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Kanja, C. and Hammond-Kosack, K. E. 2020. Proteinaceous effector discovery and characterisation in filamentous plant pathogens. *Molecular Plant Pathology*. pp. 1-24.

The publisher's version can be accessed at:

- <https://dx.doi.org/10.1111/mpp.12980>

The output can be accessed at:

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1 **Proteinaceous effector discovery** 2 **and characterisation in** 3 **filamentous plant pathogens**

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11 **Summary**

12 The complicated interplay of plant-pathogen interactions occurs on
13 multiple levels as pathogens evolve to constantly evade the immune
14 responses of their hosts. Many economically important crops fall victim to
15 filamentous pathogens which produce small proteins called effectors to
16 manipulate the host and aid infection/colonisation. Understanding the
17 effector repertoires of pathogens is facilitating an increased understanding
18 of the molecular mechanisms underlying virulence as well as guiding the

19 development of disease control strategies. The purpose of this review is to
20 give a chronological perspective on the evolution of the methodologies
21 used in effector discovery from physical isolation and *in silico* predictions,
22 to functional characterisation of the effectors of filamentous plant
23 pathogens and identification of their host targets.

24 *Key words: Effectors, fungal phytopathogens, oomycete phytopathogens,*
25 *bioinformatic effector predictions, in planta methodologies, effector host-*
26 *target interactions*

27 Word Count: 9335

28 **1. Introduction**

29 *"If people think nature is their friend then they sure don't need an enemy."*

30 — Kurt Vonnegut

31 **1.1 The threats from filamentous phytopathogens.**

32 Our expanding global population forces us to intensify our crop
33 production as we prepare to feed 2.2 billion more people by 2050. One of
34 the main biotic challenges facing society to meeting these ever-growing
35 demands are filamentous plant pathogens. Oomycetes and fungi are the
36 causal agents of some of the most notorious plant diseases and are a true
37 threat to our global food security and community structures. Plant disease
38 outbreaks have occurred throughout human history, some of the most
39 infamous include the Irish potato famine caused by the oomycete
40 *Phytophthora infestans* (Turner, 2005), Panama disease caused by

41 *Fusarium oxysporum* f. sp. *ubense* (Gordon, 2017) and wheat stem rust
42 caused by *Puccinia graminis* f. sp. *tritici* (Roelfs, 1985, Singh et al., 2011)

43 **1.2 Effectors and the plant immune response**

44

45 The elegantly described 'Zig-Zag' model by Jones and Dangl (2006)
46 describes a two-tier immune response where pathogen associated
47 molecular patterns (PAMPS) are first detected on host cell surfaces by
48 pattern recognition receptors (PRRs) inducing pattern-triggered immunity
49 (PTI). To evade this response, pathogens secrete effector proteins that
50 manipulate the host and aid colonisation, yet in hosts that have the
51 corresponding resistance (*R*) genes (Flor, 1971), these effectors are
52 detected by receptors such as the intracellular Nod-like receptors (NLRs)
53 that induce effector triggered immunity (ETI) resulting in a hypersensitive
54 response HR and programmed cell death (de Wit, 2016, Zhang et al., 2017).

55 Just as with all models, the story is more complicated and not all
56 features of the plant-microbe interactions are accommodated. Effectors can
57 be highly conserved, thus not under selective pressure to evade host
58 detection, such as the members of the oomycete Crinkler (CRN) effector
59 family or the core fungal effector NIS1 (Depotter and Doehlemann, 2019,
60 Irieda et al., 2019) whilst other effectors are detected extracellularly (van
61 der Burgh and Joosten, 2019).

62 Recent studies suggest that, rather than a two-tier system of
63 immunity, ETI and PTI activate different but interacting pathways leading
64 to plant immunity. The activation of the paired *Arabidopsis* NLRs RRS1-R

65 and RPS4 by the bacterial effector AvrRps4 cannot induce HR without the
66 presence of PAMPS (Ngou et al., 2020). Both co- and pre-delivery of
67 AvrRps4 with PAMPS leads to an increased and prolonged expression of PTI
68 associated defence genes such as BIK1, BAK1 and Rboh; the expression of
69 these genes is not induced by effectors alone (Ngou et al., 2020). Similarly,
70 ETI responses in *Arabidopsis* mutants lacking PRRs are greatly
71 compromised, with the ETI induced ROS production being mediated by PRRs
72 (Yuan et al., 2020). This suggests that PTI is a required component of ETI
73 with mutual potentiation of immune mechanisms triggered by intracellular
74 and cell-surface receptors.

75

76

77 **1.3 The importance of effector research**

78 Hundreds of small proteins, predicted to be effectors, are secreted by
79 filamentous phytopathogens during host colonisation (Dean et al., 2005,
80 Kämper et al., 2006, Yoshida et al., 2009, Duplessis et al., 2011). We have
81 little understanding of the function of most of these putative effectors and
82 each typically shares minimal or no sequence homology to proteins with
83 previously defined functions. However, the effector repertoire of a
84 pathogen is a major determinant of host specialisation and can greatly
85 impact whether the plant-pathogen interaction is successful or not based
86 on the genotype of the host (Raffaele et al., 2010, Sánchez-Vallet et al.,
87 2018).

88 Molecular studies have characterised over 60 fungal effectors across
89 multiple species, however this barely makes a dent in the candidate
90 effector repertoire for each pathogenic species (Sperschneider et al., 2015).
91 For example, the barley powdery mildew fungus *Blumeria graminis* f.
92 sp. *hordei* alone is suspected to have roughly 7% of its genome encoding
93 candidate secreted effector proteins (CSEPs) (Pedersen et al., 2012).

94 Identifying and characterising the function of effector proteins will
95 improve our understanding of their role in disease formation and influence
96 our future strategies to combat them. Fundamental effector research is a
97 key part of devising new plant disease control strategies and this is detailed
98 further in **Sections 3.2** and **6** of this review. Effectors play an important
99 role in crop breeding where, as well as being used to detect resistance
100 genes in new cultivars, characterised effectors can be used to locate
101 susceptibility loci in vulnerable crops (Vleeshouwers and Oliver, 2014). The
102 development of mobile sequencing technology means that genes encoding
103 effectors can also be used to detect the emergence of new strains of crop
104 pathogens in the field and elude to the severity of future disease outbreaks
105 (Radhakrishnan et al., 2019). Effectors function in multiple way including
106 inhibiting host enzymes, modulating plant immune responses and targeting
107 host gene-silencing mechanisms. All features of effectors described in this
108 article are summarised in **Table 1** including their mode of action where
109 known.

110 <table 1.>

111 **2. The chronological perspective of finding** 112 **effectors**

113 *“There is nothing like looking, if you want to find something.”*

114

115 — J.R.R. Tolkien, ***The Hobbit or There and Back Again***

116 **2.1 The proteomics approach**

117 Some of the most well characterised effector proteins come from the
118 biotrophic fungal pathogen *Cladosporium fulvum*, the causal agent of
119 tomato leaf mould and an early model system for fungal effector discovery.
120 *C. fulvum* avirulence (Avr) effectors are a classic example of the gene-for-
121 gene model. The detection of the Avr effector by the host carrying the
122 cognate resistant (*R*) gene can induce a strong immune response in the
123 plant and inhibit *C. fulvum* colonisation (Flor, 1971, De Wit et al., 1986).

124 Early *in planta* studies took advantage of the fact that *C. fulvum* only
125 colonises the tomato leaf apoplast. Secreted proteins could be isolated by
126 collecting apoplastic wash fluid from *Cf*-infected tomato leaves and
127 studying the effects of this fluid on a range of tomato varieties (De Wit et
128 al., 1985). When fluid collected from plants infected with *C. fulvum* races
129 harbouring the *avr9* gene was infiltrated into the near isogenic tomato
130 leaves carrying the *Cf-9* gene a strong hypersensitive response (HR) was
131 triggered. Treating this fluid with proteases confirmed the *Cf-9* mediated
132 HR was triggered by proteinaceous entities (De Wit et al., 1986). The
133 subsequent purification of the small Avr9 (**Figure 1**) then led to the first

134 fungal *Avr* gene to be cloned, whilst its low expression profile *in vitro*
135 suggested for the first time that the host plant plays an important role in
136 inducing *Avr* expression (Schottens-Toma and de Wit, 1988, Van den
137 Ackerveken et al., 1992, van Kan et al., 1991, Van den Ackerveken et al.,
138 1994). The mature *Avr9* is a 28 amino acid protein with a high percentage
139 of cysteines (n=6), features that become important in many subsequent
140 effector identification stories (van Kan et al., 1991).

141 This apoplastic proteomics approach was successfully used to identify
142 additional small cysteine rich *C. fulvum* effectors such as *Avr4* (Schottens-
143 Toma and de Wit, 1988, van den Burg et al., 2006) and was employed to
144 identify *Six1* (*Avr3*) and *Six3* (*Avr2*), in *Fusarium oxysporum* f.
145 sp. *lycopersici* (*Fol*) (Rep et al., 2004, Houterman et al., 2007, Houterman
146 et al., 2009).

147 **2.2 Homology searches**

148 Once an effector has been cloned, the sequence can be used to
149 identify homologous candidates in closely related species. Three elicitors
150 were isolated from *Phytophthora* sp. using proteomics techniques;
151 cryptogein (*P. cryptogea*), cinnamomin (*P. cinnamomi*) and capsicein (*P.*
152 *capsici*) (Huet and Pernollet, 1989, Ricci et al., 1989). Primers were deigned
153 based on conserved regions of the elicitor amino acid sequences and used
154 to probe cDNA libraries from *P. parasitica* leading to the discovery of the
155 host-specific elicitor protein *PARA1* (Kamoun et al., 1993).

156

157 **2.3 Genetic mapping**

158 Prior to the genomics era, the isolation of Avr proteins from
159 intracellular colonising fungal pathogens such as *Magnaporthe oryzae* and
160 haustoria producing pathogens was unsuccessful using the proteomics
161 approach. Instead, in the case of the rice blast fungus *M. oryzae*, map-based
162 cloning techniques were used to clone Avrs such as Avr1-CO39 (Farman
163 and Leong, 1998). Avr1-CO39 was mapped to a region on Chromosome 1
164 by a series of backcrosses of the progeny of the virulent isolate Guy11 and
165 the avirulent isolate 2539 (Smith and Leong, 1994). Later, a chromosome
166 walking strategy led to the physical mapping and identification of Avr1-
167 CO39. The identity of the Avr1-CO39 locus was confirmed by transforming
168 the virulent Guy11 strain with cosmids from the Avr1-CO39 genetic interval.
169 This resulted in a loss of pathogenicity on rice cultivars containing the
170 corresponding functional CO39 resistance gene (Farman and Leong, 1998).
171

172 **2.4 Always lagging behind**

173 By the end of the 20th century, over 30 bacterial Avr genes had been
174 cloned and characterised by screening cosmid libraries, with almost all of
175 these coming from two host-specific species of *Pseudomonas* and
176 *Xanthomonas* (Leach and White, 1996, De Wit, 1997). In comparison using
177 proteomics and genetic mapping, only eight fungal phytopathogen Avr
178 genes had been successfully identified and confirmed to be effectors (Laugé
179 and De Wit, 1998). But all this was about to change.

180 **2.5 Sanger and Next Generation Sequencing (NGS) of** 181 **pathogen genomes**

182 In the early 2000s, the Fungal Genome Initiative (FGI) was established
183 following the publication of a white paper (Birren, Fink and Lander, 2003)
184 to promote the sequencing in the public domain of fungal genomes
185 belonging to species important to human health, agriculture and industry.
186 By 2017 a total of 191 genomes of fungal plant pathogens had been
187 sequenced including the economically important *M. oryzae*, *Fusarium*
188 *graminearum* and *Botrytis cinerea* (Dean et al., 2005, Cuomo et al., 2007,
189 Amselem et al., 2011, Dean et al., 2012, Aylward et al., 2017). This,
190 together with the publication of numerous oomycete genomes including the
191 late potato blight pathogen *Phytophthora infestans* (Haas et al., 2009) as
192 well as extensive *in planta* and *in vitro* transcriptome datasets, has led to
193 an explosion in effector discovery. These techniques for effector discovery
194 are summarised in table 2.

195 <table 2>

196 **3. Refining effector prediction**

197 *“Truth, like gold, is to be obtained not by its growth, but by washing away*
198 *from it all that is not gold.”*
199 — Leo Tolstoy

200 **3.1 Secretion**

201 As the de Wit et al. studies demonstrated, a key feature of effectors
202 is secretion by the pathogen into the host (De Wit et al., 1985, Asai and
203 Shirasu, 2015). Therefore, early studies in effector discovery using
204 sequencing data focused on the predicted secretome.

205 In a bid to identify extracellular effector proteins, Torto et al. (2003)
206 used their PEX-finder algorithm to mine transcript datasets of the potato
207 pathogen *Phytophthora infestans*. The algorithm searched for a specific
208 amino acid sequence known as a signal peptide followed by a cleavage site
209 commonly found at the N-terminus of secreted proteins (Nielsen and Krogh,
210 1998, Torto et al., 2003). Of the 261 cDNAs predicted to code for secreted
211 proteins, 78 had no matches to those found in the public databases, a
212 feature common to candidate effectors. Using high-throughput functional
213 expression assays this study led to the discovery of a large complex family
214 of effectors called crinklers (CRNs) which are found throughout the
215 pathogenic oomycetes (Schornack et al., 2010, Amaro et al., 2017).

216 However, some characterised secreted effectors lack a signal
217 peptide. For example, the effectors, PsIscl and VdIscl, produced by
218 *Phytophthora sojae* and *Verticillium dahliae*, respectively, have been
219 shown to be unconventionally secreted into the respective host to suppress
220 salicylate (SA) -mediated defences *in planta* (Liu et al., 2014).

221 Another difficulty is that such broad criteria leaves a large pool of
222 possible effector candidates that are demanding in both time and
223 resources to functionally characterise with studies often having low

224 discovery rates. The *M. grisea* effector MC69, essential for appressoria
225 formation (Motaung et al., 2017), was the only candidate from 1306
226 putative secreted proteins that was required for pathogenicity following
227 large-scale gene disruptions (Yoshida et al., 2009, Saitoh et al., 2012).

228 **3.2 Domains**

229 The *C. fulvum* effector Ecp6 sequesters the fungal cell wall protein
230 chitin, preventing chitin fragment detection by the host PRRs and thereby
231 evades a host immune response (De Jonge et al., 2010). Ecp6 contains LysM
232 domains which bind to chitin with ultra-high affinity therefore outcompeting
233 host immune receptors (Sánchez-Vallet et al., 2013). The LysM domain
234 found in Ecp6 has now been identified in over 302 putative effectors from
235 62 published fungal genomes, and is conserved among effectors targeting
236 the chitin detection aspect of plant immunity (De Jonge and Thomma, 2009,
237 Lee et al., 2014).

238 On the other hand, the Avr2 effector from *C. fulvum* and the EPIC1
239 and EPIC2 effectors from *P. infestans* both target the tomato defence
240 protease Rcr3 (Song et al., 2009) yet are unrelated and share no sequence
241 similarity; thus relying on the presence of conserved domains could cause
242 many possible candidates to be overlooked.

243 **3.3 Motifs**

244 The first four oomycete Avr effectors cloned, ATR13 and ATR1^{NDWsB}
245 from the downy mildew *Hyaloperonospora parasitica* (Allen et al., 2004,
246 Rehmany et al., 2005), Avr3a from *Phytophthora infestans* (Armstrong et

247 al., 2005) and Avr1b-1 from *P. sojae* (Shan et al., 2004) showed no sequence
248 similarity except for two conserved motifs at the N-terminus. These RxLR
249 and DEER motifs have since been identified as N-terminal host targeting
250 domains and, in *P. infestans*, the RxLR motif in the Avr3a effector is required
251 for translocation into potato cells (Bos et al., 2010, Whisson et al., 2007).

252 RxLR effectors have been identified in multiple *Phytophthora*, *Albugo*
253 and *Hyaloperonospora* species, with 568 RxLR genes being found in *P.*
254 *infestans* alone, making this the largest oomycete effector family to date
255 (Anderson et al., 2015). Rapid variation and host specialisation is attributed
256 to the general lack of sequence similarity in filamentous pathogen effectors,
257 yet this mostly contributes to the variation in the C-terminus of oomycete
258 effector sequences, leaving the N- terminal motifs largely conserved (Win
259 et al., 2007). Conserved motifs such as RxLR and the more downstream
260 DEER are used as powerful bioinformatic tools to isolate putative effector
261 repertoires from genomic sequences (Jiang et al., 2008, Raffaele and
262 Kamoun, 2012).

263 Within pathogenic fungi there is limited evidence for conserved
264 translocation motifs. One possible exception is the [YFC]xC motif found in
265 *Blumeria graminis* f. sp. *hordei* and *Puccinia* spp, members of the phyla
266 *Ascomycota* and *Basidiomycota*, respectively (Godfrey et al., 2010,
267 Duplessis et al., 2011). The evolutionary distance between these two fungi
268 suggest a deep homology in the conservation of this motif, linked to a
269 biotrophic lifestyle that uses haustoria-based feeding.

270 The general lack of sequence similarity, however, or conserved
271 domains, means that bioinformatic approaches to effector prediction needs
272 to go beyond sequence homology.

273 **3.4 Structure**

274 The structural properties of proteins are more highly conserved than
275 amino acid sequences (Illergård et al., 2009) and therefore, could be used
276 as a tool for effector prediction. The structural similarities between the two
277 sequenced *M. oryzae* effectors AVvr1-CO39 and Avr-Pia were found using
278 two- and three dimensional NMR experiments (de Guillen et al., 2015) and
279 led to the discovery of the *Magnaporthe* Avr and ToxB-like effector family
280 (MAX) which contains half of all cloned *M. oryzae* Avrs despite sharing less
281 than 25% sequence identity (de Guillen et al., 2015).

282 The structural analysis of four RxLR oomycete effectors showed the
283 presence of a conserved C- terminus 3- α - helix fold (Boutemy et al., 2011,
284 Yaeno et al., 2011). This 'WY' domain, named after the interacting
285 tryptophan and tyrosine residues, hints to a core, stable protein scaffold as
286 a source of protein function (Wirthmueller et al., 2013).

287 Resolving the structure of known effector proteins provides a useful
288 tool for supporting the candidacy of putative effectors. One of the early
289 effectors to be structurally resolved was ToxA produced by the tan spot
290 fungus, *Pyrenophora tritici-repentis*. The ToxA crystal structure was
291 resolved using X-ray crystallography (1.65Å) and revealed a novel β -
292 sandwich fold (Sarma et al., 2005). Later, the resolution of the flax rust,
293 *Melampsora lini*, effectors AvrL567-A and -D showed a similar β -sandwich

294 fold hinting at the structural homology of unrelated effector proteins (Wang
295 et al., 2007).

296 Recently the structures of two candidate effectors in the poplar rust
297 fungus, *Melampsora larici-populina*, were resolved using NMR. One,
298 MLP124266, is the first fungal protein to present a knottin-like structure
299 (Postic et al., 2017) whilst the other, MLP1124499, shares structural
300 similarity with members of the Nuclear Transport Factor-2 (NTF2)
301 superfamily. In both cases these candidate effectors show no sequence
302 homology with structurally similar proteins and are the first examples of
303 effectors with these structures (de Guillen et al., 2019).

304 **3.5 Rich in cysteines but not in size**

305 The additional criteria for candidate effector selection often requires
306 secreted proteins to be small and cysteine-rich (Sperschneider et al., 2015).
307 The presence of multiple cysteines enables the formation of stabilising
308 disulphide bridges (De Wit et al., 1986, Doehlemann et al., 2009,).

309 Relying on such broad criteria can be problematic as despite many
310 known effectors sharing these features, these are not universal
311 requirements. NIS1, first described in the cucumber anthracnose fungus
312 *Colletotrichum orbiculare* (Yoshino et al., 2012) is conserved across both
313 *Basidiomycota* and *Ascomycota* (Irieda et al., 2019), but contains no
314 cysteines.

315 Relying on the size of mature peptides as a parameter for effector
316 identification can also be problematic. The maximum size of a 'small'
317 protein in effector discovery can be anything from 150 to 400 amino acids

318 (Bowen et al., 2009, Saunders et al., 2012b). However, even the larger size
319 limits would exclude the *P. graminis* f. sp. *tritici* effector AvrSr35 with a
320 mature length of 578 amino acids (Salcedo et al., 2017).

321 With these issues in mind, bioinformatic pipelines have been
322 developed to encompass multiple criteria to refine effector prediction.

323 **3.6 Bespoke bioinformatic pipelines**

324 Saunders et al. developed an *in silico* analysis pipeline that moved
325 away from reliance on sequence similarity based methods for effector
326 identification and included physiological functions such as expression
327 profiles, taxonomic information and genomic features of potential
328 candidates (Saunders et al., 2012b). To identify the repertoire of potential
329 effectors within two rust fungus genomes, a clustering algorithm grouped
330 candidates into families and ranked their likelihood of being effectors based
331 on the knowledge that filamentous pathogen effectors have a least one of
332 eight specific properties. These properties included; the absence of
333 recognised Pfam domains, similarities to haustorial proteins and the
334 presence of internal repeats. The number of candidates continued to
335 functional analysis using this pipeline was greatly reduced (Saunders et al.,
336 2012b). This approach has limitations as it is dependent on the thresholds
337 based on *a priori* assumptions about effector properties; the number of
338 missed effectors remains to be seen.

339 At each step of the general pipeline for effector prediction and
340 subsequent characterisation *in silico* tools, whether bioinformatical
341 software or web-based servers, have been developed to aid effector

342 refinement. The presences of signal peptides, transmembrane motifs or
343 GPI anchors can all be predicted using tools such as SignalP
344 (www.cbs.dtu.dk/services/SignalP/), TMHMM
345 (www.cbs.dtu.dk/services/TMHMM/) and PredGPI
346 (gpcr.biocomp.unibo.it/predgpi/pred.htm) which use neural networks or
347 hidden Markov modelling to recognise motifs within protein sequences
348 associated with these features (Pierleoni et al., 2008, Armenteros et al.,
349 2019). The subcellular localisation of candidate effectors can also be
350 predicted by searching for chloroplast or mitochondrial transit peptides or
351 nuclear localisation signals using tools such as WoLF-PSORT
352 (wolfsort.hgc.jp/) or LOCALIZER (localizer.csiro.au/) (Horton et al., 2007,
353 Sperschneider et al., 2017). Machine learning has also resulted in the
354 development of web-based tools that can predict with 89% accuracy
355 whether proteins in the predicted secretome are effectors or not.
356 EffectorP2.0 (effectorp.csiro.au/) takes into account the net charge and
357 serine/cysteine content of proteins to prioritise candidate effectors for
358 further functional validation (Sperschneider et al., 2018).

359 **3.7 Genomic landscape and transposable elements**

360 Many fungal plant pathogens exhibit a 'two-speed' genome with
361 distinct compartments within the genome evolving at different rates.
362 Alongside 'core' stable regions, which are slow to evolve and often contain
363 genes involved in metabolism, are hypervariable areas with high
364 recombination and richness in repetitive sequences, including transposable
365 elements (TEs). This genomic landscape and the presence of TEs serve to

366 drive adaptive evolution (Faino et al., 2016) and these hypervariable
367 regions often are the location of genes associated with pathogenicity,
368 including effectors (Fouché et al., 2018, Jones et al., 2018).

369 In *M. oryzae* and *Zymoseptoria tritici*, TEs are associated with
370 pathogenicity clusters and are seen to flank the 1st characterised *Z. tritici*
371 effector, AvrStb6 (Bao et al., 2017, Zhong et al., 2017). TEs have also been
372 shown to interfere with effector gene expression via epigenetic control. For
373 example, AvrLm1 in *Leptosphaeria maculans*, located in a TE rich genomic
374 region, showed distinct histone methylation that acts to temporarily
375 suppress expression during colonisation to evade host recognition (Soyer
376 et al., 2014, Fouché et al., 2018). This suggests that the variability of the
377 genomic region or the proximity to TEs maybe useful factors in refining the
378 search for candidate effectors.

379 Following the sequencing, genome assembly and annotation of the
380 tumour-forming maize smut fungus *Ustilago maydis*, ~18% of genes
381 encoding secreted proteins were found to be arranged into twelve discrete
382 clusters within the genome (Kämper et al., 2006). These clusters were co-
383 regulated by a central pathogen-development regulator and expression
384 induced in tumour tissue. Deletions of five clusters caused clear changes in
385 virulence including the largest cluster, 19A, which caused a strong
386 attenuation in virulence and reduced tumour formation upon deletion
387 (Kämper et al., 2006, Brefort et al., 2014). Subsequent sub-deletions of 19A
388 members led to the identification of the effector Tin2, required for
389 anthocyanin production (Brefort et al., 2014, Tanaka et al., 2014).

390 **3.8 Comparative Genomics**

391 By comparing the genomes of *U. maydis* and *Sporisorium reilianum*,
392 Schirawski et al. (2010) found that effector clusters and pathogenicity
393 related regions were more highly diverged between the close relatives than
394 the rest of the genome. This comparison led to the identification of the *pit*
395 gene cluster involved in tumour formation in *U. maydis* (Doehlemann et al.,
396 2011). Within this cluster the secreted effector Pit2, involved in plant
397 defence suppression and cysteine protease inhibition, was found
398 (Doehlemann et al., 2011, Mueller et al., 2013). This same comparison was
399 used to locate gene clusters and candidate effectors in *S. reilianum*, and
400 whilst genes that have a partial impact on disease severity have been
401 identified, as of yet no candidates strongly attenuate virulence (Ghareeb et
402 al., 2019).

403 **3.9 Lineage specific elements**

404 Novel effectors were identified in the asexual fungus *Verticillium dahliae*,
405 where chromosome reshuffling has led to the formation of lineage specific
406 (LS) regions of plasticity in the genome (de Jonge et al., 2013). These LS
407 regions are enriched with retrotransposon and repetitive sequence
408 elements, as well as being the location of many candidate effectors.
409 Contrary to the 'two-speed' genome hypothesis, these LS regions show
410 strong levels of conservation with little to no SNPs being identified, even
411 within the intergenic regions (Depotter et al., 2019). In one such LS region,
412 four putative effectors were identified including the LysM domain

413 containing effector Vd2LysM which was only found in the VdLs17 strain (de
414 Jonge et al., 2013).

415

416 **3.10 Sequence divergence**

417 Molecular variation in filamentous phytopathogen genes is known to
418 be essential for altering pathogen-host interaction outcome and can
419 provide insight into the evolution of virulence (Allen et al., 2008).
420 Polymorphisms in effector sequences among isolates can impact on
421 virulence and are involved in host adaptation; this makes them promising
422 targets for disease control strategies.

423 The genomes of four isolates of the wheat yellow stripe rust fungus
424 *Puccinia striiformis* f. sp. *tritici* (Pst), were re-sequenced and assessed for
425 single nucleotide polymorphisms (SNPs). Proteins that displayed non-
426 synonymous substitutions between Pst isolates that differed in virulence
427 on specific wheat cultivars were identified (Cantu et al., 2013). This led to
428 five secreted polymorphic candidate effectors being refined for further
429 characterisation from a predicted secretome of 2,999 proteins.

430 This sequence divergence has also proved useful in identifying
431 pathogens in the field. Using the Oxford Nanopore MinION sequencer, 242
432 highly variable genes were used to collect real-time population dynamics
433 data of Pst isolates in Ethiopia (Radhakrishnan et al., 2019). This Mobile
434 And Real-time PLant disEase (MARPLE) diagnostic system can be used to
435 monitor for the emergence of plant pathogen strains, but can also be
436 adapted to include newly characterised effectors within the panel of

437 genes. Going forward, MARPLE will allow for the monitoring of mutations
438 and the detection of effector evolution that may be linked to gain of
439 virulence of phytopathogens, all within the confines of the field.

440 **3.11 Association mapping in the sequencing era.**

441 *In silico* predictions of effectors, whilst allowing us to rapidly screen
442 whole genomes for candidates, lack discriminatory power and often result
443 in candidate effectors having no clear impact on pathogen virulence.

444 Genome wide association studies (GWAS) and quantitative trait loci (QTL)
445 mapping can identify loci associated with heritable phenotypic variation,
446 such as virulence, therefore can complement techniques to identify and
447 clone Avr effectors recognised by known host resistance proteins
448 (Plissonneau et al., 2017).

449 The *Zymoseptoria tritici* effector AvrStb6 was isolated in this way
450 (Zhong et al., 2017). Using crosses between two Swiss strains of *Z. tritici*,
451 QTL mapping found a confidence interval containing nine candidates for
452 AvrStb6. Combining this with a GWAS study from over 100 different
453 natural isolates led to one candidate, a small cysteine-rich secreted
454 protein that was not present in the original *Z. tritici* genome annotation
455 (Zhong et al., 2017).

456 An additional benefit of using GWAS in effector discovery is that the
457 natural variation in SNP calling identified in wild populations can be used
458 to quantify how each SNP contributes to pathogen virulence
459 (Sánchez-Vallet et al., 2018). Integrating GWAS with transcriptome
460 dataset, referred to as transcriptome-wide association studies (TWAS)

461 (Wainberg et al., 2019) identified the link between genes and traits across
462 populations and has been used to discover *Blumeria graminis* f. sp. *hordei*
463 Avr_a effectors including Avr_{a9} (Saur et al., 2019a).

Commented [KH1]:

Commented [KH2R2]: What is in Table 2 because we do not refer to this in the main text

464

465 **4. Functional characterisation.**

466 “*Make your work to be in keeping with your purpose*”

467 — Leonardo da Vinci

468 **4.1 Knock out or knock down - let's be disruptive.**

469 One of the simplest ways to determine pathogenicity of a candidate
470 effector is to disrupt the encoding gene and determine whether the
471 virulence on a susceptible host or the Avr phenotype on a resistance
472 genotype is compromised. Early transformation studies of the *C. fulvum*
473 effectors relied on double homologous recombination (HR) to insert a
474 selectable marker into the target gene encoding a known effector such as
475 *ecp1* and *ecp2*, thus disrupting them (Laugé et al., 1997). Later sequencing
476 technology allowed transformations without the need for cloning. Mutants
477 of the corn smut fungus *Ustilago maydis* were made using PCR based
478 protocols combined with protoplast transformation to create candidate
479 effector knock-out mutants (Schulz et al., 1990, Kämper, 2004). This
480 method is widely used and has successfully facilitated the functional
481 characterisation of *U. maydis* effectors including Rsp3 and Cce1 (Ma et al.,
482 2018a, Seitner et al., 2018).

483 *Agrobacterium tumefaciens* mediated transformation (ATMT) is
484 another method to disrupt genes and is widely used in plant
485 transformations. ATMT was first used in fungi in budding yeast in 1995 and
486 then the technique was adapted for use in filamentous fungi, including *M.*
487 *oryzae* (Bundock et al., 1995, Rho et al., 2001). This method relies on the
488 targeted insertion of a selectable marker into the fungal genome from a
489 disarmed Ti plasmid of transformed *Agrobacteria* to disrupt the gene of
490 interest. The selectable marker is incorporated into the fungal genome via
491 homologous recombination, a process that occurs easily in yeast. This
492 mechanism, however, is highly variable in filamentous fungi, where non-
493 homologous end-joining (NHEJ) appears to be the dominant DNA repair
494 pathway over HR (Meyer et al., 2007, Villalba et al., 2008). The Ku70 protein
495 is part of a complex that regulates the NHEJ pathway (Ninomiya et al.,
496 2004), and its deletion has led to the increase of HR in *M. oryzae* from <25%
497 to 80% (Kershaw and Talbot, 2009). Combining ATMT with the generation
498 of $\Delta Ku70$ mutants led to the characterisation of the *Z. tritici* Avr effector
499 AvrStb6 (Zhong et al., 2017).

500 Another, more recent, method of gene disruption is using the genome
501 editing system CRISPR-Cas9. Originally identified as an immune mechanism
502 in bacteria and archaea, the CRISPR-Cas9 system is used greatly as a
503 genome-editing tool in plants, animals and was adapted by Nødvig et al.
504 (2015) for use in filamentous fungi (Mali et al., 2013, Fauser et al., 2014,
505 Nødvig et al. 2015). This technique has led to the targeted gene disruption
506 and consequent characterisation of effectors in the oomycete *Phytophthora*

507 *sojae* and the fungal pathogen *U. maydis* (Fang and Tyler, 2016, Schuster
508 et al., 2018).

509 There are, however, difficulties in producing stable transformants in
510 phytopathogens that are obligate biotrophs (Thomas et al., 2001, Lorrain et
511 al., 2019). In these cases, knock-down technologies such as host-induced
512 gene silencing (HIGS) are more successful. The HIGS assay, detailed in
513 **Figure 2** has led to the identification of many effectors including the barley
514 powdery mildew *Blumeria graminis* f. sp. *hordei* ribonuclease-like effectors
515 BEC1054 and BEC1011 (Nowara et al., 2010, Pliego et al., 2013, Pennington
516 et al., 2019).

517 Gene disruption assays do have their limitations even when
518 successful transformants are produced. Many effector mutants display no
519 associated phenotype. Genetic redundancies, where multiple effectors
520 have the same function, or buffering, where the host compensates or
521 interferes in signalling by using alternative pathways, may result in false
522 negative results (Hillmer et al., 2017, Tyler, 2017).

523 **4.2 *In planta* expression**

524 When a candidate effector is heterologously expressed *in planta*
525 various functional assays can be used to determine the virulence activities
526 of the protein.

527 Necrosis assays monitor for the induction of hypersensitive response
528 (HR)-like cell death which can be a result of Avr/R protein/guard cell protein
529 interactions or be directly induced by the candidate effector. These assays
530 were first carried out using the model plant *Nicotiana tabacum*, which is

531 infiltrated with transformed *Agrobacteria* that delivers the effector gene
532 expressed from a inducible promotor into the plant cell for transient protein
533 production (Kamoun et al., 1999, Qutob et al., 2002, Ma et al., 2012,).

534 In 1999 the *P. infestans* and *C. fulvum* effectors *Inf1* and *Avr9*
535 respectively were transformed into either wildtype or *Cf-9* transgenic *N.*
536 *tabacum* using this method. The assay showed that *INF1* was capable of
537 inducing necrosis in wild-type tobacco whist *Avr9* could only do so in
538 transgenic tobacco expressing the corresponding R-gene *Cf-9* (Kamoun et
539 al., 1999). Later *Avr9* and *Cf-9* were transiently co-expressed in *N. tabacum*
540 using agroinfiltration to confirm the induction of HR in the non-host plant
541 following expression of the Av/R gene pairs (Van der Hoorn et al., 2000).

542 Effector characterisation in non-host dicotyledonous model plants
543 maybe more suited to high-throughput screening than in cereal hosts.
544 However, these highly artificial scenarios do have several limitations. A
545 negative screen with no visible phenotype upon recombinant expression
546 may indicate either the candidate is not an effector or the effector
547 target/receptor is lacking in the model species. Whereas HR induced
548 necrosis in an effector screen may not be caused by an specific
549 effector/target interaction but by non-host resistance (NHR) triggered by
550 detection of the candidate (Kettles et al., 2017). Though of interest, by
551 definition the latter scenario would not occur in native host interactions.
552 Therefore expression assays in the native host maybe the more useful for
553 functional characterisation.

554 Candidate effectors can be transiently expressed in protoplast cells
555 and cell death monitored via the reduction in expression of a co-transfected

556 reporter gene such as GUS ([β-glucuronidase](#)) or luciferase (Chen et al.,
557 2006, Lu et al., 2016). This approach was used to identify the cell death
558 inducing properties of five *M. oryzae* effectors including MoCDIP4 (*M.*
559 *oryzae* cell death inducing protein 4), in rice protoplasts (Chen et al., 2012)
560 and the NLR-mediated recognition of four newly identified barley powdery
561 mildew avirulence effectors, including AVR_{a9}, in barley (Saur et al., 2019a).

562 Cell-death suppression assays are used to detect the alteration of the
563 plant immune response induced by a known cell death elicitor. The
564 overexpression of the stem rust candidate effector PSTha5a23 in *N.*
565 *benthamiana* suppresses *P. infestans* INF1 triggered cell death, indicating
566 that PSTha5a23 plays a role in controlling plant defence responses (Cheng
567 et al., 2017).

568 An alternative method of expressing effectors in plant cells uses the
569 bacterial type III secretion system (T3SS) derived from the tomato bacterial
570 speck pathogen *Pseudomonas syringae* pv *tomato* (DC3000) (He et al.,
571 2004). This system was first adapted for filamentous plant pathogens by
572 Sohn et al. (2007) to deliver oomycete effector proteins into *Arabidopsis*.
573 Sohn et al. showed that, by fusing the downy mildew (*H. parasitica*)
574 effectors ATR1 and ATR13 to the N-terminal secretion-translocation signals
575 of the *P. syringae* effectors AvrRpm1 and AvrRps4, the effectors could be
576 secreted into *Arabidopsis* plant cells and contribute to pathogen virulence.
577 Since then, the T3SS has been used to functionally characterise candidate
578 effectors from multiple oomycetes including *P. infestans* and *H.*
579 *arabidopsidis* (Whisson et al., 2007, Fabro et al., 2011). Despite T3SS being
580 used to screen candidate effectors of stem (*P. graminis* f. sp. *tritici*) and

581 bean rusts (*Uromyces appendiculatus*), this system is rarely used for
582 fungal effector characterisation and has limited success on cereals
583 (Upadhyaya et al., 2014, Saur et al., 2019b, Qi et al., 2019). These problems
584 are linked to the required unfolding and refolding of effectors prior to
585 insertion, especially those rich in cysteine-cysteine bridges.

586 As well as monitoring for necrosis, or lack thereof, the *in planta*
587 growth of another pathogenic species can be used as a proxy to determine
588 the role in virulence candidate effectors play. Stable transformants of the
589 non-host *Arabidopsis* that expressed candidate poplar rust fungus
590 (*Melampsora larici-populina*) effectors were inoculated with the oomycete
591 pathogen *H. arabidopsidis*. Eleven of sixteen effectors tested supported
592 greater sporulation of this native *Arabidopsis* pathogen suggesting that the
593 effectors had the capacity to interfere with processes in a non-host plant to
594 favour pathogenesis (Germain et al., 2018).

595 **4.3 The viral overexpression (VOX) system**

596 Due to the limited effectiveness of both T3SS and Agrobacteria
597 mediated transient expression in most cereal species, viruses have been
598 developed as efficient vectors for heterologous protein expression (VOX)
599 (Lee et al., 2012).

600 The barley stripe mosaic virus (BSMV) was first verified as a tool for
601 protein expression when used to overexpress the luciferase reporter gene
602 in protoplast cells and later to express GFP *in planta* (Joshi et al., 1990,
603 Haupt et al., 2001, Lawrence and Jackson, 2001). The BSMV vector was
604 adapted for use in the VOX system and used to characterise the function of

605 the fungal effector ToxA (Manning et al., 2010) (**Figure 3**). However, the
606 compact nature of the virus results in a negative correlation between
607 fragment size and stability of the viral vector (Avesani et al., 2007, Bruun-
608 Rasmussen et al., 2007).. BSMV-VOX has been widely used for heterologous
609 expression of proteins up to 150 amino acids, however as previously stated
610 there is no agreed size limit for an effector (Bouton et al., 2018) (**Figure**
611 **3a**).

612

613 Another limitation of BSMV for use in effector discovery is that this
614 virus has a tripartite RNA genome (**Figure 3b**). The heterologous protein is
615 inserted into the RNA γ -genome yet all three sub-genomes are required to
616 combine for successful expression *in planta* making BSMV-VOX unsuitable
617 for high-throughput screening assays.

618 The foxtail mosaic virus (FoMV) has been adapted for use in VOX
619 systems in cereals (Bouton et al., 2018). Vectors derived from FoMV such
620 as PV101 avoid many of the caveats of those from BSMV. FoMV has a
621 monopartite RNA genome and the PV101 vector can be used to successfully
622 express proteins up to 600 amino acids in size. In addition, unlike BSMV
623 vectors, PV101 allows for heterologous expression of proteins in their native
624 form, including possible signal peptides, without the need for processing
625 from proteases which may only be 90% efficient (Bouton et al., 2018). In
626 situations where the effector expressed from the VOX vector rapidly
627 triggers R protein mediated defences, virus spread is halted and therefore
628 the phenotypic readout in the bioassay is the lack of systemic spread of the
629 recombinant virus (Saintenac et al., 2018).

630 **4.4 Where do they go?**

631 Knowing the localisation of candidate effectors within host tissues not
632 only demonstrates that the protein can be translocated from the pathogen
633 to its host, but also suggests where the effector target(s) may be found.
634 Traditionally *in situ* hybridisation assays were done where antibodies were
635 raised against the effector or an added epitope tag and detected using
636 transmission electron microscopy (TEM). Translocation of fungal effectors
637 into the host cell was first shown using an immunocytochemical approach
638 in rusts. The gold- and fluorescence-labelling of four independently raised
639 antibodies to the RTP1p protein in *Uromyces fabae* and its homolog in
640 *Uromyces striatus* showed that in later stages of infection RTP1p
641 translocated from the extra-haustorial matrix to inside the plant cell itself
642 (Kemen et al., 2005).

643 For apoplastic effectors, localisation was often determined by means
644 of their isolation. The *C. fulvum* effectors Avr2, Avr4 and Ecp6 were directly
645 isolated from the apoplast fluid, whereas the *P. infestans* protease inhibitor
646 EPIC1 was isolated from the apoplast after antibodies were raised (Bolton
647 et al., 2008, Joosten et al., 1997, Rooney et al., 2005, Tian et al., 2007).
648 Whilst successful, these approaches are laborious, expensive and not suited
649 to high-throughput screening of either apoplastic or cytoplasmic effector
650 candidates (Dalio et al., 2017).

651 The nuclear localisation of the *P. infestans* CRN effectors was
652 determined using N-terminal GFP tagging and confocal microscopy. By
653 overexpression five GFP-CRN (without the signal peptide) fusion proteins *in*

654 *planta* the effectors were shown to accumulate within plant cell nuclei
655 (Schornack et al., 2010). High-throughput screening of 61 candidate
656 effectors (ChECs) from the anthracnose fungus, *Colletotrichum*
657 *higginsianum*, using this method found that whilst nine of the ChECs were
658 imported into the nucleus, others localised to the Golgi bodies, microtubules
659 and peroxisomes; all novel targets for fungal effectors (Robin et al., 2018).

660 The *U. maydis* effectors Cmu1 and Tin2 have been shown to localise
661 to the maize cytoplasm however this could not be demonstrated when
662 fluorescently tagged (Djamei et al., 2011, Tanaka et al., 2014, Tanaka et
663 al., 2015) (). This may be due to the tags inhibiting the partial unfolding of
664 the effectors, thereby preventing their translocation, or the incorrect
665 refolding of the tag themselves upon entering the cytoplasm (Lo Presti et
666 al., 2015).

667 Whilst investigating the translocation of *M. oryzae* effectors in to rice
668 cells, fluorescent tagged cytoplasmic effectors were seen to first
669 accumulate in the plant-membrane derived infection structure the BIC
670 (biotrophic interfacial complex) prior to delivery into the cytoplasm,
671 whereas tagged apoplastic effectors localised to the invasion hyphae
672 (Mosquera et al., 2009, Khang et al., 2010). The BIC's role in effector
673 translocation could only be confirmed by the addition of nuclear localisation
674 signal (NLS) to cytoplasmic effectors causing artificial accumulation in the
675 nucleus of the neighbouring rice cells. This approach concentrated the
676 fluorescent signal into discrete foci observable using live cell imaging
677 (Khang et al. 2010).

678

679 For apoplastic effectors it is difficult to distinguish between apoplastic
680 or cytoplasmic localisation when the fluorescently tagged candidate
681 effectors appear to localise to the plasma membrane or cell wall. Enlarging
682 the apoplastic space by the stepwise addition of hypertonic solutions, a
683 process known as plasmolysis, revealed that the *U. maydis* host-peroxidase
684 inhibitor Pep1 was indeed apoplastic and was evenly distributed throughout
685 the enlarged space (Oparka, 1994, Doehlemann et al., 2009,).

686 Alternatively, the BirA assay does not require the use of large
687 fluorescent tags that may interfere with effector function or localisation.
688 BirA, developed by Lo Presti et al, is based on the bacterial enzyme biotin
689 ligase which biotinylates any protein that has a short (15 aa) peptide Avitag
690 (Lo Presti et al., 2017). Maize lines that expressed the biotin ligase in the
691 cytoplasm were infected with transformed *U. maydis* strains that had either
692 the Cmu1 or the Tin2 effectors tagged with the Avitag. Biotinylation was
693 detected via immunoprecipitation of extracted proteins using streptavidin-
694 coated magnetic beads, thus confirming the tagged effectors had met the
695 biotin ligase in the host cytoplasm (Lo Presti et al., 2017).

696

697 **5. Effector interactions**

698 *“To manage a system effectively, you might focus on the interactions of the*
699 *parts rather than their behaviour taken separately.”*

700

701 **-Russel L. Ackoff**

702

703 Arguably the Holy Grail of effector characterisation is to identify the
704 exact molecular targets of each effector and/or the molecules used by the
705 plant to bind to them. This can lead to defining the precise sequences and
706 molecular interactions occurring at the point(s) of direct contact. The
707 former is very challenging because the effector sequences do not give
708 many clues as to their function(s).

709 **5.1 A shot in the dark- unbiased screening**

710 Unbiased “forward” screening to find protein - protein interactions
711 (PPI) is a common technique used in many aspects of molecular biology.
712 The yeast-two- hybrid system (Y2H), first developed 30 years ago, allows
713 for the large scale screening of cDNA libraries derived from pathogen-
714 infected plants for effector target identification (Fields and Song, 1989,
715 Mukhtar et al., 2011). Interactions detected by Y2H screens must be
716 validated by additional PPI assays as this approach is prone to false
717 positives.

718 The most common Y2H validation technique is co-
719 immunoprecipitation (Co-IP). Co-immunoprecipitation is used to screen
720 effector interactors in heterologous systems. When 20 candidate poplar
721 rust fungus (*Melampsora larici-populina*) effectors were tagged with GFP
722 and expressed in *N. benthamiana*, five were found to specifically interact
723 with plant proteins by pull down assays using anti-GFP followed by protein
724 purification (**Figure 4a**) (Petre et al., 2015).

725 Biotinylation is also used for proximity labelling (PL) based on tools
726 such as BioID (Li et al., 2017). A benefit of PL over co-immunoprecipitation
727 is the possibility of identifying proteins that only weakly or transiently
728 interact with the target (**Figure 4b**). Recently a new PL tool, TurboID had
729 been shown to provide more efficient labelling *in planta* compared to BioID
730 and can also reduce the biotin incubation time from 16 hours to 10 mins
731 (Branon et al., 2018, Zhang et al., 2019). These new advances in PPI
732 technology pave the way for higher-throughput effector interaction
733 screening *in planta*.

734 **5.2 Split-marker complementation (SMC)**

735 The effector Pep1 is essential for the pathogenicity of the corn smut
736 fungus *U. maydis* (Doehlemann et al., 2009). The direct interaction between
737 Pep1 and the plant peroxidase POX12 was validated using the bimolecular
738 fluorescence complementation (BiFC) assay (**Figure 4c**) which involves two
739 parts of a fluorescence marker being fused to candidate interactors. Only
740 when the interactors meet can the full length fluorescent marker assemble
741 and be detected. Alternatively, the firefly derived enzyme luciferase can be
742 used for SMC. This has the advantage over BiFC for *in planta* studies
743 because luciferase does not require excitation by light for detection thereby
744 eliminating auto-fluorescence interference (Li et al., 2011). However, using
745 SMC for PPI validation is not infallible as heterologous overexpression of
746 proteins in *N. benthamiana* can affect protein localisation and therefore
747 interactors.

748 **5.3 Structural interactions - pinpointing the surface contacts** 749 **and their strengths**

750 Knowledge of effector structures whilst in complex with their targets
751 gives us a greater insight into the molecular basis of these cross-kingdom
752 interactions.

753 The *C. fulvum* effector *Avr4* was one of the first to be characterised
754 from a family of effectors that bind to and protect fungal cell-wall chitin
755 from host chitinase (Joosten et al., 1997, van den Burg et al., 2006).
756 Recently the crystalline structure of *Avr4* in complex with its chitin ligand
757 (resolved to 1.95Å) has highlighted the residues required for this function
758 (Hurlburt et al., 2018). Structural mutant studies have also shown that
759 recognition of the *Avr4* by the cognate Cf-4 immune receptor does not
760 depend on the same ligand binding as previously thought (Hurlburt et al.,
761 2018).

762 The crystal structure of the rice intracellular NLR immune receptor *Pik*
763 in complex with the *M. oryzae* effector *Avr-Pik* (1.6Å resolution) reveals
764 molecular details of the recognition event, that leads to HR-induced cell
765 death (Maqbool et al., 2015). The effector surface involved in this
766 interaction was also identified as being involved in the surface interactions
767 between *Avr-Pia* and the NLR-RATX1 in *M. oryzae* (Ortiz et al., 2017).

768 In the past decade protein structures are increasingly being resolved
769 without the need to form crystals or use damaging X-rays but by using cryo-
770 electron microscopy (Cryo-EM). This technique is widely used to resolved
771 proteins in complexes and has been used to show both inactive Arabidopsis

772 NLR complex ZAR1-RKS1 and the intermediate form when the complex
773 interacts with a protein modified by the bacterial effector AvrAC
774 (*Xanthomonas campestris* pv. *campestris*) (Wang et al., 2019). Cryo-EM,
775 despite gaining popularity in structural biology, is unable to resolve proteins
776 smaller than 65kDa, a size exclusion that would include many fungal and
777 oomycete effectors (Muench et al., 2019).

778 The strength of effector/target interactions can determined by using
779 isothermal titration calorimetry (ITC) whereby direct measurement of the
780 heat that is either released or absorbed during the molecular binding event
781 gives a complete thermodynamic picture of the reaction including affinity,
782 enthalpy and stoichiometry (Duff Jr et al., 2011). For the conserved
783 *M.oryzae* MAX effector Avr1-CO39, ITC was used to confirm that direct
784 interaction with the heavy-metal associated (HMA) domain of the rice NLR
785 RGA5 was required for effector binding. (Guo et al., 2018).

786 A greater understanding of how structural interactions aid the
787 specificity of Avr recognition are vital for future work in developing
788 sustainable disease resistance in important food crops.

789

790 **6. Exploiting effector discoveries to control crop** 791 **plant diseases.**

792 *“Knowing is not enough, we must apply. Willing is not enough, we must*
793 *do.”*

794 - **Bruce Lee**

795 The ultimate goal of effector discovery, from identification to
796 characterisation to target interactions, is to apply this knowledge to the
797 control of multiple pathogens that threaten our food security.

798 **6.1 'Effectoromics'**

799 For over 100 years disease resistance loci have been introduced into
800 crops and subsequently shuffled through traditional breeding techniques,
801 whether that be as individual genes or stacked to achieve often only
802 short-lived resistance to pathogens (Vleeshouwers et al., 2011, Langner et
803 al., 2018). Despite this, the search for novel resistance (*R*) genes with
804 durable or broad-spectrum resistance remains ongoing.

805 The term 'effectoromics' is used to describe the use of effectors in
806 high-throughput screening for R protein function in either the germplasms
807 of crop cultivars or a sexually compatible species. Avr effectors can be
808 harnessed to screen rapidly for HR phenotypes, a hallmark of an ETI
809 response (Vleeshouwers and Oliver, 2014). Well established techniques of
810 transient over-expression of Avrs using viral vectors such as PVX (potato
811 virus X) in conjunction with agro-infiltration have been widely used for the
812 identification and cloning of *R* genes in *Solanaceous* species such as
813 potato, tomato and wild *Solanum* species (Takken et al., 2000, Du et al.,
814 2014).

815 The search for broad-spectrum or more robust *R*- genes for breeding
816 purposes maybe more nuanced than previously thought as multiple
817 unrelated *R* genes can recognise the same pathogen effector (Aguilera-
818 Galvez et al., 2018).

819 **6.2 Screening with necrosis inducing effectors to remove** 820 **host susceptibility loci**

821 The necrosis inducing effector ToxA was isolated from the wheat tan
822 spot fungus *P. tritici-repentis* (*Ptr*) in 1996. Infiltration of purified ToxA
823 into the apoplastic space of a susceptible wheat cultivar containing the
824 *Tsn1* susceptibility (*S*) gene, is itself sufficient to induce tan spot
825 symptoms (Tomas et al., 1990, Ballance et al., 1996, Ciuffetti et al., 1997,
826 Welti and Wang, 2004). Wheat breeders routinely use the purified toxin to
827 screen all new wheat germplasm to eliminate susceptible lines from their
828 breeding programmes. This method is preferred over screening for
829 molecular markers linked to the corresponding host susceptibility locus
830 *Tsn1*, due to the ease of application and speed of results (Vleeshouwers
831 and Oliver, 2014). *Tsn1* removal from all newly commercially released
832 wheat varieties has improved resistance to tan spot disease and Australia
833 has seen a 26% reduction in toxA-sensitive wheat grown in the ten years
834 prior to 2016 (See et al., 2018).

835

836 **7. Keeping track of effector discoveries in**
837 **multiple species in an increasingly data rich**
838 **world.**

839 *"A place for everything, and everything in its place"*

840 **- Mrs Beeton**

841

842 In the past two decades effector discovery and characterisation has
843 exploded with regards to crop pests and pathogens. This key information is
844 found in multiple original research publications, review articles, in UniProt,
845 individual pathogen genome browsers and species-specific website.
846 However, to aid future research and guide the direction of work the
847 genotype and fine phenotyping data surrounding these discoveries and new
848 insights needs to be FAIR (Findable, Accessible, Interoperable and
849 Reusable) to molecular plant pathologists as well as the wider life sciences
850 communities.

851 Publicly available repositories of curated data regarding proteins
852 with confirmed roles in pathogenicity and virulence are fundamental tool
853 for effector study. The Pathogen-Host Interactions database (PHI-base,
854 www.phi-base.org) is a manually curated database comprising of over
855 6,780 genes from 268 pathogens of over 210 hosts (September 2019), of
856 which 60% are plants (Urban et al., 2020) . Within PHI-base (version 4.8),
857 799 interaction entries involve 731 distinct functionally characterised
858 fungal or oomycete effectors, from over 40 species. Collectively, these

859 effector entries and their considerable metadata can be used for
860 comparative studies, genome landscape explorations, the enrichment of
861 transcriptome / proteome data sets, PPI network predictions, as well as the
862 starting point for potentially novel artificial intelligence approaches.

863

864

865 **8. Conclusions and outlook**

866 *" Would you tell me, please, which way I ought to go from here?" "That*
867 *depends a good deal on where you want to get to."*

868 **- Alice and The Cheshire Cat, *Alice in Wonderland*.**

869

870 Effectors are the mysterious molecular tools evolved and utilised by
871 plant pathogens in multiple ways. Effector studies are of vital importance
872 in addressing the global food security challenge, yet the explosion in
873 research efforts aimed at understanding effector biology over the last few
874 decades has left us with a dichotomy in our knowledge. Due to early focus
875 on a small number of pathosystems, whether due to experimental
876 convenience or the economic impact of the disease, for some pathogens,
877 such as *M. oryzae*, we have resolved 3D protein structures and know
878 interacting surfaces of multiple effectors and their interactors. In other
879 cases, important crop pathogens such as *Fusarium graminearum*, and the
880 newly emerging pathogens *Ramularia collo-cygni* and *Corynespora*
881 *cassiicola*, although several hundred candidate effectors have been

882 predicted, each lacks functional characterisation (McGrann et al., 2016,
883 Lopez et al., 2018).

884 The arrival of full genome sequencing almost two decades ago has
885 been a double-edged sword. Bioinformatic pipelines and the development
886 of prediction software has sped up the refinement of putative effectors
887 whilst simultaneous highlighting the vastness of the gene repertoires to be
888 investigated. For effector characterisation, the future efficiency not only
889 depends on the development of ultra-high-throughput functional assays but
890 also their use in combination with lower throughput novel and well-
891 established techniques such as QTL mapping and GWAS (Plissonneau et al.,
892 2017).

893 Whilst multiple developments in effector discovery has increased our
894 understanding of these enigmatic proteins arguably the explosion in
895 effector research can be attributed to the development of three
896 approaches: genome sequencing, bespoke bioinformatic pipelines and
897 agrobacteria-mediated transient protein expression *in planta*. Armed with
898 only an annotated genome, even in understudied conifer-infecting fungal
899 pathogens can be screened for the presence of putative effector proteins
900 (Raffaello and Asiegbu, 2017). With this in mind, genome re-annotations
901 and improvements to prediction algorithms continuously widen the pool of
902 effector candidates available, especially in well studied crop pathogens
903 (Zhong et al., 2017, Frantzeskakis et al., 2018). Therefore, perhaps the
904 greatest roadblock to effector discovery is the accuracy of genome
905 assembly and annotation, an issue that will take at least 5- 10 years to
906 resolve with the inclusion of pangenomes (Cissé and Stajich, 2019).

907 The genome annotation of multiple isolates through the construction
908 of pathogen pangenomes allows for intraspecific genome analyse and will
909 provide insight into the links between high polymorphisms and host-
910 specificity. The use of pangenome analyses has already led to the
911 differentiation between 'core' candidate effectors and 'novel' candidate
912 effectors in *Z. tritici* and *M. oryzae* (Badet et al., 2019, Singh et al., 2019).
913 Machine-learning based prediction tools as well as the robotic
914 implementation of the practical molecular techniques, should help to fast
915 track the progress from effector prediction to characterisation. This
916 anticipated progress will undoubtedly erode some of the disparity in our
917 interspecies knowledge and lift the veil on the enigmatic filamentous
918 phytopathogen effector repertoire. Many novel functions, locations,
919 interactions and generic underlying themes remain to be discovered.
920

921 **Acknowledgements**

922 We would like to acknowledge the invaluable input given by friends and
923 colleagues during the drafting of this manuscript including Drs Catherine
924 Walker and Ana Machado Wood. Special thanks go to Dr Jason Rudd for
925 encouragement following the reading of the first draft and Amy Dodd for
926 creating the figures.

927 C.K. is funded by a UK Biotechnology and Biological Sciences Research
928 Council (BBSRC) and the Lawes Trust, Doctoral Training Programme grant
929 (BB/M008770/1). K.H.K. receive BBSRC grant-aided support as part of the

930 Institute Strategic Programme Designing Future Wheat Grant (BB/P016855/1).
931 PHI-base is funded from two UK BBSRC grants BB/K020056/1 and
932 BB/S020020/1.
933

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1835 Figure Legends

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1837 **Figure 1.** A timeline showing the progression of filamentous plant
1838 pathogen effector prediction and identification from the pre-genomic era
1839 to the present day. The first effectors identified using these methods are
1840 included as well as the elicitors used for homology-based searches.
1841 Increasingly, pan-genome data is used to predict core and novel
1842 candidates but as yet none have been characterised by using this
1843 technique. For a recent review of pan-genomics see (Golicz et al., 2019).
1844 Details on individual effectors named are given in Table 1.

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1847 **Figure 2.** The HIGS construct encodes an inverted sequence that forms a
1848 hairpin dsRNA following transcription and is introduced into the host plant
1849 either by transient or stable transformation. The dsRNA is processed to
1850 form small interfering RNA (siRNA), either before or after delivery to the
1851 pathogen cell using the plants innate RNAi machinery. Once inside the
1852 fungal cells the siRNA silences the target effector genes by interfering with
1853 the target mRNA transcripts (Koch et al., 2018). The movement of small
1854 RNA between host and pathogen is detailed by Wang and Dean (2020).

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1857 **Figure 3.** The BSMV-VOX technology adapted from (Lee et al., 2012). A)
1858 virus-mediated overexpression (VOX) system. The heterologous protein
1859 coding sequence is inserted in the γ genome of BSMV, upstream of the in
1860 frame stop codon in the γb ORF. A gene for the autoproteolytic peptide 2A
1861 is also inserted between the 3' terminus of the γb ORF and the gene of
1862 interest for processing the fusion protein during translation, thus releasing
1863 the heterologous protein of interest. B) The BSMV genome is composed of
1864 three RNAs that are capped at the 5' end and form a tRNA-like hairpin
1865 secondary structure at the 3' terminus. RNA α encodes the α replicase
1866 protein containing methyl transferase and helicase domains. RNA β encodes
1867 coat and movement proteins whilst RNA γ encodes the polymerase (POL)
1868 component of replicase, and the Cys-rich γb protein involved in viral
1869 pathogenicity.

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1872 **Figure 4.** Protein-Protein interaction techniques A) Co-
1873 Immunoprecipitation, effectors are tagged with a peptide sequences such
1874 as GFP and expressed *in planta*. Antibodies are used to pull down the
1875 protein complexes that can then be analysed using liquid chromatography
1876 and mass spectrometry (LC-MS/MS) (Petre et al., 2017). B) Biotinylation,
1877 effectors are fused to mutant biotin ligase enzymes and expressed *in vivo*.
1878 The fusion protein catalyses the biotinylation of interacting and proximal
1879 proteins in the presence of biotin. The biotinylated proteins are captured
1880 using streptavidin beads (Roux et al., 2012). C) Bimolecular fluorescence

1881 complementation, the effector and putative interactors are tagged with
1882 non-fluorescent fragments of YFP. Direct interaction of the tagged effectors
1883 results in YFP reassembly visualised *in vivo* or quantified using flow
1884 cytometry (Graciet and Wellmer, 2010, Kerppola, 2008, Miller et al., 2015).

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