milne, A. E., Todman, L. C., Dailey, A. G., Glendining, M.
394 J., et al. (2017). The landscape model: A model for exploring trade-offs between
395 agricultural production and the environment. *Science of the Total Environment*, 609,
396 1483-1499, doi:<https://doi.org/10.1016/j.scitotenv.2017.07.193>.
- 397 Cramer, W., Egea, E., Fischer, J., Lux, A., Salles, J. M., Settele, J., et al. (2017). Biodiversity
398 and food security: from trade-offs to synergies. *Regional Environmental Change*,
399 17(5), 1257-1259, doi:10.1007/s10113-017-1147-z.
- 400 Deb, K., Pratap, A., Agarwal, S., & Meyarivan, T. (2002). A fast and elitist multiobjective
401 genetic algorithm: NSGA-II. *Ieee Transactions on Evolutionary Computation*, 6(2),
402 182-197, doi:<http://dx.doi.org/10.1109/4235.996017>.
- 403 Fischer, J., Meacham, M., & Queiroz, C. (2017). A plea for multifunctional landscapes.
404 *Frontiers in Ecology and the Environment*, 15(2), 59-59, doi:10.1002/fee.1464.
- 405 Gourevitch, J. D., Hawthorne, P. L., Keeler, B. L., Beatty, C. R., Greve, M., & Verdone, M. A.
406 (2016). Optimizing investments in national-scale forest landscape restoration in
407 Uganda to maximize multiple benefits. *Environmental Research Letters*, 11(11),
408 114027, doi:10.1088/1748-9326/11/11/114027.
- 409 Groot, J. C. J., Yalew, S. G., & Rossing, W. A. H. (2018). Exploring ecosystem services
410 trade-offs in agricultural landscapes with a multi-objective programming approach.
411 *Landscape and Urban Planning*, 172, 29-36, doi:10.1016/j.landurbplan.2017.12.008.
- 412 Howe, C., Suich, H., Vira, B., & Mace, G. M. (2014). Creating win-wins from trade-offs?
413 Ecosystem services for human well-being: A meta-analysis of ecosystem service
414 trade-offs and synergies in the real world. *Global Environmental Change-Human and*
415 *Policy Dimensions*, 28, 263-275, doi:10.1016/j.gloenvcha.2014.07.005.

- 416 Hu, H. T., Fu, B. J., Lu, Y. H., & Zheng, Z. M. (2015). SAORES: a spatially explicit
417 assessment and optimization tool for regional ecosystem services. *Landscape*
418 *Ecology*, 30(3), 547-560, doi:10.1007/s10980-014-0126-8.
- 419 Kanter, D. R., Schwoob, M. H., Baethgen, W. E., Bervejillo, J. E., Carriquiry, M., Dobermann,
420 A., et al. (2016). Translating the Sustainable Development Goals into action: A
421 participatory backcasting approach for developing national agricultural transformation
422 pathways. *Global Food Security-Agriculture Policy Economics and Environment*, 10,
423 71-79, doi:10.1016/j.gfs.2016.08.002.
- 424 Kennedy, C. M., Hawthorne, P. L., Miteva, D. A., Baumgarten, L., Sochi, K., Matsumoto, M.,
425 et al. (2016). Optimizing land use decision-making to sustain Brazilian agricultural
426 profits, biodiversity and ecosystem services. *Biological Conservation*, 204, 221-230,
427 doi:10.1016/j.biocon.2016.10.039.
- 428 Lark, R. M., Milne, A. E., Addiscott, T. M., Goulding, K. W. T., Webster, C. P., & O'Flaherty,
429 S. (2004). Scale- and location-dependent correlation of nitrous oxide emissions with
430 soil properties: an analysis using wavelets. *European Journal of Soil Science*, 55(3),
431 611-627, doi:10.1111/j.1365-2389.2004.00620.x.
- 432 Metcalfe, H., Milne, A., & Storkey, J. (2019). (paper in preparation). *Ecology Letters*.
- 433 Nelson, E., Mendoza, G., Regetz, J., Polasky, S., Tallis, H., Cameron, D. R., et al. (2009).
434 Modeling multiple ecosystem services, biodiversity conservation, commodity
435 production, and tradeoffs at landscape scales. *Frontiers in Ecology and the*
436 *Environment*, 7(1), 4-11, doi:10.1890/080023.
- 437 O'Farrell, P. J., & Anderson, P. M. L. (2010). Sustainable multifunctional landscapes: a
438 review to implementation. *Current Opinion in Environmental Sustainability*, 2(1-2),
439 59-65, doi:10.1016/j.cosust.2010.02.005.
- 440 Parsons, D. J., & Beest, D. T. (2004). Optimising fungicide applications on winter wheat
441 using genetic algorithms. *Biosystems Engineering*, 88(4), 401-410,
442 doi:10.1016/j.biosystemseng.2004.04.012.

- 443 Rawls, W. J. (1983). Estimating soil bulk density from particle size analysis and organic
444 matter content. *Soil Science*, 135(2), 123-125.
- 445 Robinson, J. (2003). Future subjunctive: backcasting as social learning. *Futures*, 35(8), 839-
446 856, doi:10.1016/s0016-3287(03)00039-9.
- 447 Seppelt, R., Beckmann, M., Ceausu, S., Cord, A. F., Gerstner, K., Gurevitch, J., et al.
448 (2016). Harmonizing Biodiversity Conservation and Productivity in the Context of
449 Increasing Demands on Landscapes. *Bioscience*, 66(10), 890-896,
450 doi:10.1093/biosci/biw004.
- 451 Shibu, M. E., Leffelaar, P. A., van Keulen, H., & Aggarwal, P. K. (2010). LINTUL3, a
452 simulation model for nitrogen-limited situations: Application to rice. *European Journal*
453 *of Agronomy*, 32(4), 255-271, doi:10.1016/j.eja.2010.01.003.
- 454 Storn, R., & Price, K. (1997). Differential evolution - A simple and efficient heuristic for global
455 optimization over continuous spaces. *Journal of Global Optimization*, 11(4), 341-359,
456 doi:<http://dx.doi.org/10.1023/a:1008202821328>.
- 457 Teillard, F., Doyen, L., Dross, C., Jiguet, F., & Tichit, M. (2017). Optimal allocations of
458 agricultural intensity reveal win-no loss solutions for food production and biodiversity.
459 *Regional Environmental Change*, 17(5), 1397-1408, doi:10.1007/s10113-016-0947-x.
- 460 Tilman, D., Cassman, K. G., Matson, P. A., Naylor, R., & Polasky, S. (2002). Agricultural
461 sustainability and intensive production practices. *Nature*, 418(6898), 671-677,
462 doi:10.1038/nature01014.
- 463 van Vliet, M., & Kok, K. (2015). Combining backcasting and exploratory scenarios to develop
464 robust water strategies in face of uncertain futures. *Mitigation and Adaptation*
465 *Strategies for Global Change*, 20(1), 43-74, doi:10.1007/s11027-013-9479-6.
- 466 Verhagen, W., van der Zanden, E. H., Strauch, M., van Teeffelen, A. J. A., & Verburg, P. H.
467 (2018). Optimizing the allocation of agri-environment measures to navigate the trade-
468 offs between ecosystem services, biodiversity and agricultural production.
469 *Environmental Science & Policy*, 84, 186-196, doi:10.1016/j.envsci.2018.03.013.

470 Westmacott, R. N., & Worthington, T. (2006). *Agricultural Landscapes: 33 Years of Change:*
471 *Report of a Study Undertaken During 2005 on Behalf of the Countryside Agency's*
472 *Landscape, Access and Recreation Division: Countryside Agency.*

473 Wolf, J. (2012). User guide for LINTUL4 and LINTUL4V: Simple generic model for simulation
474 of crop growth under potential, water limited and nitrogen limited conditions (pp. 58).
475 Wageningen UR, Wageningen.

476

477

478 **Table 1** Soil properties for the topsoil (0-23cm) of the fields 1 and 2. Here sand has a
 479 particle size distribution between 2000-60 μm , silt is between 60-2 μm , and clay is <2 μm .

	Soil - type	Texture			Organic C	pH	Bulk density
		Sand	Silt	Clay			
		%	%	%	%		(g cm ⁻³)
Field 1	Clay	9.8	14.3	75.8	2.49	7.6	1.231
Field 2	Sandy loam	68.0	17.9	14.2	0.96	6.0	1.337

480

481

482 **Table 2** Time taken for the optimisation to converge and the number of iterations before
 483 convergence was achieved.

Landscape description	Number of control variables	Number of iterations to convergence	Time taken to converge
Single cell field 1	11	48	32 mins, 16 secs
Single cell field 2	11	70	46 mins, 27 secs
Strategy 1: 1x2 cell without interaction	22	85	1 hr, 50 mins
Strategy 2: 1x2 cell with the assumption that management is applied uniformly across the landscape.	11	30	41 mins, 45 secs
Strategy 3: 1x2 cell with interaction and random initial conditions	22	77	1 hr, 40 mins
Strategy 4: 1x2 cell with slope with interaction and initial conditions partially defined by single cell optimisation	22	24	33 mins, 1 sec
10x10 cell optimisation	913	580	21 days, 6 hrs

484

485

486 **Figure Captions**

487 **Fig. 1** A schematic of the landscape model showing the processes that are simulated and how
488 they interact.

489 **Fig. 2** (a) A 1km x 1km landscape in East Anglia, UK (b) A map of the elevation of that
490 landscape (c) the course representation of the landscape in the model with each cell (100 m
491 x 100m). The grey areas represent non-agricultural areas (buildings or woods), the coloured
492 squares indicate the rotation that cell is run with. Yellow, light green, dark green and light
493 blue cells are in a six-year rotation of wheat–beans–wheat–barley–wheat–oilseed rape.
494 Each colour starts at a different point in the rotation. The dark blue and orange cells, are in a
495 wheat–wheat–oilseed rape rotation.

496 **Fig 3** Green p fertilizer only, mineral fertilizer and no FYM (blue) and FYM only (purple). Note
497 that, as increases in nitrous oxide emissions are a negative environmental impact, the y-axis
498 shows values increasing downwards resulting in a convex frontier.

499 **Fig. 4** Comparing the results from optimising the landscape in one stage (black open discs)
500 with the two-stage optimisation, where the results from optimising Field 1 are combined with
501 the results from optimising Field 2 (the frontier of the closed discs). The green discs result
502 from simulations where fertilizer P is applied to both fields, the grey discs indicate solutions
503 where fertilizer P is applied in one field and fertilizer-N or FYM is applied in the other. The
504 blue discs indicate solutions where fertilizer-N is applied in both fields and the purple where
505 FYM applied in Field 2 and fertilizer-N in Field 1.

506 **Fig. 5** The optimisation results from the 1x2 cell optimisation with spatial interaction (blue
507 solid discs) compared with the results where there is no interaction (black open discs). In
508 the case where there is spatial interaction nutrients and water flow from Field 1 to Field 2
509 due to an elevation gradient between the two fields.

510 **Fig. 6** The optimisation results from the 1x2 cell optimisation assuming uniform management
511 across the landscape (red solid discs) compared with the results where the control (fertilizer
512 application) can vary between fields (black open discs).

513 **Fig. 7** Comparing the results from optimising the landscape in (a) one stage with the (b) two-
514 stage optimisation, where the results from optimising Field 1 are combined with the results
515 from optimising Field 2. The black solid discs relate to solutions that comply with the
516 constraint, whereas the red solid discs do not and so the N-leaching limit is exceeded.

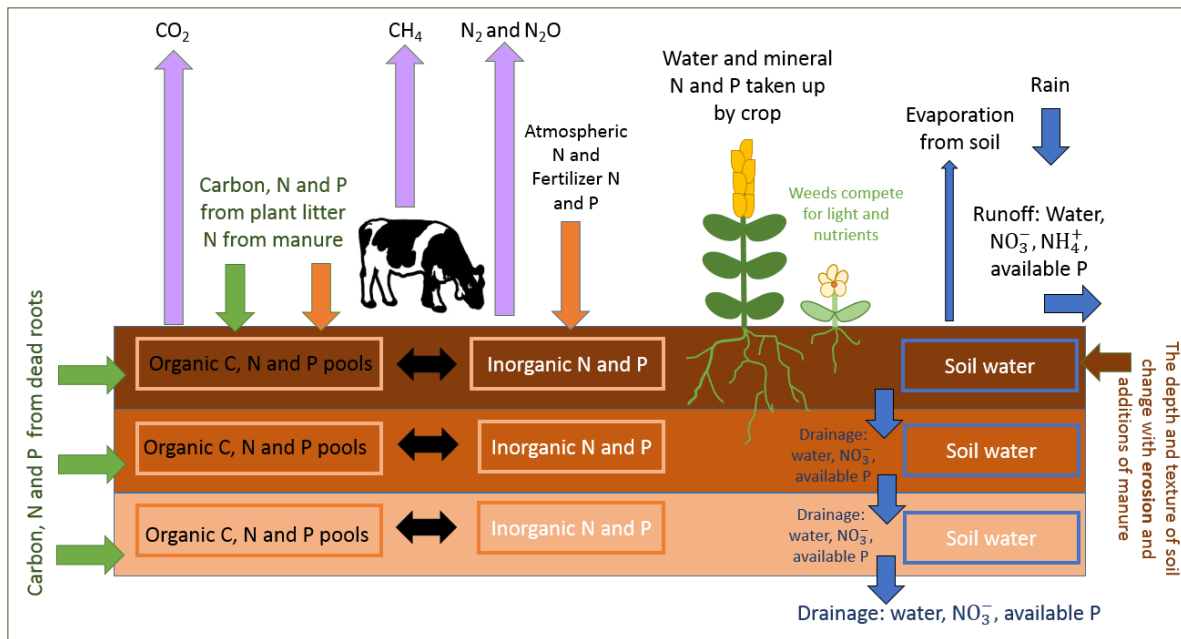
517 **Fig. 8** Green P-fertilizer applied to wheat and oilseed rape only, lower levels of mineral N-
518 and P- fertilizer on all crops and lower levels of FYM applied to oilseed rape (blue) and
519 larger levels of mineral fertilizer with FYM (purple). Note that, as increases in nitrous oxide
520 emissions are a negative environmental impact, the y-axis shows values increasing
521 downwards resulting in a convex frontier

522

523

524

525

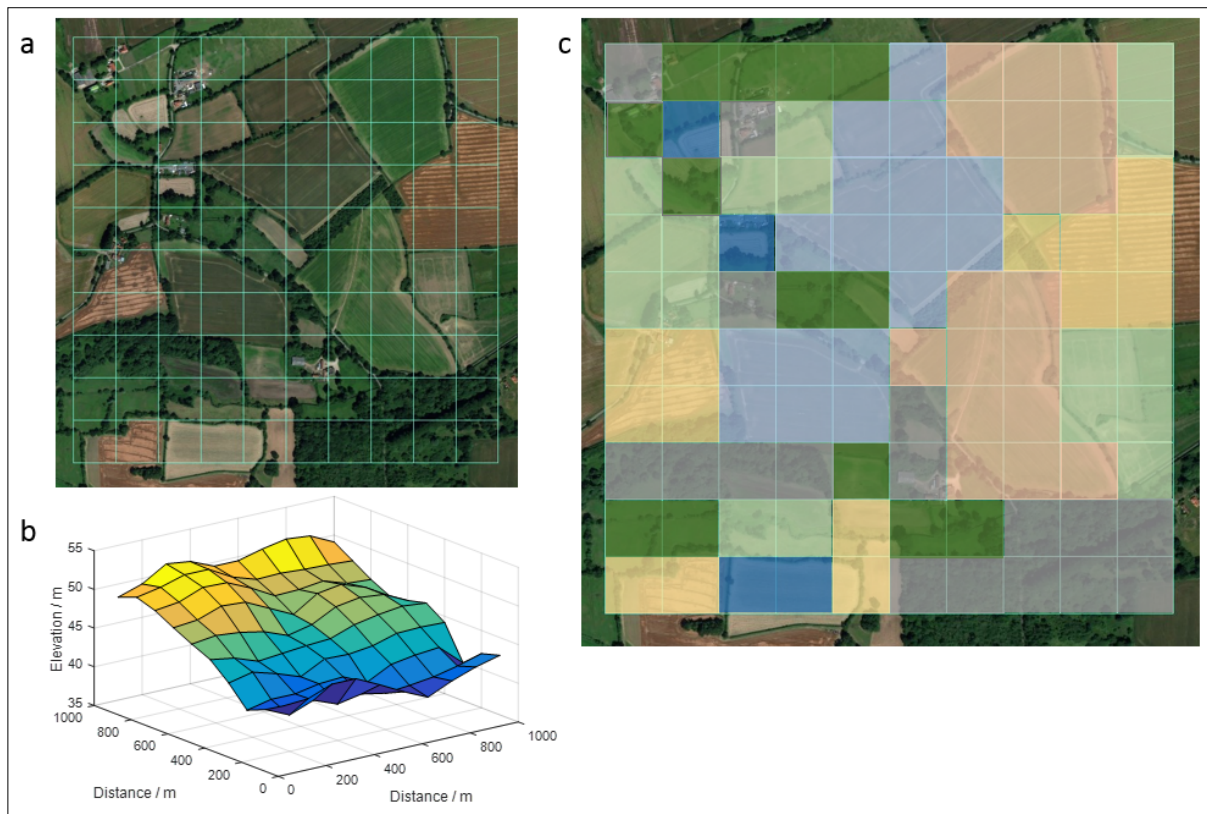


526

527 Fig. 1 A schematic of the landscape model showing the processes that are simulated and how
 528 they interact.

529

530



531

532 Fig. 2 (a) A 1km x 1km landscape in East Anglia, UK (b) A map of the elevation of that
 533 landscape (c) the course representation of the landscape in the model with each cell (100 m
 534 x 100m). The grey areas represent non-agricultural areas (buildings or woods), the coloured
 535 squares indicate the rotation that cell is run with. Yellow, light green, dark green and light
 536 blue cells are in a six-year rotation of wheat–beans–wheat–barley–wheat–oilseed rape.
 537 Each colour starts at a different point in the rotation. The dark blue and orange cells, are in a
 538 wheat–wheat–oilseed rape rotation.

539

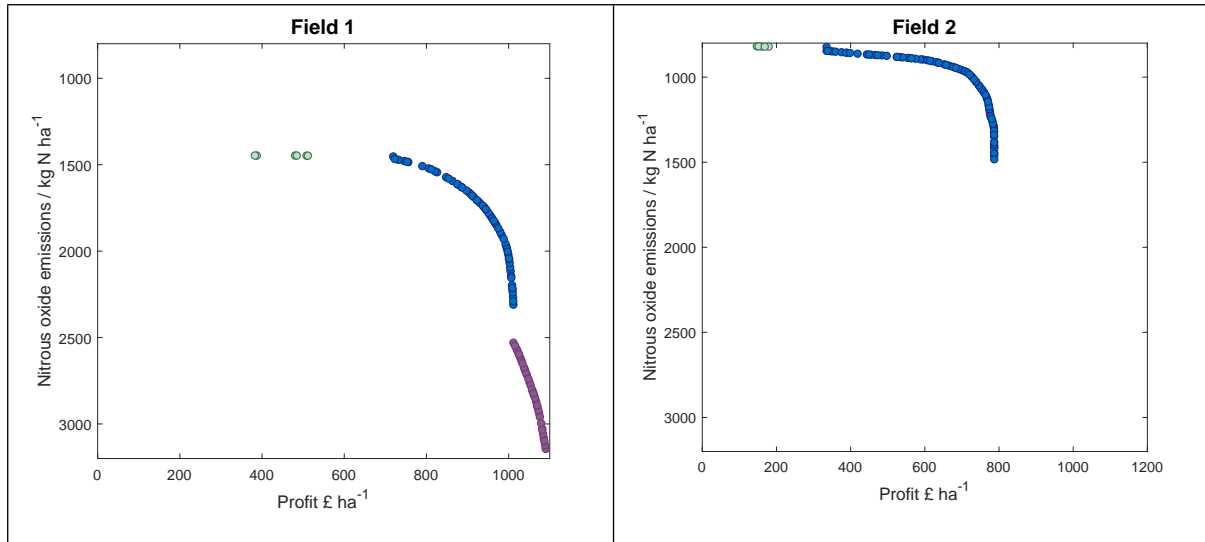


Fig. 3 Green p fertilizer only, mineral fertilizer and no FYM (blue) and FYM only (purple). Note that, as increases in nitrous oxide emissions are a negative environmental impact, the y-axis shows values increasing downwards resulting in a convex frontier.

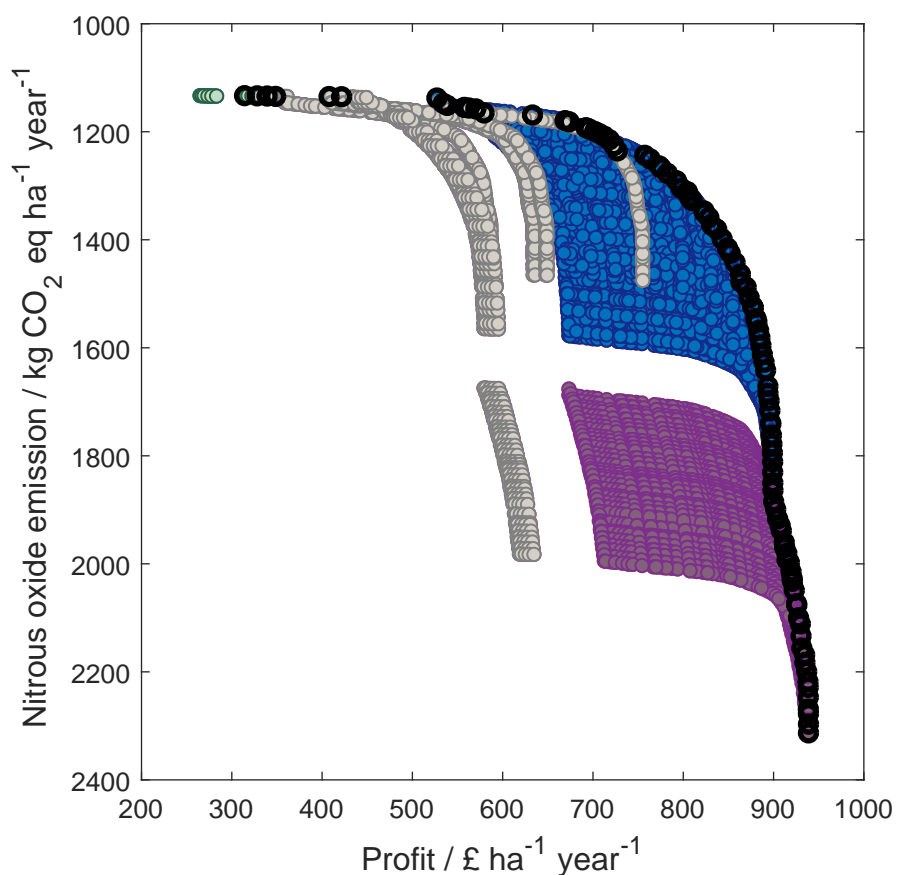
540

541

542

543

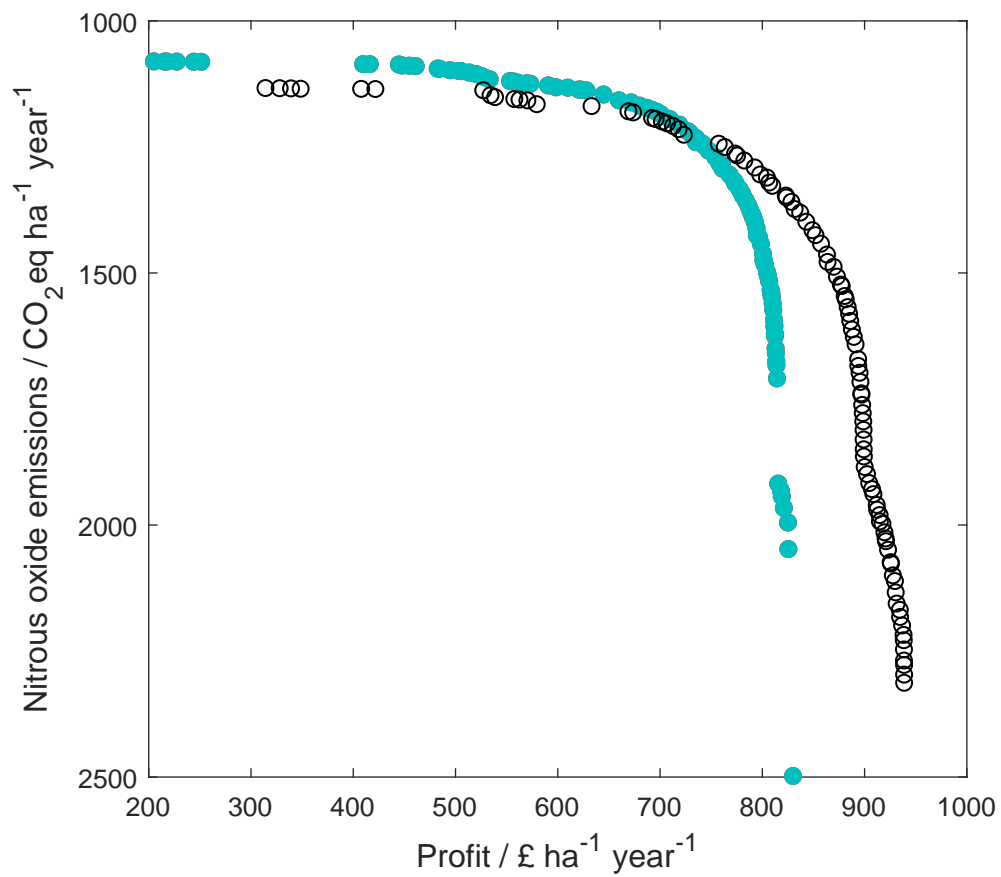
544



545

546 Fig. 4 Comparing the results from optimising the landscape in one stage (black open discs)
 547 with the two-stage optimisation, where the results from optimising Field 1 are combined with
 548 the results from optimising Field 2 (the frontier of the closed discs). The green discs result
 549 from simulations where fertilizer P is applied to both fields, the grey discs indicate solutions
 550 where fertilizer P is applied in one field and fertilizer-N or FYM is applied in the other. The
 551 blue discs indicate solutions where fertilizer-N is applied in both fields and the purple where
 552 FYM applied in Field 2 and fertilizer-N in Field 1.

553

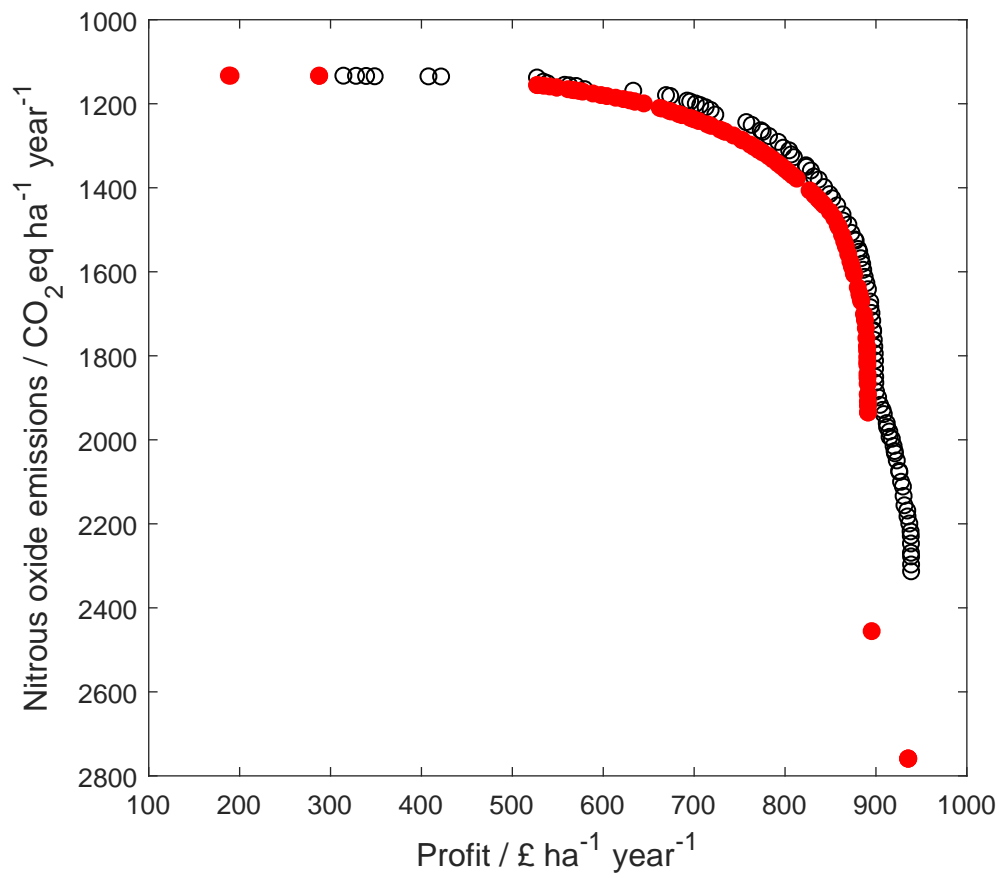


554

555 Fig. 5 The optimisation results from the 1x2 cell optimisation with spatial interaction (blue
556 solid discs) compared with the results where there is no interaction (black open discs). In
557 the case where there is spatial interaction nutrients and water flow from Field 1 to Field 2
558 due to an elevation gradient between the two fields.

559

560

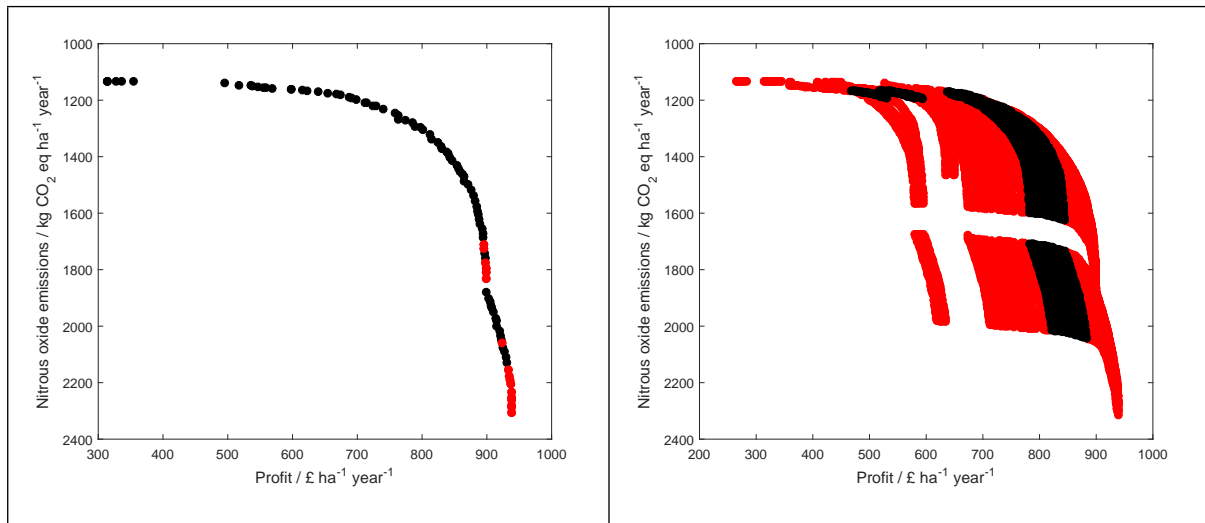


561

562 Fig. 6 The optimisation results from the 1x2 cell optimisation assuming uniform
 563 management across the landscape (red solid discs) compared with the results where the
 564 control (fertilizer application) can vary between fields (black open discs).

565

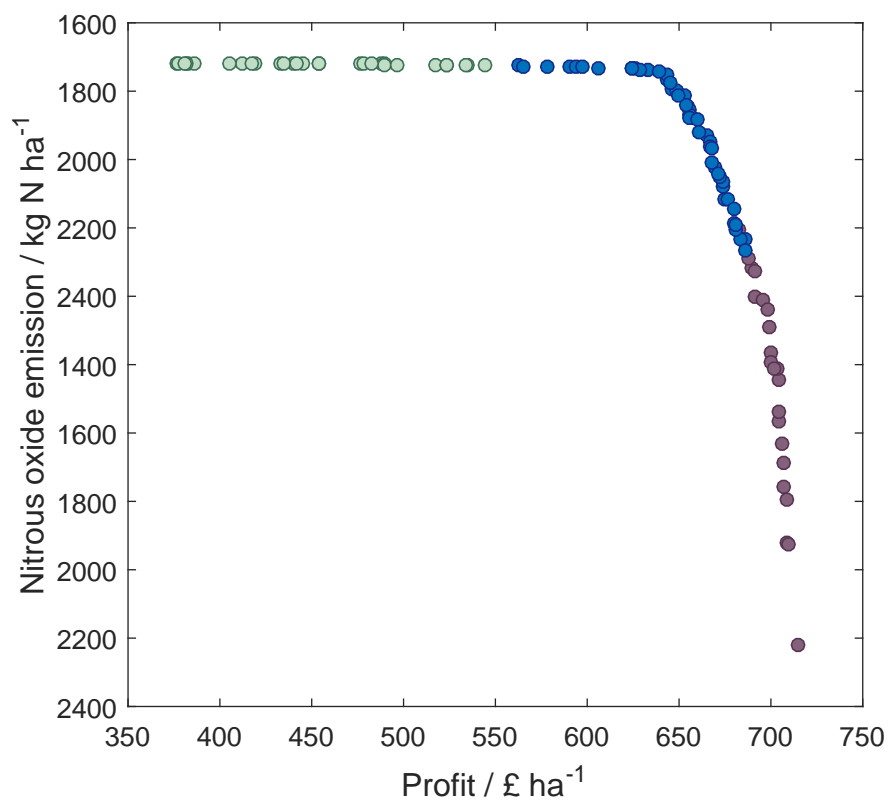
566



567 Fig. 7 Comparing the results from optimising the landscape in (a) one stage with the (b) two-
 568 stage optimisation, where the results from optimising Field 1 are combined with the results
 569 from optimising Field 2. The black solid discs relate to solutions that comply with the
 570 constraint, whereas the red solid discs do not and so the N-leaching limit is exceeded.

571

572



573

574 Fig. 8 Green P-fertilizer applied to wheat and oilseed rape only, lower levels of mineral N- and
 575 P- fertilizer on all crops and lower levels of FYM applied to oilseed rape (blue) and larger
 576 levels of mineral fertilizer with FYM (purple). Note that, as increases in nitrous oxide emissions
 577 are a negative environmental impact, the y-axis shows values increasing downwards resulting
 578 in a convex frontier.

579