2	OPTIMIZING MULTIFUNCTIONAL AGROECOSYSTEMS IN IRRIGATED
3	DRYLAND AGRICULTURE TO RESTORE SOIL CARBON – EXPERIMENTS
4	AND MODELLING
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18 ABSTRACT

Irrigated dryland agroecosystems could become more sustainable if crop and soil 19 management enhanced soil organic carbon (SOC). We hypothesized that combining high 20 inputs from cover crops with no-tillage will increase their long-term SOC stocks. 21 22 Caatinga shrublands had been cleared in 1972 for arable crops and palm plantations before implementing field experiments on Mango and Melon systems (established in 23 2009 and 2012, respectively). Each of the two experiments were managed with no-till 24 25 (NT) or conventional till (CT), and three types of cover cropping, either a plant mixture 26 of 75% (PM1) or 25% (PM2) legumes, or spontaneous vegetation (SV). The RothC model 27 was used with a daily timestep to simulate the soil moisture dynamics and C turnover for this dry climate. Carbon inputs added between 2.62 and 5.82 Mg C ha⁻¹yr⁻¹, increased the 28 depleted SOC stocks by 0.08 to 0.56 Mg C ha⁻¹yr⁻¹. Scenarios of continuous biomass 29 inputs of ca. 5 Mg C ha⁻¹yr⁻¹ for 60 years are likely to increase SOC stocks in the mango 30 NT beyond the original Caatinga SOC by between 19.2 to 20.5 Mg C ha⁻¹. Under CT 31 32 similar inputs would increase SOC stocks only marginally above depletion (2.75 to 2.47 Mg C ha⁻¹). Under melon, annual carbon inputs are slightly higher (up to 5.5 Mg C ha⁻¹) 33 ¹yr⁻¹) and SOC stocks would increase on average by another 8% to 22.3 to 20.6 Mg C ha⁻ 34 ¹ under NT and by 8 Mg C ha⁻¹ under CT. These long-term simulations show that 35 combining NT with high quality cover crops (PM1, PM2) would exceed SOC stocks of 36 the initial Caatinga within 20 and 25 years under irrigated melon and mango cultivation, 37 respectively. These results present a solution to reverse the loss of SOC by replacing CT 38 39 dryland agriculture with irrigated NT plus high input cover crops agroecosystems.



42 1. INTRODUCTION

The Intergovernmental Panel on Climate Change (IPCC) has highlighted the need for 43 carbon sequestration to avoid a rise in global temperature more than 1.5 °C relative to 44 pre-industrial times (IPCC, 2018). The United Nations has adopted the 2030 Agenda for 45 sustainable and development (UNGA, 2015) and the first of the 17 Sustainable 46 Development Goals (SDGs) is to end hunger and poverty. Agriculture needs to embrace 47 its important roles in both climate regulation and food production. The integration of 48 agricultural management with land use and climate change objectives (Lorenz et al., 49 2019) will help to regulate the carbon (C) cycle, avoiding losses and sequestration C into 50 51 the soil. The soil organic carbon (SOC) is estimated to be three times larger than the 52 atmosphere carbon pool (Lal, 2004). Improving SOC through agricultural management 53 secures the terrestrial ecosystem functions and food production, affecting directly or indirectly more than half of all SDGs (Jónsson et al., 2016). 54

This is particularly important for dryland areas, which cover over 40% of the 55 global land surface, inhabited by nearly 38% of the world population (Cherlet et al., 2018; 56 Huang et al., 2017). The Brazilian semi-arid covers 1 million km² and is inhabited by 28 57 million people. This region has 1.6 million agricultural holdings, 95% being smallholders 58 (IBGE, 2012). To support its population and develop the region, public policies intend to 59 change rainfed subsistence agriculture into intensive irrigated agriculture (IIA) with 60 annual and perennial crops (Araujo Filho, 2013). IIA extend over 1.2 million ha (ANA, 61 2018), usually as monocultures with high use of external inputs. However, the intensive 62 63 use of soil tillage, synthetic fertilizers, and irrigation have caused substantial SOC 64 reduction, soil salinization, and increased all of water scarcity, which accelerate climate 65 change (Müller Carneiro et al., 2019; Smith et al., 2015).

66	The use of different plant mixtures (PM) for cover cropping and tillage systems
67	(conventional, CT versus no-till, NT) are components of the new strategy for agriculture
68	in the semiarid areas to improve SOC storage (Giongo et al., 2016). This will affect other
69	ecosystem services (Santos et al., 2018) and, eventually, promote food security. In spite
70	of advancing productivity in IIA, models of sustainable soil management need to be
71	developed to increase and stabilize the SOC. There are many models available to simulate
72	SOC dynamics, e.g. RothC (Coleman and Jenkinson, 1996), Century (Parton et al., 1987),
73	DNDC (Li, 1996) or SOMM (Chertov et al., 1997). Among these models, RothC is one
74	of the most frequently used to simulate SOC content in the soil surface layer due to the
75	simplicity and availability of input data (Coleman et al., 1997; Herbst et al., 2018; Liu et
76	al., 2009; Taniyama et al., 2004).

77 We hypothesized that forms of tillage (conventional, CT, versus no-till, NT) and plant mixtures (PM) of cover crops will improve SOC stock in dryland irrigated 78 agriculture. Eventually, this could even exceed the equilibrium SOC found under natural 79 dryland forest depending on soil disturbance, soil cover and plant diversity, determining 80 net biomass C input of the respective agroecosystem. To test these hypotheses, the model 81 82 was initially calibrated to reach equilibrium SOC for the Caatinga, we than used the C inputs and SOC data from two long-term field experiments to calibrate the RothC model. 83 These experiments compared different multifunctional agroecosystems in terms of C 84 inputs and SOC enrichment for annual and perennial crops, using different cover crops 85 86 and tillage intensities (CT, NT). Once calibrated, we used the model to predict the long-87 term impact of different management intensities on SOC dynamic in irrigated dryland agriculture. 88

89

91 2. MATERIALS AND METHODS

92 2.1. Dataset used

We selected datasets collected for two multi-factorial long-term experiments (1)
a mango orchard (*Mangifera indica* L., cv. Kent) system (Mango) and (2) melon crop
(*Cucumis melo*, L.) system (Melon), at Embrapa Semi-Arid (Brazilian Agriculture
Research Corporation), in Petrolina, PE (Figure 1).

97 >Insert Figure 1

The Mango and Melon experiments started in 2009 and 2011, respectively. The 98 99 area, originally under native tropical dry shrublands (hyperxerophilic Caatinga 100 vegetation), was converted into arable agriculture in 1972. For 16 years it was cultivated 101 with corn (Zea mays L.), common bean (Phaseolus vulgaris L.) and watermelon (Citrullus lanatus L.), using conventional tillage (CT). In 1988, a date palm plantation 102 103 (Phoenix dactylifera L.) followed for 20 years. Before the Melon experiment there were 104 more two years of fallow and common bean. Details of the site, soils and experiments are given in Table 1. 105

106 >insert Table 1

107

108 2.2. Climate data

The climate of the region is BSwh' (semiarid) according to the Köppen classification; the average annual precipitation is less than 500 mm, concentrated in three to five months; monthly average temperatures range from 18.7 to 33.6 °C. The sandy loam soil of the area is classified as Haplic Acrisol (WRB, 2014). Data of mean temperature, evaporation, and precipitation were measured at the agrometeorological weather station located at the experimental farm. The irrigation requirement was calculated using the reference evapotranspiration (ETo), estimated by the PenmanMonteith method using daily data collected at the meteorological station near by the experiments. For RothC any water added as irrigation was added to the precipitation (Figure 2). Standard crop coefficients (Doorenbos and Pruitt, 1977) were used to estimate the respective actual evapotranspiration (ETc).

120 >Insert Figure 2.

121

122 2.3 Field Experiments and Treatments

In both long-term field experiments, the treatments consisted of two soil tillage systems [no-tillage (NT) and conventional tillage (CT)], combined with three mixtures of cover crops [75% leguminous species + 25% grass and oilseed species (PM1), 25% leguminous species + 75% grass and oilseed species (PM2) and spontaneous vegetation (SV)]. The experimental designs were split-plot randomized blocks, in four replicates, with soil tillage systems in the plot and mixtures of cover crops in the subplots.

In the Mango experiment, each subplot was composed of three rows, with three mango trees, totaling nine trees per subplot, at 8 x 5 m spacing, with a total area of 360 m^2 . The mixtures of cover crops were grown in 6-m-long strips between rows, leaving a free border of 1 m on each side of the mango tree rows. In the Melon experiment, each plot was 10 x 10 m² and each block was 600 m². The seeds were sown in furrows at a spacing of 0.5 m.

PM1 and PM2 contained 14 species, which included oilseed, grass, and
leguminous plants, but at different proportions between the mixtures (Freitas et al., 2019;
Giongo et al., 2016; Pereira Filho et al., 2019). The SV control was composed of *Desmodium tortuosum* (Sw.) DC., *Macroptilium lathyroides* (L.) Urb., *Digitaria bicornis*(Lam.) Roem. Schult., *Dactyloctenium aegypitium* (L.) Willd., *Commelina difusa* Burm.
f., *Acanthospermum hispidum* DC., *Euphorbia chamaeclada* Ule, *Waltheria rotundifolia*

141 Schrank, Waltheria sp. L., Tridax procumbens L., Ipomoea mauritiana Jacq., Ipomoea

142 *bahiensis* Willd. Ex Roem. Schult. and *Amaranthus deflexus* L.

In the NT systems, cover crops were managed using a manual mower, at the full flowering of most species, 70 days after sowing. Plants were cut at 5 cm above ground, and their shoot biomass was deposited on the soil, in between the mango rows and mixed with melon residues. In the CT systems, the phytomass was incorporated with disc plow to 20 cm depth, followed by superficial harrowing, with a light open-disc harrow.

148

149 2.4. Soil carbon and aboveground and belowground inputs

150 2.4.1. Soil organic carbon

The organic matter content of the soil, in the 0-20 cm layer, was measured in 1977 and 1997 by Lopes et al. (1977) and Bassoi et al. (1999). A factor of 1.72 was used to convert organic matter to SOC based on the assumption that organic matter contains 58% of organic carbon (Nelson and Sommers, 1996). SOC was measured in 2009, 2013, 2015 and 2017 for Mango, and in 2009, 2012, 2014 and 2017 for Melon. The SOC stocks were calculated using SOC, soil bulk density data, and depth.

In order to estimate the reference SOC under preserved Caatinga in 1972, an area 157 of Caatinga forest of 4 ha was divided into four subsections, composite soil samples from 158 eight individual samples were collected for 0-5 cm, 5-10 cm and 10-20 cm depth in each 159 subsection. Similarly, composite samples were also taken in each experimental unit of 160 both long-term experiments. The composite samples were transferred in plastic bags to 161 the Laboratory of Soil and Plant Analysis of Embrapa Semiarid, air dried and passed 162 163 through 2.0 mm sieves to obtain air dry fine earth for analysis. In each experimental unit 164 and the reference area, undisturbed samples were collected in each layer, using a 5 cm x 165 5 cm volumetric ring to determine the soil bulk density (Donagema et al., 2011). The total

166 C contents were obtained by dry combustion using an elemental analyzer (LECO, model 167 TRUSPEC CN). The total SOC stocks in each area was obtained calculating the 168 equivalent soil mass per layer (Ellert et al., 2010).

169 For the calculation of the equivalent mass, the relative mass of the soil was170 considered in the different treatments (Equation 1).

$$M_{soil} = ds T A \tag{1}$$

where $M_{soil} = soil mass (Mg ha^{-1})$; ds = soil bulk density (Mg m⁻³); T = thickness (m); and A = area (10,000 m²).

The area under Caatinga was considered as a reference and the thickness wasadded or subtracted from the different treatments (Equation 2).

176
$$T_{ad/sub} = (M_{ref} - M_{treat}) f_{ha}/ds$$
(2)

Where $T_{ad/sub}$ = soil thickness layer to be added (+) or subtracted (-) (m); M_{ref} = equivalent mass of the soil (Mg ha⁻¹) in the reference area (Caatinga); M_{treat} = soil equivalent mass in each treatment (Mg ha⁻¹); f_{ha} = conversion factor from ha to m² (0.00001 ha m⁻²); and ds = soil bulk density (Mg m⁻³).

181 Then, the stocks of C in equivalent mass were calculated (Equation 3).

182
$$SOC_{em} = cc ds (T \pm T_{ad/sub}) A + F_{kg}$$
 (3)

183 Where $SOC_{em} = stock$ of total SOC, expressed as equivalent mass in Mg ha⁻¹; cc = content 184 of C, g kg⁻¹; T = soil thickness of the layer, expressed in m; and F_{kg} = conversion factor 185 of kg to Mg (0.001 Mg ha⁻¹). The soil carbon stocks, in the 0-20 cm layer, in each 186 treatment was obtained through the sum of their respective stocks in the evaluated layers.

188 **2.4.2** Aboveground and belowground C inputs

RothC assumes inputs to the soil are from all forms of carbon entering the soil i.e. 189 shoots and stubble (C_s) , roots (C_r) , and root exudates (C_e) . The annual carbon input from 190 Caatinga forest was calculated by running RothC in inverse mode to generate the input 191 required to match the initial stock of SOC in 1972. The calculated plant C inputs obtained 192 193 for the period between 1973 and 2008 for Mango or 2010 for Melon were taken from 194 Lopes et al. (1977) and Bassoi et al. (1999), respectively. From 2008 for Mango and from 2010 for Melon, the aboveground dry matter for corn, common bean and watermelon was 195 196 taken from Martins (2010) and Nosoline, (2012). Root biomass for the those crops were 197 estimated from aboveground dry matter using the method described in Bolinder et al., 198 (2007). For date palm both aboveground and roots dry matter were taken from Bassoi et al. (1999). For all crops we assumed that the roots exudate are equivalent to 9% of the 199 total aboveground biomass dry matter (Kuzyakov and Domanski, 2000). 200

For both long-term field experiments, the aboveground and roots biomass were 201 determined by collecting three samples of aboveground and five samples of root biomass 202 on each subplot. Samples were dried at 65-70°C for 72 h to determine dry biomass and C 203 contents. In each treatment, trenches were cut (0.2 m x 0.2 m x 1.0 m) to sample the fine 204 root biomass of the cover crops and melon. To determine root biomass soil blocks with a 205 volume of 20 cm³ were removed at depths of 0-0.2 m. These soil samples were sieved 206 and washed through 2 mm sieves to separate the roots from the soil. In the laboratory, the 207 roots were washed again in distilled water and dried at 65-70°C for 48 h. 208

To estimative of C input from aboveground and belowground biomass we assumed a C content of 45% dry matter. Further details about the long-term field experiments can be found elsewhere (Antonio et al., 2019; Brandao et al., 2017; Freitas et al., 2019; Giongo et al., 2016; Mouco et al., 2015). 213

214 **2.5. The RothC Model**

For this study a daily version of the Rothamsted carbon model (RothC) was used, 215 to allow a realistic simulation of soil moisture and SOC dynamics in this dry region. Other 216 than using daily meteorological data and changing the Decomposable Plant Material 217 (DPM)/ Resistant Plant Material (RPM) ratio no further changes were made to the model. 218 219 In RothC SOC is split into four active compartments and a small amount of inert organic matter (IOM). The four active compartments are DPM, RPM, Microbial Biomass (BIO) 220 221 and Humified Organic Matter (HUM). Each compartment decomposes by a first-order process with its own characteristic rate. The IOM compartment is resistant to 222 223 decomposition. For more details see Coleman et al. (1997); Gottschalk et al. (2012); Kamoni et al. (2007); Smith et al. (1997). 224

In this semi-arid region, the standard monthly timestep version of RothC was not able to simulate soil moisture dynamics because the monthly evapotranspiration always exceeds the monthly precipitation, even when irrigated. This meant the rate modifying factor for moisture was always 0.2, so SOC increased unrealistically. By using a daily timestep the model was able to correctly simulate soil moisture dynamics throughout the year, in both rainfed and irrigated experiments.

231

232 2.5.1 Running the model

For both experimental sites the model was run to equilibrium in inverse mode to generate the inputs required to match the SOC stock for Caatinga, with a DPM/RPM ratio of 0.67, the default value for Savana plant material, which is similar to Caatinga and the inert organic matter (IOM) of 1.6 Mg ha⁻¹ was set using the Falloon et al.(2000) equation (4).

IOM= 0.049 SO	$C^{1.139}$ (4)	
	- (-)	

After equilibrium the model was run for 16 years of annual cropping using an 239 input of 0.93 Mg C ha⁻¹ yr¹ (Lopes et al. 1977), and for 20 years of date palm with an 240 annual input of 1.20 Mg C ha⁻¹ yr¹ (Bassoi et al., 1999). One year of fallow, before starting 241 242 Mango, and one year of fallow plus two years with arable crops before starting Melon with an input of 0.93 Mg C ha⁻¹ yr¹ (Lopes et al., 1977). Daily meteorological data (see 243 section 2.2) were used. The soil was left bare for 270 (Mango) and 230 (Melon) days in 244 CT treatments for each year during the experiment. The soil was considered to be covered 245 246 with plants/residues for all year in NT treatments. The effect of tillage was simulated 247 using the plant cover factor in the land management files because the soil is not bare, 248 either due to vegetation and/or biomass residues on the soil. 249 For each phase of the experimental site we used the default DPM/RPM ratio, i.e. 1.44, for residues of annual crops and date palm alike. For the phase of the experiment 250 251 where green manure was added we used a DPM/RPM ratio of 3.35 (77% DPM and 23%

252 RPM) as suggested by Yao et al. (2017) and Zhang et al. (2019).

To model future SOC stock changes we used the same annual C inputs, and DPM/RPM ratio that were used for the Mango or Melon phase of the experiment. The model was run 50 years into the future, using daily average weather data for Mango and Melon. We adjusted the DPM:RPM of the green manure to obtain a good fit to presentday measurements, because we wanted to simulate plausible values of the future contents of carbon in soil.

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238

260 **2.6 Statistical analysis**

261 The total SOC stocks and total C inputs of aboveground and belowground plant
262 matter from long-term field experiments were analysed for normality by Shapiro-Will

test (p > 0.05), the homoskedasticity test was performed by Bartlett test (p > 0.05), and data homogeneity according (Lewis, 1995). The initial value for Caatinga, different land use before the start of the experiment, and 2017 average (\pm SEM) of total SOC stocks and the annual average of total C inputs under Mango and Melon were used to describe the agroecosystems.

268 The model performance was evaluated by comparing the simulated values with 269 those measured in each single treatment, and for each site and both sites in order to increase the degrees of freedom and hence the robustness of the analysis. The calculations 270 271 were made using MODEVAL (Smith et al., 1996, 1997). The correlation coefficient (r) 272 gives a measure of the degree of association between the simulated and measured values. 273 The root mean square error (RMSE), mean difference (MD), model efficiency (EF), and 274 the sample correlation coefficient (r) were calculated. The RMSE is the relative difference between the observed and simulated values, weighted as a percentage of the mean value 275 276 of observed data. The lowest possible value of RMSE is zero, indicating that there is no difference between simulated and observed data. The MD is the mean difference between 277 observed and simulated data and gives an indication of the bias in the simulation. The 278 MD can be related directly to t. A t value greater than the critical two tailed 2.5% t value 279 indicates that the simulation showed a significant bias either over or underestimation. The 280 EF provides a comparison of the efficiency of the chosen model to the efficiency of 281 describing the data as a mean of the observations. Values of EF range from 1 to negative 282 infinity. Best performance at EF=1. Negative values indicate that the average values of 283 284 all measured values is a better estimator than the model. The correlation coefficient (r) is 285 used to assess whether simulated values follow the same pattern as measured values. 286 Further details can be found in (Smith et al., 1996, 1997). The total SOC stock in the

Caatinga, which was used to initialise the model in inverse mode, was discarded in thestatistical analyses because it is not an independent value.

289

3. RESULTS

3.1 Effect of land use change on SOC

The data on SOC stocks before the start of the two long-term experiments showed that conventional agriculture decreased the SOC stocks from originally 21.3 Mg C ha⁻¹ under Caatinga to 16.9 Mg C ha⁻¹ under annual cropping, and decreased further under date palm to 8.9 Mg C ha⁻¹ in 2009, respectively (Table 2). All treatments improved SOC stocks under Mango and Melon, increasing the overall average SOC stocks in the 0-20cm soil layer of the NT treatments from 8.9 Mg C ha⁻¹ in 2009 to about 11 to 15 Mg C ha⁻¹ in 2017. In CT treatments cover crops were less effective than under NT (Table 2).

Under Mango, the highest SOC stock change occurred in the NT and two plant mixtures (NT-PM1 and NT-PM2), about 6 Mg C ha⁻¹ in eight years. NT-SV was similar to CT-PM1. However, soil tillage affected the SOC stocks across all plant mixtures, with impacts decreasing from legumes to spontaneous vegetation. In both PM treatments, the tillage decreased the SOC stocks by 4.5 to 4.8 Mg ha⁻¹. Treatment CT-SV, representing the conventional mango production system in the region, had the lowest SOC stock among all treatments (Table 2).

Under Melon, the highest SOC stock increase occurred in PM2, independent of tillage (NT-PM2 and CT-PM2; Table 2). The soil tillage affected SOC stocks only under spontaneous vegetation, when conventional tillage (CT-SV) lowered SOC stocks, similarly to the effect in the Mango system.

For modelling SOC dynamics, it is very important to estimate the annual C inputsto soils. Our results showed for the Mango and Melon, that the highest annual C input

was obtained when plant mixtures were introduced. The annual average C input into the agroecosystems with plant mixtures were 4.89 and 5.56 Mg C ha⁻¹ yr⁻¹ to Mango and Melon, respectively. In contrast, C inputs from spontaneous vegetation (average from NT and CT) were only 2.59 and 3.78 Mg C ha⁻¹yr⁻¹ for Mango and Melon, respectively. The respective higher annual C inputs to the Melon system was due to the additional inputs from above- and belowground crop residues. Therefore, the final enrichment was higher in the Melon system.

319 >Insert Table 2.

In differents combinations of high quality cover crops with main crop lead to high C enrichment while tillage has a similar effect across all tested "crop x green manure" combinations.

323

324 **3.2 Model performance**

The performance the RothC model was tested by comparing modelled versus 325 observed SOC from these datasets including two long-term field experiments. SOC 326 change was modeled and evaluated using different organic C inputs from different 327 agricultural plants, cover crop mixtures and tillage intensities. First, before the field 328 experiments were initiated, the Roth C model estimated the inputs from native vegetation 329 330 to match initial equilibrium SOC stocks of Caatinga in 1972 and land use change to conventional agriculture (Figure 3). The simulated loss of SOC under arable cultivation 331 (CT) for a total of 18 years and date palm for another 20 years was 12.71 Mg C ha⁻¹ (20 332 cm soil profile), compared to the measured loss of 12.43 Mg C ha⁻¹. The overall difference 333 between measured and simulated SOC was only $0.28 \text{ Mg C} \text{ ha}^{-1}(2\%)$. 334

335	The RothC model was able to predict SOC stock increase in the same proportions
336	as observed, in both field experiments. For Mango, under NT-PM1, for example, the final
337	SOC stock measured in 2017 was 15.3 Mg ha ⁻¹ , compared to the model estimate of 15.7
338	Mg C ha ⁻¹ . In the CT-SV, the measured and estimated SOC values were 9.2 and 8.5 Mg
339	C ha ⁻¹ , respectively (Figure 3). Under Melon (Figure 4), in 2017, the final SOC stocks
340	measured for NT-PM1 and CT-SV treatments were 11.3, and 10.8 Mg C ha ⁻¹ while RothC
341	predicted 13.5 and 9.4 Mg C ha ⁻¹ . In both datasets, one can identify a tendency for RothC
342	to underestimate the carbon stocks in conventional tillage treatments in the melon crop.
343	>Insert Figure 3.

344

345 >Insert Figure 4.

The model's statistical performance for each treatment is presented in Table 3. Overall, the model described the change of SOC stocks very well. The relative RMSE was low, ranging from 5 to 18 %, indicating that there is a low relative difference between observed and predicted SOC. The MD, mean difference (also called Bias), ranged from -0.73 to 1.13 Mg C ha⁻¹. Across all treatments the t values were lower than the critical twotailed 2.5% t-value, which means that the bias is not significant.

For Mango EF values ranged from 0.72 to 0.94. However, for the Melon EF 352 ranged from -0.08 to 1.00, showing that the model underestimated SOC enrichment in 353 CT treatment. The model efficiency provides a comparison of the efficiency of describing 354 the data as the mean of the observations. Best performance is at EF=1. The positive values 355 of EF indicate that the modelled values describe the trend in the measured data better than 356 357 the mean of the observations in most of the treatments. The correlation coefficient (r) range from 0.81 to 0.98. Overall, high values of correlation coefficient suggest high 358 359 predictability of RothC model in dryland irrigated areas with a significant association, and the F values associated with values of r were higher than the critical F values at P=0.05.

362 >Insert Table 3.

The RothC model performance was evaluated by comparing the simulated values 363 with those measured and for all Mango (n=21), Melon (n=21) and, pooling Mango and 364 Melon (n=40) treatments in order to increase the degrees of freedom and, hence, the 365 robustness of the analysis. When both data sets are considered, the overall relative RMSE 366 is low, indicating that there is a low relative difference between observed and predicted 367 SOC. Individually, the EF of the model is higher for the Mango data set (0.81) than in the 368 369 Melon data set (0.31), but pooling both experiments EF increased to 0.52 (Figure 5, 370 Table3).

371 >Insert Figure 5.

372

373 **3.3** Long-term impacts of agroecosystems' management on SOC stocks

The observed development of SOC was extrapolated into the future (2019 to 2069) 374 using the calibrated RothC model. The modelling shows that under current climatic 375 conditions the proposed agroecosystems have significantly different trends (Figure 6). All 376 NT scenarios are approaching the Caatinga equilibrium (21.3 Mg C ha⁻¹) but SV less 377 effectively. Under Mango, only two of the six designs are likely to reach or exceed the 378 SOC stocks for Caatinga within 30 years (Figure 6a). The best performance was under 379 380 NT for both plant mixtures: NT-PM1 and NT-PM2. Our data address the importance of NT in perennial systems, considering that there is no significant difference between the 381 carbon input for NT and CT designs (ca. 5 Mg C ha⁻¹yr⁻¹; Table 2). The SV associated 382 with tillage is likely to have the worst result (CT-SV), even further decreasing SOC 383

stocks. In contrast, NT-SV is likely to add about 50% of its residues $(2.62 \text{ Mg C ha}^{-1}\text{yr}^{-1})$

whilst expensive plant mixtures combined with tillage are wasted (inputs of 4.90 and 4.76

386 Mg C ha⁻¹yr⁻¹ for CT-PM1 and CT-PM2, respectively; Table 2).

Three out of six treatments applied to the Melon agroecosystem are likely to reach 387 the same SOC as Caatinga after 50 years (Figure 6b). The NT-PM designs are able to 388 reach previous Caatinga SOC stocks after little more than two decades (20 to 23 years, 389 respectively), which is due to high C inputs (5.56 Mg C ha⁻¹yr⁻¹) from PM and melon 390 residues (NT-PM1, NT-PM2, CT-PM1, and CT-PM2). Comparable designs for Mango 391 added only 4.89 Mg.ha⁻¹ yr⁻¹, increasing SOC stocks slightly less, e.g. 0.49 compared to 392 0.56 Mg C ha⁻¹yr⁻¹ in Melon. The difference in terms of C inputs between Melon and 393 Mango was 0.67 Mg C ha⁻¹yr⁻¹, and the annual increase of soil carbon was 0.07 Mg ha⁻¹ 394 yr¹. Under NT-SV the Caatinga equilibrium is likely to be reached in five decades (47 395 years). 396

397 >Insert Figure 6

398

399 4. DISCUSSION

400 4.1 Land use and agroecosystems design to increase soil carbon stocks

In this paper, we show a sustainable approach of land management for the semi-401 402 arid regions to increase the SOC content by designing multifunctional agroecosystems. We used experimental evidence for different cover crop mixtures and soil tillage for 403 perennial (Mango) and annual crops (Melon) in irrigated dryland ecosystems. This 404 partially reversed the impact of deforestation and conventional agricultural systems that 405 406 had reduced the SOC stocks in the semi-arid region (Sacramento et al., 2013; Santana et al., 2019; Valbrun et al., 2018). The conversion of Caatinga forest into mixed arable and 407 408 perennial (date palms) agriculture had caused an exponential carbon loss during a period of 35 years. Cover crop systems combined with NT were able to reverse the loss of SOC
in Mango and Melon production systems (Table 3). The SOC stocks (0-20cm soil layer)
increased between 0.041 and 1.068 Mg C ha⁻¹ yr⁻¹, peaking in the NT-PM2 treatment for
Melon and finding its lowest in the SV-CT treatment for Mango in spite of high annual
C additions (5.14 and 2.55 Mg C ha⁻¹ yr⁻¹, respectively). Overall, the highest rates of SOC
increase occurred in agroecosystems combining PM with NT.

Different mixed system approaches have shown to increase SOC in semi-arid and 415 arid regions, e.g. for the presence of trees in grassland (Mureva et al., 2018). Negative 416 417 correlations between precipitation and SOC accumulation (García-González et al., 2018) seem contradictory as higher precipitation should increase productivity and C inputs into 418 419 the soil. Irrigation is crucial to enhance biomass production in dryland ecosystems (Lal, 2004). However, little research has been conducted in irrigated semi-arid areas with the 420 421 aim of sustainable intensification of semi-arid agroecosystems, a gap this paper 422 addressed.

With variable success, we implemented the concept of multi-functionality by 423 combining different types of cover crops with reduced tillage to demonstrate its impact 424 on SOC stocks (Giongo et al., 2016; Müller Carneiro et al., 2018; Santos et al., 2018). 425 Our results were confirmed by García-González et al. (2018) who showed that ten years 426 of irrigated cover crop cultivation increased the SOC stocks in the 0-20cm layer by 0.42 427 and 0.18 Mg C ha⁻¹ yr⁻¹ under reduced and conventional tillage, respectively. This was 428 429 independent of the type of cover crop (barley, vetch), C input for both being similar (1.6 Mg C ha⁻¹ yr⁻¹). Our data showed the combined effect of tillage and total C input by plant 430 431 mixtures of different quality. The higher mean annual temperatures in the Brazilian Semiarid (26.2 °C compared to 14.6 °C in Spain) and irrigation accelerate the decomposition 432 433 process (Freitas et al., 2019; Pereira Filho et al., 2019). However, change to NT combined with high input PM are the main controls for mitigating SOC losses. Economically,savings in tillage could compensate costs of special PM seeding material.

Normally, loss of yield, higher costs, and lower profitability are the main concerns of
the farmers in adopting new agroecosystems designs. However, our results and previous
studies in these trials (Santos et al., 2018; Müller Carneiro et al., 2019) show that NT and
the PM can increase or maintain crop yields (Figure S1, Supplementary Material) and
profitability of mango orchards and melon crops.

Plant mixtures increased mango yields independent of soil management as the longterm economic analysis showed: PM generated higher revenue and profits than the conventional system (Müller Carneiro et al., 2018). In Melon, PM increase the productivity mainly when NT is implemented (Santos et al., 2018); they also compared the experimental data from PM2-CT with the conventional systems (CT-SV) adopted by farmers, showing higher costs in PM2-CT were offset by higher yields and NT increased profits due to lower costs.

448

449 **4.2. Roth** C model

The SOC stocks measured under Caatinga vegetation (21.3 Mg C ha⁻¹) was 450 perfectly modelled using the standard settings in RothC, only slightly adjusting C inputs 451 during the spin-up runs (Figures 3 and 4). This first step is essential for the initialization, 452 which has a significant influence on subsequent RothC model outputs. Residue inputs are 453 important and should be estimated as accurately as possible (Nemo et al., 2017). Data on 454 aboveground biomass of the Caatinga vegetation were based on those previously 455 described by Lima Júnior et al. (2014). The SOC stocks (0 - 20cm) are naturally low, the 456 average of 23 Mg C ha⁻¹ (Menezes et al., 2012) can range from 17 Mg C ha⁻¹ (Schulz et 457

al., 2016) to 30 Mg C ha⁻¹ (Althoff et al., 2018). Biomass formation and residue inputs
are limited by water and soil fertility, causing these low SOC contents.

The soils of the experiments in the present study have high sand and very low clay content, characterized as "sandy loams" (Table 1). RothC was sufficiently sensitive to high turnover in sandy soils (Table 3), similar to results for land management regimes (tillage intensities x fertility) in African sandy soils (>70% sand, <8% clay) (Mujuru and Hoosbeek, 2016). Due to the extreme dry climate in our study area, irrigation water must be added to produce a crop, guarantee C inputs and its turnover simulated by RothC.

The RMSE ranged from 5 to 18% and were within RMSE_{95%} limits. The low values the RMSE indicated that there was a small difference between the observed and predicted SOC by RothC, which is important as RMSE is considered one of the best statistical indicators to measure the model performance (Senapati et al., 2014).

MD values showed a significant bias specifically in the NT-PM1 and CT-PM1, 470 471 both under Melon but not for Mango. This maybe due to the effect of melon residues retarding the decomposition of green manure (PM). There was no overall significant bias 472 for the other treatments, the values ranging from -0.73 to 1.13 Mg C ha⁻¹ over 8 or 6 years, 473 respectively. Under Mango, across all six treatment designs the EFs were satisfactory, 474 ranging from 0.72 to 0.94 over 8 years. Under Melon, EF values were positive in five of 475 the six treatments, but very low and negative in the CT-SV. The positive EF indicated 476 that simulates values are better than the measured mean (Smith et al., 1996). Additionally, 477 the observed versus modelled SOC are highly correlated (r) indicated significant positive 478 479 associations between modelled and measured SOC values (P < 0.05). The statistics shows 480 clearly that the model has a very small overall uncertainty and therefore the model can be 481 transferred to other sites of similar soil, climate and management condition. Overall, the 482 RothC modelling approach represents a promising method to estimate SOC in irrigated semi-arid areas (Senapati et al., 2014) and variable cover crops (Yao et al., 2017; Zhang et al., 2019). We showed that it can be used to estimate the SOC changes according to differences in agroecosystem management (Table 3), confirming that RothC could model the effects in irrigated dryland areas and it can discriminate designs of multifunctional agroecosystems, affecting SOC dynamics. This adaptation of the model may bring further benefits not only to studies in his region but also for modelling other tropical dry ecosystems of the world.

490 **4.3.** Future SOC under intensified multifunctional agroecosystems

Our future scenario simulations were based on the fact that RothC can describe 491 492 the exponential SOC decay for the transition of land use from Caatinga to conventional 493 management well and its recovery for various cover crop x tillage combinations. For the simulations we assumed that future climatic conditions would be similar to the current 494 495 climate. The scenario results showed that Mango cultivated with cover crops and NT can 496 reverse previous losses of SOC stock within thirty years using leguminous plant mixtures (75 or 25% legumes; Figure 6a and b). Scenarios for Melon were even better due to the 497 likely higher crop residue inputs compare to Mango (Table 2), concluding that NT could 498 be more important in perennial than annual systems. Overall however, soil tillage is the 499 most important factor to increase SOC stocks in irrigated systems (Figure 6). The results 500 501 also show that the quantity and quality of the residues were less significant for the increase SOC stocks than the tillage regime. Our results are supported by several studies for the 502 semi-arid regions (García-González et al., 2018; Pereira Filho et al., 2019; Zhang et al., 503 2013) that demonstrated an increase in total SOC stocks promoted by changes in land 504 505 management (Aquino et al., 2017; Valbrun et al., 2018).

506 For the Melon system PM treatments combined with NT reached the SOC stocks 507 of the Caatinga forest after only 23 years while the recovery under NT-SV took five

decades (Figure 6b). Leguminous plant mixtures and Melon residues added on average 508 0.7 Mg ha⁻¹yr⁻¹ more C compared to Mango. In addition, plant mixtures are sown only in 509 between rows for Mango, whilst they are sown in sequence to Melon, causing a spatial 510 and temporal difference which is simplified in the model. Overall, in our system, average 511 512 SOC accumulation rates are in the range estimated using RothC at the regional level in Spain (Jebari et al., 2018) which predicted an increase of SOC stock by 0.47 and 0.35 Mg 513 C ha⁻¹yr⁻¹ under climate change for NT combined with cover crops in irrigated row crops. 514 Finally, differences in plant litter chemistry, decomposition and accumulation rate 515 516 can be attributed to vegetation-type which in RothC is represented by the DPM/RPM ratio (Yao et al., 2019, 2017; Zhang et al., 2019). The use of specific DPM/RPM ratios (which 517 518 describe the residue decomposability) for different plant materials should be modelling SOC turnover better than the use of default values (Shirato and Yokozawa, 2006; 519 520 Zimmermann et al., 2007). Although there is little evidence that litter chemistry controls SOC over timescales of decades (Lützow et al., 2006), our simulations using high 521 DPM/RPM ratios for large C inputs from green manure (adding more DPM) showed 522 clearly a reduced SOC accumulation rate in comparison to using the wider default ratio. 523 In a meta-analysis with data from 139 plots at 37 different sites, Poeplau and Don (2015) 524 quantified the potential of cover crops to increase SOC stock, with an annual change rate 525 of $0.32 \pm 0.08 \text{ Mg C}$ ha⁻¹ yr⁻¹ (soil depth of 22 cm). They concluded that 50% of the gain 526 in SOC stocks is expected to occur within the first two decades. According to Althoff et 527 al. (2018) and Araújo Filho et al. (2018), it would need 50 to 80 years under current 528 529 climate conditions to recover the SOC stock in Caatinga forests. Our multifunctional 530 irrigated agroecosystems combining NT and leguminous plant mixtures can recover the 531 SOC in less than half of this timespan.

Last not least, three thoughts regarding the multi-functionality of the proposed 532 agroecosystem: First, the intensification is entirely based on the assumption that the 533 availability of irrigation water is warranted in the future. If this is the case at large scale, 534 the proposed intensive management of horticultural crops will provide a cooling of this 535 semi-arid region. Secondly, our C analysis is only considering SOC but not woody 536 aboveground and belowground biomass C, which over the life time of the Mango system 537 538 would accumulate and reduce the difference between Melon and Mango. Lastly Mango wood could be a renewable source of biofuel. 539

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- 541

542 **5. CONCLUSIONS**

We showed that the design of multifunctional agroecosystems (plant mixtures x 543 tillage x annual/perennial) is able to increase SOC stocks (0-20cm) when irrigated in the 544 range of 0.041 (low input Mango, CT) and 1.068 Mg C ha⁻¹ yr⁻¹ (high input Melon, NT). 545 We showed that leguminous plant mixtures and reduced tillage for annual or perennial 546 crop can warrant significant impacts on climate change mitigation by sustainably and 547 548 socio-economically responsible agricultural management increasing SOC. Simulating likely SOC changes during the next five decades assuming stable climatic conditions, the 549 SOC of Caatinga forest (21.3 Mg C ha⁻¹) can be reached under both crops combining 550 cover crops and NT within 23 to 27 years. We used RothC with a daily timestep to 551 simulate the wetting and drying of the soil throughout the year, irrespective of irrigation. 552

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554 **Declaration of Competing Interest**

555 The authors declare that they have no known competing financial interests or 556 personal relationships that could have appeared to influence the work reported in this 557 paper

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804	SUPPLEMENTARY MATERIAL
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806	Table S1.
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808	Table S2.
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810	Table S3.
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812	Figure S1.
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