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## 6 **Distribution of heavy metals polluting the soil near an** 7 **abandoned mine in Northwestern Mexico**

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### 19 ABSTRACT

20 Abandoned mine wastes pollute the soil in their vicinities and threaten the health of  
21 livestock and human beings. This is the situation around San Felipe de Jesús in  
22 northwestern Mexico. We surveyed 900 ha of agricultural land to assess and map the  
23 concentrations of toxic elements in the topsoil to discover where pollution is serious,

24 what its source might be and to decide whether remediation is needed. The total  
25 concentrations of Pb, As, Zn, Cu and Mn plus Fe and Ca were analysed by X-ray  
26 fluorescence spectrometry. We found that all of the first five elements listed were  
27 concentrated near the tailings pile as a ‘hot spot’ and where the concentrations of Pb,  
28 As and Zn exceed national and international standards. Iron and Ca, in contrast, are  
29 evenly spread throughout the region. The elements Pb, Zn and Mn gradually  
30 decrease in concentration from the tailings pile toward the Sonora River, probably  
31 because they have spread in dust or by water during storms. Arsenic and Cu also  
32 decrease in concentration from the the tailings pile towards the centre of the region,  
33 but they increase again in the soil on the river flood plain, most likely as the result of  
34 spills in the catchment north of the river basin. These results will serve to assess the  
35 risks incurred in the use of the land for agriculture and to define policies for that use  
36 and possible remediation.

37 Keywords Mine tailings; Heavy metal pollution; Nested sampling; Kriging

## 38 **1. Introduction**

39 Mining has caused severe damage to the environment. In particular, metal mining  
40 has led to widespread pollution of soil with potentially toxic elements; its legacy goes  
41 back centuries (Dudka and Adriano 1997; UNEP 2001). There are thought to be  
42 several million abandoned mines around the world. A rough estimate puts the  
43 number at more than 600 000 abandoned mines in South Africa, Australia, UK, USA  
44 and Canada alone (IIED 2002). In Mexico 585 abandoned mines and their associated  
45 tailings are recorded in the latest geo-referenced inventory, but that number will  
46 almost certainly grow as many more sites are identified and are verified  
47 (SEMARNAT, 2021). Many mines were abandoned when ore bodies were exhausted  
48 or when they became unprofitable. Waste materials were left in piles, exposed to rain

49 and wind, and without vegetative cover they spread their toxic loads into their  
50 surroundings for years afterwards (IIED 2002). If nothing is done to prevent it, the  
51 waste will continue as a source of potentially toxic elements (PTE) spread by the  
52 erosion of tailings, in wind-blown dust, and in drainage water. Leaching of the  
53 elements can also acidify the soil, ground water and surface water (Dudka and  
54 Adriano 1997). The fates of the elements once in the soil depend to some degree on  
55 the nature of the soil itself. Most elements are more mobile in acid soil than in  
56 alkaline or calcareous soil (Alloway 2012) and more likely to be leached from the soil.

57 Metals in tailings are among the most damaging legacies of mining in that they  
58 can cascade through the environment into plants and animals and eventually into  
59 human food (Cross et al. 2017). As above, if no action is taken then the pollution  
60 continues to harm the environment and to threaten the health and safety of both  
61 humans and their livestock. The most immediate need in most cases is the assessment  
62 of the concentrations of the pollutants and their distributions in the affected land.

63 The distributions of metal pollutants in soil can vary from one spatial scale to  
64 another because of the natural variation in the soil itself and differences in land  
65 management (Yun et al. 2020). Farmers, their advisors and agencies responsible for  
66 restrictions on land use or remediation need to understand where and on what scale  
67 pollutants are spatially distributed to decide how to manage the land safely and to  
68 develop suitable strategies and methods for soil remediation.

69 Mexico is rich in mineral ores. From the viceroyalty of the 16th century and into  
70 the 20th century extraction was inefficient, and it left large amounts of metal-rich  
71 waste. There was little or no concern for the damage it might do to the environment  
72 or for regulation (Douglas and Hansen 2008). Detailed records are few, and the  
73 extents of lands affected are largely unknown, both in Mexico as a whole and in  
74 Sonora in particular. The tailings deposit around San Felipe de Jesús, the study of  
75 which we describe below, is one example of the legacy left by mining. The  
76 concentrations of lead (Pb), arsenic (As) and zinc (Zn) in the deposit and nearby soil

77 exceed national and international standards (Del Rio et al. 2019). These elements  
78 can be taken up by plants (Loredo-Portales et al. 2020), and, given that this land is  
79 used for agriculture, they represent a serious threat to the safety of food for human  
80 consumption. We surveyed this area to map the distributions of the potentially toxic  
81 elements to identify where remediation is urgent or desirable and where the mobility  
82 of pollutant metals should be studied.

## 83 **2. Materials and Methods**

### 84 **2.1 Case study: San Felipe de Jesús**

85 The study was done in San Felipe de Jesús and Aconchi, Sonora, in Northwestern,  
86 Mexico (Fig. 1). The two towns lie contiguous to one another along the Sonora River  
87 within the Sonora River basin. The regional climate is arid (BSO) with average  
88 monthly temperature ranging from 12.3 °C in January to 30.4 °C in July, but  
89 maximum temperature can reach 47 °C (Brito-Castillo et al. 2010). The average  
90 annual precipitation is approximately 481 mm, with a range from 300 to 600 mm.  
91 Most of the rain falls in July and August (summer) in short spells (SMN, 2020). The  
92 natural vegetation is thorn-scrub dominated by leguminous trees and cacti  
93 (Martínez-Yrizar et al. 2010).

94 Mining started in the region in about 1900. Sampling from the mine workings in  
95 1932 gave grades up to 16.21 oz/ton (470 g tonne<sup>-1</sup>) silver, 21.7 % lead, 29.5 % zinc  
96 and 27.65 % copper. There are no records of production, but as much as 100 tonnes  
97 ore are estimated to have been extracted per day on average. Mining was suspended  
98 in 1944 because of low metal prices. Mining resumed briefly from 1957 to 1959 and  
99 recommenced again from 1963 to 1968. In 1973 a flotation plant was constructed for  
100 processing ore, and that functioned until 1991 (Tietz 2018). The abandoned  
101 laboratories still exist, and in them can be seen the remains of the chemicals used to  
102 analyse the samples.

103 Waste from the mine was piled in Aconchi, 0.5 km to the south of San Felipe de

104 Jesús (Fig. 1). The pile is 140 m to 160 m across at its base, covering approximately  
105 16 300 m<sup>2</sup>, and with a height varying from 2 to 5 m (Espinoza-Madero 2012). The  
106 residues in this pile seem to be the main source of pollution in the neighbouring  
107 agricultural land. The pile is still completely free of vegetation, is subject to wind  
108 erosion during the dry season, and in the summer heavy bursts of rain erode gullies.  
109 During the rainy season, a small stream (named El lavadero) connects the pile to the  
110 Sonora River. Additionally, efflorescent minerals consisting of white crusts have  
111 precipitated on top of the pile by evaporation. These materials can concentrate toxic  
112 elements, and are easily soluble and dispersed by wind, contributing to dispersion of  
113 the elements into the surrounding environment (Bea et al. 2010; Del Rio-Salas et al.  
114 2019; Loredó-Portales et al. 2020).

115 We selected for study an area of 900 ha, most of which is agricultural, along the  
116 Sonora River (with its northwest corner at 572305.56 E, 3303770.15 N to its  
117 southeast corner at 574670.22 E, 3299861.47 N) and close to the abandoned mine  
118 tailing deposit at 572717.27 E, 3302399.27 N (Fig. 1). The soil comprises Regosols,  
119 Fluvisols, and Phaeozems (1:250 000; INEGI 2005), with 40 % or more of sand. It has  
120 a pH ranging between 6.1 and 8.7 (in water), electrical conductivity  
121 25 to 342  $\mu\text{S m}^{-1}$  (in water), 0.1 to 1.2 % of C, and 0.03 to 0.16 % of N.

122 Water is extracted from wells and the Sonora River for irrigation. Agriculture  
123 and cattle raising are the most economically important activities in the area.  
124 Agriculture is practised on the flood plain of the Sonora river. The main crops for  
125 human consumption are groundnuts (*Arachis hypogaea*), garlic (*Allium sativum*) and  
126 maize (*Zea mays*), whereas alfalfa (*Medicago sativa*) and barley (*Hordeum vulgare*)  
127 are the most important forage crops for livestock (SIAP 2019).

## 128 2.2. Survey

129 We mentioned above that the environmental damage and risks to the health of both  
130 humans and their livestock caused by toxic elements depends mainly on their  
131 concentrations and distributions. Our first task at San Felipe de Jesús was to assess

132 these for five potentially toxic elements, namely, lead (Pb), arsenic (As), zinc (Zn),  
133 copper (Cu) and manganese (Mn), and to map them. All five had been reported to  
134 be present in large concentrations in the mine tailings (Del Rio-Salas et al. 2019;  
135 Loredo-Portales et al. 2020), no other potentially toxic elements were found to be  
136 present in important concentrations. We added calcium (Ca) to our list for analysis,  
137 not because it is toxic but because it might help us to understand the mobility of the  
138 toxic metals. We also measured the concentration of iron (Fe) since it displays a  
139 conservative behaviour in the basin (Calmus et al. 2018). Despite the earlier studies,  
140 which focused on the concentrations of the elements in the mine tailings themselves,  
141 we knew nothing of the spatial scales of variation of the elements in the agricultural  
142 soil and so did not know how densely to sample for mapping, for which we should use  
143 kriging, the current best practice. Too sparse sampling could make kriging  
144 impracticable for lack of spatial correlation in the data; dense sampling on the other  
145 hand might be unnecessarily expensive and exceed the budget. Finding a suitable  
146 compromise has been a common problem in environmental science for many years. As  
147 Marchant and Lark (2007) pointed out, by sampling in two or more stages one can  
148 design efficient surveys for mapping; an initial stage provides rough estimates of the  
149 spatial scale(s) of variation, and later stages can fill in the gaps by grid sampling and  
150 concentrated where the contamination seems most serious.

### 151 *2.3. Principles of nested sampling*

152 Pollutants from abandoned mine tailings are spread by wind and water to varying  
153 extents and are not all equally mobile. Their distributions on neighbouring land can  
154 be further modified by the way the land is managed. So before one can design a  
155 sampling scheme suitable for mapping the distributions one needs to know what the  
156 spatial scales of variation are, as Lark et al. (2017) pointed out.

157 Youden and Mehlich (1937) were the first to propose a spatially nested sampling  
158 design to discover the spatial scales of variation in soil. They sampled soil at  
159 locations arranged hierarchically into clusters separated by fixed distances but with

160 random orientations. Each distance corresponded to one level of the hierarchy, and at  
161 each sampling location they selected two substations, and so on. An analysis of  
162 variance of their measurements allowed them to partition the variance of the  
163 measured properties into components associated with each level of the design. By  
164 accumulating the components in sequence from the smallest to the largest distance  
165 one can obtain a crude variogram. The technique lay dormant in soil survey until  
166 Webster and Butler (1976) resurrected it for a soil survey in the Southern Tablelands  
167 of Australia. In both surveys the designs were balanced with four levels. Adding  
168 more levels to refine the spatial structure while maintaining balance would soon make  
169 the technique unaffordable because the size of the sample would double with each  
170 added level. Further, the doubled degrees of freedom at the lower levels would be  
171 unnecessarily large for estimation of the components of variance for the smallest  
172 separating distances.

173       Since then the basic design has been elaborated, sacrificing balance for economy.  
174 Oliver and Webster (1987), for example, designed a scheme with five levels but  
175 without doubling the sampling at the lowest level, and Atteia et al. (1994) extended  
176 the principle to six levels without doubling the sampling in the fifth and sixth levels.  
177 More recently Lark (2011) devised a strategy for optimizing such nested schemes (see  
178 also Webster and Lark 2013), and Lark et al. (2017) applied it in a survey of heavy  
179 metals in the soils near a large tailings dam in Zambia. We adapted the strategy for  
180 our survey of the polluted soil at San Felipe de Jesús.

#### 181 *2.4. Implementation of nested sampling*

182 Our initial sampling was an unbalanced nested design with six stages with distances  
183 increasing in an approximately threefold progression from 3.6 m to 1050 m (3.6, 11,  
184 33, 100, 300 and 1050 m). The first stage comprised eight main centres placed  
185 randomly over the region with an average distance between nearest neighbours of  
186 approximately 1050 m (Fig. 1). From each main centre, three second sites were  
187 chosen 300 m apart on an equilateral triangle (Fig. 2). From each vertex of the

188 triangle, five sites were allocated 100 m away to comprise the third-stage. The next  
189 level contains five sites at 33 m separation, the fifth and sixth levels are composed of  
190 four sites at 11 m separation, and three sites at 3.6 m separation, respectively  
191 (Fig. 2). This gave a total of eight main centres, with 20 points to each, and therefore  
192 160 soil sampling points in all. At each site at any one stage, from the second level  
193 onwards, points were placed on random orientations to comply with the random  
194 effects model. The sampling points are shown by red discs in Fig. 1. Once the site  
195 was located, we used a GPS (Garmin eTrex10) to geo-reference the point. Table 1  
196 sets out the corresponding analysis of variance for this design.

197 At each sampling point in the design we took five samples of topsoil (0–30 cm) at  
198 the vertices and centre of a square of 50 m  $\times$  50 cm and bulked them. Each sample  
199 was put in paper bag in the field, air-dried in the laboratory and sieved to pass  
200 2 mm. The sieved sample was reduced to 30 mg by coning and quartering, and this  
201 sub-sample was milled in an agate ball mill according to EPA protocol (600 A). The  
202 samples were analysed by a portable X-ray fluorescence spectrometer (XRF, Niton  
203 XL3t Ultra) to measure total concentrations of Pb, As, Zn, Cu, Mn, Fe and Ca. Data  
204 from the manufacturer assured us of its accuracy, and we verified its accuracy against  
205 the reference material NIST-2710a provided by the manufacturer after every 20  
206 samples. There was no significant deviation from known values. The main source of  
207 error in the measurement of elements in soil by the technique is the heterogeneity  
208 within the soil samples themselves, as Ravansari et al. (2020) have pointed out. To  
209 diminish this error measurements were made in triplicate and mean values calculated.  
210 The standard errors are listed in Table 2.

211 The structure of the sampling can be represented in a table as for an analysis of  
212 variance (ANOVA). Table 1 lists the degrees of freedom with the corresponding  
213 distances. Our main aim is to estimate the components of variance at these distances,  
214 and so we have used residual maximum likelihood (REML) (Patterson and Thompson  
215 1971) for the purpose because it is more efficient than ANOVA. Lark (2011) sets out

216 the mathematics of the REML solution, and we do not repeat it here. The estimated  
217 components of variance were summed to give rough variograms (Fig. 3). Note that  
218 the concentrations for all elements except Fe and Ca were transformed to common  
219 logarithms to give distributions that were approximately symmetric. The  
220 transformations are listed in Table 2 for the whole data (see below).

221 The variograms deriving from the nested analysis, and shown in Fig. 3, are too  
222 rough for use in kriging. We wanted to improve the estimates between 11 and 33 m,  
223 and so we added 50 points 20 m away from 50 of the original 160 sampling points on  
224 random orientations. These are shown as green stars in Fig. 1. Finally, as one can see  
225 in Fig. 1, there were still large gaps between the nests, and we should want to place  
226 further points in these gaps for kriging. Otherwise there would be large errors in the  
227 kriged predictions. We therefore added a further 51 points at the nodes of a 220-m  
228 regular grid wherever nodes lay more than 200 m from a point in the nests. These  
229 points are shown as yellow + symbols in Fig. 1.

230 Samples of topsoil were taken from these additional locations and analysed by  
231 X-ray fluorescence spectroscopy in the same way as for the original 160. We thus had  
232 measurements for all elements at a total of 261 of locations from which to map the  
233 concentrations.

### 234 *2.5. Geostatistical analysis: Variograms and their modelling*

235 The complete set of data comprised the measured concentrations on (1) soil sampled  
236 at sites of the original nested design, (2) a set of sites chosen close to 20 m from any  
237 of the previously sampled sites and (3) sites on a grid at 220-m intervals in those  
238 parts of the region with large gaps. In all there were 261 sampling sites providing 261  
239 values, bar a few missing ones, for each metal. Table 2 summarizes the data, both on  
240 the original scales and after transformation where desirable. Although we did not  
241 analyse Fe geostatistically, we include it in the summary and in the principal  
242 components analysis (see below), because it helps to understand the calcium pattern.

243 We computed the Pearson correlation coefficients among the elements and did a

244 principal components analysis on the correlation matrix for reasons that we explain  
 245 below. The results are summarized in Table 3, from which one sees that almost 85 %  
 246 of the variance lies in the leading two components.

247 The sites are strongly clustered, one consequence of which is that the  
 248 experimental variograms computed by the usual method of moments have strong  
 249 peaks and troughs, which make modelling them uncertain. Marchant et al. (2013)  
 250 found that in such a situation maximum likelihood estimation is better and gives  
 251 stable results. It also has the advantage of fitting models over the whole range of the  
 252 region. We used specifically residual maximum likelihood, REML, for the purpose.  
 253 Having fitted models in this way, we compared the two most plausible models,  
 254 exponential and spherical, by cross-validation. We did so by omitting each point in  
 255 turn and predicting the value there by ordinary kriging from the rest of the data. The  
 256 validated parameters were then ones finally be needed for interpolation and mapping.

257 Table 4 lists the parameter estimates and cross-validation statistics for the  
 258 spherical models, which fitted best and for which the equation is

$$\begin{aligned}
 \gamma(h) &= c_0 + c_1 \left\{ \frac{3h}{2r} - \frac{1}{2} \left( \frac{h}{r} \right)^3 \right\} \quad \text{for } h \leq r \\
 &= c_0 + c_1 \quad \text{for } h > r \\
 &= 0 \quad \text{for } h = 0 .
 \end{aligned} \tag{1}$$

259 The parameters are the variances  $c_0$ , the nugget variance, and  $c_1$ , and the range  $r$ . We  
 260 have treated the variation as isotropic, so that the lag  $h$  is a scalar in distance only.

261 The cross-validation statistics are the mean error of prediction (ME), the mean  
 262 squared error of prediction (MSE) and mean square deviation ratio (MSDR), i.e. the  
 263 ratio of the squared deviation to the kriging variance. They are as follows in which  
 264  $z(\mathbf{x}_i)$  is the observed value at  $\mathbf{x}_i$ ,  $\widehat{Z}(\mathbf{x}_i)$  is the predicted value there and  $\sigma_K^2(\mathbf{x}_i)$  is the  
 265 kriging variance. The averages are over the  $n$  data.

$$\text{ME} = \frac{1}{n} \sum_{i=1}^n z(\mathbf{x}_i) - \widehat{Z}(\mathbf{x}_i) .$$

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n \left\{ z(\mathbf{x}_i) - \widehat{Z}(\mathbf{x}_i) \right\}^2 .$$

$$\text{MSDR} = \frac{1}{n} \sum_{i=1}^n \frac{\left\{ z(\mathbf{x}_i) - \widehat{Z}(\mathbf{x}_i) \right\}^2}{\sigma_K^2(\mathbf{x}_i)} .$$

266 We have added the median of the squared deviation ratio (medSDR):

$$\text{medSDR} = \text{median} \left[ \frac{\left\{ z(\mathbf{x}_i) - \widehat{Z}(\mathbf{x}_i) \right\}^2}{\sigma_K^2(\mathbf{x}_i)} \right] .$$

267 The mean errors are all close to zero, which is to be expected; kriging is an  
 268 unbiased predictor. The mean squared errors are small. The important diagnostic is  
 269 the MSDR. Ideally this should be 1; i.e. the squared deviation between the observed  
 270 and predicted value should equal the kriging prediction error variance. The MSDRs  
 271 for the first five elements listed in Table 4 are all close to 1; that for calcium is also  
 272 sufficiently close to justify our accepting the model tabulated. The table includes the  
 273 variances of the data,  $s^2$ , for comparison with the sill variances,  $c_0 + c_1$ , of the models.  
 274 The median of the squared deviation ratios should be close to 0.455 for a true model.  
 275 All are less than this value; only that for copper is close.

### 276 3. Results

#### 277 3.1 REML analysis and variograms

278 Summary statistics of concentrations for the elements are listed in Table 2. Among  
 279 the elements, Ca had the largest mean concentration (1.81 %), while the smallest was  
 280 for As (20.14 mg kg<sup>-1</sup>). The mean concentrations of other metals in order of  
 281 magnitude were Mn 786 mg kg<sup>-1</sup>, Zn 233 mg kg<sup>-1</sup>, Pb 95.9 mg kg<sup>-1</sup> and Cu 41.9 mg  
 282 kg<sup>-1</sup>. The complete set of data exhibited a wide variation, with total concentrations  
 283 varying from 0.7 to 4.3 % for Ca, 67.7 to 3128 mg kg<sup>-1</sup> for Zn, 425 to 1955 mg kg<sup>-1</sup> for  
 284 Mn, 18.6 to 896 mg kg<sup>-1</sup> for Pb, 23.1 to 185 mg kg<sup>-1</sup> for Cu, and 11.3 to 87.5 for As.

285 Notice that all except Ca had skewed distributions, and that is why we transformed  
286 the concentrations to logarithms to stabilize the variances. At several points, Pb and  
287 As exceeded the national guide values (400 and 22 mg kg<sup>-1</sup>, respectively, DOF 2007).

288 The REML analysis of the nested sampling revealed that most of the variance  
289 occurs at distances between 33 and 100 m. Figure 3 shows that only small proportions  
290 of the variances for As, Cu, Mn and Ca occur at less than 33 m. Nevertheless, as Lark  
291 and Marchant (2018) pointed out, it is good practice to include sampling points close  
292 to one another to ensure that variograms are well estimated at short lag distances  
293 because those estimates have a large effect on the uncertainty of kriging predictions.  
294 So we refined the nested sampling by choosing new 50 sampling points at 20 m far  
295 from any of the previous nested points, and then filled the gaps with 50 more points.

### 296 3.2. *The maps of concentrations*

297 The distributions of concentrations were spatially dependent, the variograms of the  
298 logarithms of the concentrations of Pb, Zn and Mn and of the concentration of Ca  
299 (Fig. 4) were in general, well structured with small nugget variances. The variograms  
300 of As and Cu had proportionately larger nugget variances; mainly, we think, because  
301 the error variances in the measurements are proportionately more. Iron showed no  
302 spatial dependence; it seemed to be uniformly distributed in the region.

303 Figures 5 to 10 show the spatial distributions of the concentration of the elements  
304 in the soil of agricultural land. All the elements but Ca (Fig. 10) are strongly  
305 concentrated around the tailings deposit, particularly to the west, the principal hot  
306 spot. Lead, Mn and Zn have similar spatial patterns with four other areas relatively  
307 rich in the three elements (Figures 5, 6 and 7). One such area is in the centre of the  
308 region with values 2.85 log<sub>10</sub>(mg kg<sup>-1</sup>) for Pb, 3.15 log<sub>10</sub>(mg kg<sup>-1</sup>) for Mn and 2.9  
309 log<sub>10</sub>(mg kg<sup>-1</sup>) for Zn. Another region relatively rich in these metals is somewhat to  
310 the south east of it, though with somewhat smaller concentrations. A fairly narrow  
311 belt of land also relatively rich in Pb and Mn extends south from the tailings deposit  
312 with concentrations reaching 2.1 log<sub>10</sub>(mg kg<sup>-1</sup>) for Pb and 2.9 log<sub>10</sub>(mg kg<sup>-1</sup>) for

313 Mn.

314 As above, As and Cu are concentrated in the hot spot surroundings of the  
315 tailings, In addition, both are relatively rich in the soil on either side of the Sonora  
316 River with concentrations of 1.25–1.30  $\log_{10}(\text{mg kg}^{-1})$  for As and 1.45–1.50  
317  $\log_{10}(\text{mg kg}^{-1})$  for Cu. We suggest an explanation below. Elsewhere in the region  
318 their concentrations are less.

319 Calcium is the most abundant metal that we measured. Its spatial distribution is  
320 evidently unrelated to the other metals, and it seems unaffected by the tailings  
321 (Fig. 10). It is the only element where concentrations are less close to the sources of  
322 the tailings than elsewhere, and where Pb, Zn and Mn are richest.

323 The map of kriging variances for Pb, Fig. 11, shows how the prediction errors  
324 depend on the positions of the sampling points. The denser is the sampling, the  
325 smaller is the kriging variances. The maps of the kriging variances for the other  
326 elements have similar patterns, though the variances themselves are different, of  
327 course.

328 Finally, as noted above, the three elements Pb, Zn and Mn, have similar spatial  
329 patterns; their patterns differ from those of As and Cu, and all differ substantially  
330 from the distribution of Ca. This distinction is neatly summarized in the correlation  
331 circle obtained from the principal components analysis (Fig. 12). The metals Pb, Zn  
332 and Mn are strongly correlated with one another and appear as a cluster of points  
333 close to the extreme right of the circle. Arsenic and Cu appear away from them,  
334 upper right, and Ca, evidently fairly closely related to Fe appears far away in the  
335 upper left quadrant.

#### 336 4. Discussion

337 Sampling to the nested design and the analysis of the data provided a sound guide for  
338 the subsequent grid survey for mapping. It showed at what spacings most of the  
339 variance occurs and which turned out to be at less than 100 m. Plots of the data on a  
340 map of the region also showed that the largest concentrations were near the pile of

341 tailings. Those plots and the kriged maps show how the pollutant elements are  
342 concentrated around the tailings deposit; that deposit is a hot spot and evidently a  
343 major source of pollution. The elements Pb, Mn and Zn show strong spatial  
344 similarities that suggest a common transport process. In addition, the concentrations  
345 of As and Cu have a spatial pattern associated with the Sonora River, indicating an  
346 additional source of pollution. In contrast, Ca is less concentrated around the tailings  
347 deposit; it seems unrelated to the mining.

348 The dispersion of the elements around the tailings pile is likely to be caused by  
349 the combined effects of water and wind. This combination of processes is widespread  
350 in arid and semi-arid regions where erosion by wind and water alternate with the  
351 changing seasons and interact with each other; it is a process that differs in its effects  
352 from those of wind and water separately (Yang, et al. 2019). Tuo et al. (2014) found  
353 that the combined effect of wind and water erosion of the soil surface (0–1 cm)  
354 removed fine particles ( $< 0.01$  mm) preferentially, leaving coarser particles  
355 ( $> 0.05$  mm) in place. This suggests that the pollutant elements have been carried  
356 attached to the finer particles in the tailings and spread by this complex process.

357 Heavy rain, driven by moderate to strong wind, is especially erosive (Marzen et  
358 al. 2017), and it is likely to have re-distributed particles from the tailings in the  
359 patterns we observe in Figures 5–10. The rose diagrams in those figures show two  
360 predominant directions of the wind, namely towards north north east and south south  
361 east. Their velocities, ranging from 12 to 38 km hour<sup>-1</sup>, combined with heavy rain in  
362 short spells during summer are quite sufficient to carry material from the tailings.

363 The gully erosion of the tailings is likely to have contributed substantially to the  
364 enrichment of metals in the surroundings and toward to the Sonora River following  
365 the path of the El Lavadero stream. As the soil has a large proportion of sand (40 %  
366 or more) and contains little organic matter, it has rather few active sites on to which  
367 metals can bind, thereby allowing the metals to be transported by leaching in  
368 infiltrating water or in run-off. Efflorescent salts in the San Felipe tailings are rich in

369 Pb and Mn in particular (Del Rio-Salas et al. 2019). The fine fractions of these salts  
370 are susceptible to wind erosion because of the weak cohesion between particles  
371 (Sanchez-Bisquet et al. 2017). Wind carries significant amounts of dust from tailings  
372 deposits following the dominant wind direction (Moreno-Brotons et al. 2010) and  
373 creating trends with increasing distance from tailings (Lark et al. 2017; Djebbi et al.  
374 2017). Thus, Pb and Mn could be dispersed several hundred metres from the tailings  
375 in the form of efflorescent salts. When the rain is especially heavy flooding spreads  
376 the pollutants, both in solution and as particles, over the flood plain to generate the  
377 spatial patterns that we observe in our study. The maps show where the pollutants  
378 are so concentrated that remediation should be considered. They also show where to  
379 prioritize further studies on the mobility of the pollutants in the light of other  
380 properties of the soil that are likely to enhance or retard mobility.

381 It is evident in Figs 8 and 9 that much of the As and Cu derives from the tailings.  
382 Arsenic is also spread more widely, with some of its larger concentrations close to the  
383 Sonora River. It is likely that some of this As has come from spills from mines in the  
384 northern sector of the Sonora basin. Gomez-Alvarez et al. (1990, 1993), SEMARNAT  
385 (2014) and Silva-Rodriguez (2019) have documented such spills from mine wastes to  
386 the north of our region. Some of those discharges were rich in As and Cu, and after  
387 attaching themselves to soil and sediment they remained along the river channel  
388 (Rivera-Uria et al. 2018). The metal-enriched material could then be re-mobilized  
389 during heavy rain and dispersed downstream on the flood plain (Foulds et al. 2014).  
390 It is likely therefore that the current spatial pattern of As and Cu arises from mine  
391 discharges at various times in the past. Martín-Peinado et al. (2015) reported similar  
392 persistent residual pollution (including As and Cu) 15 years after a mine spill in  
393 Aznalcòllar, Spain. Although the remediation measures were implemented  
394 immediately, spilled material from the tailings remained mixed with the soil as a  
395 major source of pollution (García-Carmona et al. 2019).

396 Arsenic and Cu are concentrated around the El lavadero stream which connects

397 the tailings pile with the Sonora River (Fig. 5 and 6). The water-soluble salts of Mn,  
398 Zn and Pb in the efflorescent deposits could contaminate water from the tailings (Del  
399 Rio-Salas et al. 2019). These salts would then carried via channels into the Sonora  
400 River.

401 Nieva et al. (2021) found that the mineralogical composition of the efflorescent  
402 salts depended on climate (specifically climate with alternating dry and wet seasons).  
403 They found that during the wet season, copiapite is the dominant mineral in the salts  
404 precipitated in the pores of the tailings, where the arsenates substituted the sulfates,  
405 converting the copiapite into an As reservoir. This arsenic can be released during the  
406 short spells of summer rain. Del Rio-Salas et al. (2019) found that the efflorescent  
407 salts of San Felipe de Jesús contain up to 26% of copiapite, so this could be an  
408 important process for the spread of As from the tailings.

409 We still need better understanding of metal pollution in this region. Not only  
410 have metals from the mine waste polluted the soil, they are also mobile in the soil  
411 and likely to be taken up by plants. Loredó et al. (2020) analysed samples of the soil  
412 from close to the front of the mine tailings. They found that Zn and As are highly  
413 mobile in the soil there and that Zn and Pb exceeded the threshold limits of  
414 phytoaccessibility. Such assessments need to be extended throughout the 900-ha  
415 region where concentrations are now seen to be large.

## 416 5. Conclusions

417 Our experience of splitting the survey of pollutant metals in the soil at San Felipe de  
418 Jesús in Northwestern Mexico into two stages shows the merit of preceding grid  
419 survey for mapping with a nested design and analysis to establish the scale(s) at  
420 which most variance occurs. It allowed us to plan an affordable sampling in the  
421 second stage that would provide predictions with acceptable error. Despite the  
422 several papers setting out the procedure and software now embodying REML for the  
423 analysis of spatially nested data the technique seems under-used. We hope our paper  
424 will be read and followed by other scientists and technicians who map and try to

425 understand the distribution of pollutants.

426 The survey revealed widespread large concentrations of Pb, As, Zn, Cu and Mn in  
427 the soil of the region. The maps made by kriging from the sample data show clearly  
428 that the largest concentrations are associated with the tailings deposit on the western  
429 margin of the region. Concentrations of Pb, Zn and Mn decrease with increasing  
430 distance from the deposit, and it seems likely that the metals were transported by  
431 wind and water from the tailings. Arsenic and Cu are also concentrated close to the  
432 Sonora River, almost certainly with material from mine spills north of the basin.  
433 Land managers and responsible agencies can now focus on those parts of the region  
434 most seriously affected to restrict agriculture and plan feasible remediation.

#### 435 **Authors' Contributions**

436 **B. González-Méndez:** Conceptualization, investigation, writing–original draft,  
437 programming **R. Webster:** Methodology, programming, formal analysis,  
438 writing–original draft **R. Loredó-Portales:** Sampling, writing–review and editing  
439 **F. Molina-Freaner:** Writing–review and editing, funding acquisition **R. Djellouli:**  
440 Visualization, graphics

#### 441 **Conflict of interest**

442 The authors declare that they have no conflict of interest.

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587 Table 1: Degrees of freedom for the nested sampling and the corresponding distances.

Source	Degrees of freedom	Distance/m
Stage 1	7	1050
Stage 2	16	300
588 Stage 3	29	100
Stage 4	30	33
Stage 5	39	11
Stage 6 (residual)	38	3.6

590 Table 2: Summary statistics of concentrations of the elements and of their transformations. All the  
 591 concentrations are in  $\text{mg kg}^{-1}$ , except for Ca and Fe which are % by mass

592

Element	$N^1$	Original scale							Transformed scale			
		$SE^2$	Mean	Min.	Max.	Median	Std dev.	Skew	Mean	Median	Std dev.	Skew
Pb	257	4.3	95.9	18.6	896.4	48.2	120.7	3.91	1.57	1.48	0.52	0.24
As	248	1.5	20.1	11.3	87.5	19.30	9.84	4.18	1.27	1.28	0.16	0.26
593 Zn	258	9.3	233.0	67.7	3128.0	139.7	310.3	5.56	2.23	2.15	0.29	1.47
Cu	227	2.6	41.9	23.1	185.1	38.6	15.96	4.31	1.60	1.59	0.12	1.22
Mn	258	26.9	786.9	425.2	1955.0	721.9	255.1	1.61	2.88	2.86	0.12	0.76
Ca	258	0.04	1.81	0.70	4.30	1.84	0.62	0.33	-	-	-	-
Fe	258	0.07	2.35	1.05	4.56	2.34	0.49	0.69	-	-	-	-

594 <sup>1</sup> $N$  is the number of sampling locations for which valid data are available.

595 <sup>2</sup>All the statistics are based on means of three replicate measurements for which the standard errors  
 596 are listed in the column headed SE.

598 Table: 3 Eigenvalues of correlation matrix

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Order	Eigenvalue	Percentage of variance	Cumulative percentage
1	4.107	58.67	58.67
2	1.801	25.74	84.41
3	0.480	6.86	91.27
4	0.335	4.79	96.06
5	0.193	2.75	98.81

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601 Tabel 4: Parameters of spherical models and cross-validation statistics.

602

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Element	$s^2$	$c_0$	$c_1$	$r/m$	ME	MSE	MSDR	medSDR
Pb	0.27030	0.00776	0.24930	1051.4	-0.01070	0.0376	1.043	0.2627
As	0.02724	0.00518	0.01596	1041.3	-0.00033	0.00778	1.121	0.3078
603 Zn	0.08303	0.00482	0.06204	1022.1	-0.00391	0.01100	1.153	0.2894
Cu	0.01548	0.00303	0.01480	818.3	0.00227	0.00503	0.993	0.4297
Mn	0.01547	0.00183	0.00957	993.2	-0.00081	0.0032	1.140	0.3250
Ca	0.38505	0.04254	0.48697	934.3	0.0244	0.1340	1.328	0.2441

---

604 **Figure captions**

605 **Fig. 1** The region surveyed and the sampling points in Northwestern, Mexico.

606 **Fig. 2** The unbalanced nested design used: (a) the topological tree of the design; (b)  
607 the design as it might appear on the ground, the red point is the main station; blue  
608 lines represent nodes spaced 300 m apart, green lines indicate 100 m, purple lines link  
609 points 33 m apart, orange lines indicate 11 m, and black lines are nodes separated by  
610 3.6 m.

611 **Fig. 3** Variograms from the nested sampling in phase 1.

612 **Fig. 4** Variogram models fitted by REML from the whole set of data.

613 **Fig. 5** Map of Pb. The red polygon is the mine tailing, the blue dashed line  
614 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
615 and the yellow lines are the levels of Pb in (log mg/kg). The rose wind was taken  
616 from Del Rio-Salas et al. (2019)

617 **Fig. 6** Map of Mn. The red polygon is the mine tailing, the blue dashed line  
618 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
619 and the yellow lines are the levels of Mn in (log mg/kg). The rose wind was taken  
620 from Del Rio-Salas et al. (2019)

621 **Fig. 7** Map of Zn. The red polygon is the mine tailing, the blue dashed line  
622 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
623 and the yellow lines are the levels of Zn in (log mg/kg). The rose wind was taken  
624 from Del Rio-Salas et al. (2019)

625 **Fig. 8** Map of As. The red polygon is the mine tailing, the blue dashed line  
626 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
627 and the yellow lines are the levels of As in (log mg/kg). The rose wind was taken  
628 from Del Rio-Salas et al. (2019)

629 **Fig. 9** Map of Cu. The red polygon is the mine tailing, the blue dashed line  
630 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
631 and the yellow lines are the levels of Cu in (log mg/kg). The rose wind was taken

632 from Del Rio-Salas et. al. (2019)

633 **Fig. 10** Map of Ca. The red polygon is the mine tailing, the blue dashed line  
634 corresponds to the El lavadero stream, the continuous blue line is the Sonora River,  
635 and the yellow lines are the levels of Ca in (%)The rose wind was taken from Del  
636 Rio-Salas et. al. (2019)

637 **Fig. 11** Error map of Pb on the logarithmic scale. The red polygon is the mine  
638 tailing, the blue dashed line corresponds to the El lavadero stream, the continuous  
639 blue line is the Sonora River, and the red lines are the estimated variance of Pb in  
640 (log mg/kg). The red to pink discs are the eight nested sampling nodes, the  
641 additional points are shown as green stars and yellow crosses

642 **Fig. 12** Correlations between the elements and the first two principal components  
643 plotted in the unit circle.