

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

Farming the planet with better nitrogen use

Pu Liu

Lanzhou University

Hang Xu

Lanzhou University

Sheng Liu

Lanzhou University

Jia Ding

Lanzhou University

Xiankai Lu

South China Botanical Garden, Chinese Academy of Sciences https://orcid.org/0000-0001-7720-6048

Buqing Zhong

Chinese Academy of Sciences https://orcid.org/0000-0001-5706-3107

Yixin Guo

Peking University and IIASA https://orcid.org/0000-0003-4958-7044

Xiao Lu

Sun Yat-sen University https://orcid.org/0000-0002-5989-0912

Yuanhong Zhao

Ocean University of China

Xiuying Zhang

Nanjing University

Songhan Wang

Nanjing Agricultural University https://orcid.org/0000-0002-8867-8486

Xuejun Liu

China Agricultural University https://orcid.org/0000-0002-8367-5833

Wen Xu

China Agricultural University

Ruotong Si

China Agricultural University

Keith Goulding

Rothamsted Research

Lei Liu (■ liuleigeo@lzu.edu.cn)

Lanzhou University

Biological Sciences - Article

Keywords:

Posted Date: May 24th, 2023

DOI: https://doi.org/10.21203/rs.3.rs-2972121/v1

License: © ④ This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

Additional Declarations: There is NO Competing Interest.

1 Farming the planet with better nitrogen use

3	Lei Liu ^{1,*} , Wen Xu ² , Jia Ding ¹ , Pu Liu ¹ , Hang Xu ¹ , Sheng Liu ¹ , Ruotong Si ² , Xiankai
4	Lu ³ , Buqing Zhong ³ , Yixin Guo ⁴ , Xiao Lu ⁵ , Yuanhong Zhao ⁶ , Xiuying Zhang ⁷ ,
5	Songhan Wang ⁸ , Keith Goulding ⁹ , Xuejun Liu ^{2,*}
6	
7	¹ College of Earth and Environmental Sciences, Lanzhou University, Lanzhou 730000,
8	China
9	² State Key Laboratory of Nutrient Use and Management, Beijing Key Laboratory of
10	Farmland Soil Pollution Prevention and Remediation, College of Resources and
11	Environmental Sciences, National Academy of Agriculture Green Development, China
12	Agricultural University, Beijing 100193, China
13	³ Key Laboratory of Vegetation Restoration and Management of Degraded Ecosystems,
14	South China Botanical Garden, Chinese Academy of Sciences, Guangzhou 510650,
15	China
16	⁴ Laboratory for Climate and Ocean-Atmosphere Studies, Department of Atmospheric
17	and Oceanic Sciences, School of Physics, Peking University, Beijing 100871, China
18	⁵ School of Atmospheric Sciences, Sun Yat-sen University, Zhuhai 510275, China.
19	⁶ College of Oceanic and Atmospheric Sciences, Ocean University of China, Qingdao
20	266100, China
21	⁷ International Institute for Earth System Science, Nanjing University, Nanjing 210023,
22	China
23	⁸ Key Laboratory of Crop Physiology and Ecology in Southern China, Nanjing
24	Agricultural University, Nanjing, 210095, China

⁹Sustainable Soils and Crops, Rothamsted Research, Harpenden, AL5 2JQ, UK

26

27 *Corresponding authors: Lei Liu, Lanzhou University. Tel &Fax: +86-0931-8912404,

28 Email: liuleigeo@lzu.edu.cn; Xuejun Liu, China Agricultural University. Tel &Fax:

29 +86-010-62733459, Email: liu310@cau.edu.cn

30

31 Abstract

32 Feeding an increasingly affluent population is a huge challenge facing global 33 agriculture. In contrast to "large-scale farming" in developed economies (e.g. Europe 34 and the United States), developing countries are dominated by "smallholder farms" 35 relying on traditional farming practices but increasingly with substantial nitrogen 36 overuse leading to severe environmental degradation and adverse human health. Here, 37 we explore the potential for better nitrogen use by synthesizing the global relationship 38 between farm size and nitrogen use for 16 major crops, assess the impact of farm size 39 on nitrogen flows, and link these with air quality modelling to produce an integrated 40 assessment of nitrogen-related environmental and health outcomes related to farm size. 41 We find that increasing farm size in developing countries can contribute to more 42 efficient and sustainable farming practices, which could decrease nitrogen overuse, 43 ammonia emissions and nitrogen deposition by 20-25%, increase nitrogen use 44 efficiency by 2-8%, and save over 142,000 premature deaths per year related to PM_{2.5} 45 air pollution. Although a large one-time investment is required for increasing farm size, 46 there would be substantial progress towards achieving Sustainable Development Goals, 47 associated with food security, a clean environment and improved human health.

49 Introduction

50 There are over 570 million farms worldwide, over half of which were less than two hectares in 2017^{1,2}. These smallholder farms are mainly located in developing countries, 51 52 while developed countries are dominated by large farms. Approximately 29-40% of 53 global food is produced on smallholder farms³, which are critical for eliminating 54 poverty, inequality and hunger; a meta-analysis has suggested that smaller farms, on 55 average, have higher yields and harbor greater crop and non-crop biodiversity at the 56 farm and landscape scales than do larger farms³. However, small farms often overapply 57 nutrients^{4,5}, and have been a critical constraint of innovations and agricultural 58 transformation, while large farms are more efficient and productive, their size enabling 59 the use of large machines with less labor^{6,7}.

60

61 Smallholder farms are, in particular, associated with nitrogen (N) overuse, posing an 62 increasing threat of environmental degradation⁸. For example, China consumes 30% of 63 global N fertilizer with a low N use efficiency (NUE) below 50%⁹, indicating that over 64 half of the N input is lost in the environment. Ammonia (NH₃) emissions, as a major N 65 loss pathway, contribute to excessive N deposition in natural and semi-natural terrestrial 66 and aquatic ecosystems and the formation of fine particulate matter (PM_{2.5}) air pollution. 67 This causes direct economic losses through the waste of N fertilizer, contributes to soil 68 acidification, biodiversity loss, freshwater and marine eutrophication, and threatens 69 human health^{10,11}. Small farms below two hectares are a key constraint to reducing N 70 misuse, while large-scale farms can be a vital pathway to achieving environmental 71 protection and Sustainable Development Goals (SDGs).

73 There is an increasing focus on developing sustainable farming, how scale, i.e. farm 74 size, affects all aspects of sustainability, and how increasing farm size can increase 75 yields on underperforming landscapes while simultaneously decreasing N pollution as compared to the view of some that small farms are more sustainable^{3,4,6,11}. It is still 76 77 unclear how farm size affects N fertilizer use efficiency in global crop production, 78 whether it is feasible to implement large-scale farming in developing countries, and to 79 what extent large-scale farming can mitigate N pollution. In this paper, we have 80 compiled a high-resolution dataset of global cropland N budgets for 16 major crops, 81 explored the impact of larger-scale farming on N fertilizer use, N use efficiency, and 82 NH₃ emissions, and assessed the impact on fine particulate pollution (PM_{2.5}) and N 83 deposition. We present a cost-benefit analysis of increasing farm size and the 84 implications of this for achieving greener agriculture in developing countries. 85

86 **Results**

87 Nitrogen use vs. farm size for major crops

88 We first present the current global spatial distribution of farm size in 2017 based on the 89 satellite-derived estimates using Google Maps and Bing Maps using the Geo-Wiki 90 application (Supplementary Sect. 1.2). The categories of farm size include very large 91 (>100 ha), large (16-100 ha), medium (2.56-16 ha), small (0.64-2.56 ha), and very small 92 fields (<0.64 ha), as defined by Lesiv et al.⁶ Globally, smallholder farms less than 0.64 93 ha comprised 46% of total farm numbers; farms less than 2.5 ha accounted for 57% of 94 all farms (Fig. 1A). These smallholder farms mainly occurred in developing countries 95 such as China, India and Africa. In China, smallholder farms (<2.5 ha) accounted for

96 94% of all farms, while medium (2.5-16 ha) and large farms (>16 ha) represented 4%
97 and 2% of farms, respectively; India was also dominated by small farms (99%). In
98 contrast, medium/large farms were mostly found in North America (98%), South
99 America (82%), Western Europe (75%) and Australia (99%) (Fig. S1A).

100

101 We analyzed 16 major crops including rice, wheat and soybean, based on a recently 102 updated geospatial dataset⁹ to assess how the farm size affected N fertilizer use in 2017 103 (Fig. 1C and Fig. S2); Fig. 1C shows the global averaged crop-specific N application 104 rates of each farm size category. Three crops (rice, wheat, and maize) accounted for 105 around 70% of global N fertilizer use (Table S1). For any crop, N fertilizer application 106 rate per unit area on large farms is usually less than that on small farms. For example, for rice, N fertilizer use on small-scale farms (137 kg N ha⁻¹ yr⁻¹, <0.64 ha) was three 107 108 times that on very large farms (>100 ha), and 1.58 times that on medium sized farms 109 (2.56-16 ha). For maize, N fertilizer use on very large farms (>100 ha) was around 60 110 kg N ha⁻¹ yr⁻¹, less than half that on small farms (> 130 kg N ha⁻¹ yr⁻¹). Similar results 111 were found for other crops including wheat, soybean and cassava, with the ratio of N 112 fertilizer use on small farms to that on large farms being 1.5-3.

113

114 There are global hotspots of N use. N fertilizer use on the 16 major crops grown in the 115 North China Plain and North India on small farms was more than 200 kg N ha⁻¹ yr⁻¹, 116 which was 2-4 times that on large farms in the United States and Western Europe. Large 117 farms tend to apply less N per hectare and are often more efficient in their use of 118 fertilizers because they use precision agriculture techniques (including the Global 119 Positioning and mapping systems, sensors, remote sensing technologies, satellite 120 imagery, variable rate application equipment, autonomous vehicles and drones)^{4,7} to 121 optimize fertilizer application rates and reduce N overuse.

122





Fig. 1 N fertilizer use vs. farm size. A, the spatial distribution of farm size based on satellite-derived estimates using Google Maps and Bing and the Geo-Wiki application⁵. The categories are very large (>100 ha), large (16-100 ha), medium (2.56-16 ha), small (0.64-2.56 ha), and very small (<0.64 ha); B, the spatial distribution of N fertilizer use on 16 major crops. The spatial maps of N fertilizer use for each crop can be found in Fig. S2; C, the correlation of N fertilizer use with farm size for the crops.

131 Cropland N inputs and flows

Total global N inputs to croplands in 2017 were estimated at 193 Tg N yr⁻¹, of which 132 133 synthetic fertilizer and manure accounted for 89% (172 Tg N yr⁻¹); the remaining 11% 134 (21 Tg N yr⁻¹) came from 'the environment', i.e. biological N fixation, deposition from 135 the atmosphere and irrigation (Fig. S3). High values of total N inputs were found in 136 China (50 Tg N yr⁻¹), India (32 Tg N yr⁻¹), and the United States (18 Tg N yr⁻¹), which 137 together comprised 52% of global N inputs. However, only 52% of this N was harvested 138 in crops, corresponding to 101 Tg N yr⁻¹, while the remaining 48% was lost to the 139 environment (NH₃, runoff and leaching, gaseous N₂O, N₂ and NO_x), amounting to an estimated 92 Tg N yr⁻¹ based on the mass balance approach (Supplementary Sect. 1.1 140 141 and Fig. S4). Very low NUE was found in Asia (43-50%) where small farms dominate, 142 while high NUE was found in European countries and North America (around 60-65%). 143 In 2017, NH₃ emissions from 16 major cropping systems was estimated at 29 Tg N yr⁻ 144 ¹, an important contributor to PM_{2.5}. Not surprisingly, high NH₃ emissions mainly 145 occurred in areas with small farms (<0.64 ha), such as in the North China Plain and 146 Northern India (>30 kg N ha⁻¹ yr⁻¹). Two countries (China and India) with a large 147 proportion of small farms accounted for 42% of global NH₃ emissions from cropping 148 systems.

149

150 Impact of farm scale on N flows

The overuse of N fertilizer on small farms in developing countries can be overcome: the above results show that enlarging farm size is one way to reduce cropland N fertilizer overuse and NH₃ emissions, with implications for N deposition and PM_{2.5}associated health impacts. 156 There is growing interest in increasing farm size in developing countries. In China and 157 India, 79% and 98% of farms are on land with terrain slope of less than 10 degrees (Fig. S10), where farm size can be increased through consolidating farms^{5,6}. Large-scale 158 159 farming can be more efficient and sustainable, improving agricultural productivity by 160 using advanced machinery, irrigation systems, and other technologies, and reducing the 161 negative environmental impact of agriculture, while having the potential to boost 162 economic growth in rural areas and increasing incomes. Historically, market 163 transactions and institutional arrangements have facilitated farm consolidation; land 164 banks have also been established to facilitate borrowing and lending of farmland in 165 China¹².

166

167 Policies such as land reform, agricultural subsidies, and infrastructure development can 168 play a critical role in supporting the expansion of farm size⁷. Governments could 169 implement policies that facilitate land consolidation, provide incentives for farmers to 170 increase their farm size, and improve access to markets and other essential services. 171 Here we have considered the conservative estimates that: 1) the very-small farms (<0.64 172 ha) and small farms (0.64-2.5) are expanded to medium farms (2.5-16 ha); 2) medium 173 farms are expanded to large farms (16-100 ha). This should be feasible and practical 174 using current policy interventions, such as reform of land tenure. We did not consider 175 the scenario of changing small farms to very large farms (>100 ha) due to the difficulty 176 in consolidating land into large areas and associated high costs.



B Changes in N fertilizer by expanding farm size



178

Fig. 2 Impact of increasing farm size on cropland N fertilizer use and N loss. A, a
scheme for expanding small-medium farms to large farms; B, changes in N fertilizer
use resulting from increasing farm size ([current fertilizer-new fertilizer] / current
fertilizer, 100%).



2-8%) from 52% to 57%, with a notable high increase in Asia from 45% to 53%. The
increases in NUE would be accompanied by increased crop yields of 2-8% according
to a study of expanding small farms below 0.1 ha to larger farms of around 7-60 ha in
China¹³. It is easier to promote advanced technologies on larger farms, including the
best management practices¹⁴, while smallholder farms tend to have outdated production
methods and high costs.

197

Besides the direct benefits of a decrease in N fertilizer use and an increase in NUE, our analysis shows that increasing farm size can also decrease substantial N losses while improving the environment and human health.

201

202 **Decreased N losses**: NH₃ emissions from cropping systems can be decreased by 23% 203 globally by expanding farm size, with those in China and India declining by 33% and 204 39% respectively. Through expanding farm size, a 33% reduction of current N leaching 205 and runoff losses can be achived globally (from 26 to 18 Tg N yr⁻¹), while cropland soil 206 N₂O and NO_x emissions can be reduced by 47% (from 11.9 to 5.7 Tg N yr⁻¹) (Fig. S7). 207 Regionally, large decreases occurred in Asia and Africa, exceeding 50% of total N 208 leaching and runoff, and cropland N₂O and NO_x emissions.

209

Reduced health impacts: Millions of people die prematurely every year from diseases caused by $PM_{2.5}$ air pollution, partly associated with NH_3 and NO_x^{11} . $PM_{2.5}$ was responsible for an estimated 3.9 million premature global deaths in 2017 (Fig. S9); this included over 1.3 million deaths in China, 1.0 million in India, and over 40000 in the United States. Agriculture contributed from 1-20% of premature deaths related to $PM_{2.5}$ pollution depending on the country, with a high percentage in the Europe Union (15216 20%), China and India (10-15%) and the USA (7-10%) (see Sect. 1.4 and 1.5 for the 217 calculations). Expanding farming size can substantially reduce $PM_{2.5}$ pollution in 218 eastern China (reductions of 5-10 µg m⁻³ yr⁻¹), followed by northeastern China and India (reductions of 0-5 μ g m⁻³ yr⁻¹). In total, global premature deaths resulting from PM_{2.5} 219 220 can be reduced by 142,276 every year by reducing NH₃ emissions through increasing 221 farm size (Fig. 2 and Fig. S9). For China and India, premature deaths can be reduced 222 by over 63,000 and 27,000 per year respectively, followed by Indonesia, Bangladesh, 223 Turkey, Pakistan and Russia (reductions of 2,000-6,700).

224

225 Decreased N deposition: Cropland Nr emissions contribute to N deposition to natural 226 and semi-natural environments, which may lead to species loss and changes in structure 227 and function of sensitive plants, eutrophication of terrestrial and aquatic ecosystems and nitrate in groundwater^{9,15}. Global Nr deposition in 2017 greatly exceeded critical 228 229 levels (to limit terrestrial biodiversity loss) (Fig. S12), especially in developing 230 countries dominated by smallholder farms, including China and India (where N_r 231 deposition was more than double the critical limit). Total Nr deposition was mainly 232 dominated by NH_x, with a global mean of 54%, but which accounted for more than 55% 233 of the total in many countries such as China (63%), India (70%), Europe (including 234 Germany, France, Spain and Poland, 56-65%). In many areas of Eastern China and 235 Northern India, NH_x deposition exceeded 30 kg N ha⁻¹ yr⁻¹ (Fig. S5), posing an 236 increasing threat to ecosystems. Through increasing farm size, NH_x deposition can be 237 reduced by 13% (9-25%), with large decreases in China (21%), India (25%), Pakistan 238 (15%), Myanmar (16%), and Tailand (23%), while reductions in the USA and European 239 countries were smaller (below 5%) (Fig. S5). Correspondingly, the proportion of NH_x 240 in total Nr deposition could be reduced by 7% in India (from 70% to 63%), 6% in China

- 241 (from 63% to 57%) and Tailand (from 62% to 56%), followed by Pakistan (4%, from 69% to 65%) and Myanmar (4%, from 62% to 58%). Expanding farm size is a feasible 242 243 pathway to address the issue of increasing NH_x deposion in China and India, which is 244 3-4 times that of large-scale farming countries (e.g., the USA and European Union). 245
 - A Cropland NH3 emissions by country **B** Changes in cropland NH₃ emissions by expanding farm size Tg N 50 40 304 6 20 10 ${\bm C}~$ Decrease in ${\sf PM}_{2.5}$ concentrations by expanding farm size D Decrease in PM_{2.5} deaths by expanding farm size 24 µg m death yr 10000 7500 5000 2500 ${\bf E}~$ Decrease in ${\rm NH}_{\rm x}$ deposition by expanding farm size F Changes in NH_x deposition (%)



249 farm size; C, spatial distribution of changes in PM_{2.5} caused by increasing farm size; D, 250 spatial distribution of changes in premature deaths caused by increasing farm size; E 251 and F, decreases in NH_x deposition caused by increasing farm size, and their changes 252 (%) by country.

253

246

247

254 Cost-benefit analysis

255 Since the cost of expanding farm size is closely linked to economic development and 256 land types¹⁶, we divided the world into six categories including Low-income Plain (LP), 257 Low-income Mountain plain (LM), Medium-income Plain (MP), Medium-income 258 Mountain plain (MM), High-income Plain (HP), and High-income Mountain plain (HM) 259 (Fig. S11C). The economic levels (GDP as USD Per Capita) were divided into three 260 categories: High (>30,000 USD), medium (10,000-30,000 USD) and low (<10,000 261 USD), while the terrain was divided to: Plain (<1500 m and slope <10 degrees) and 262 Mountain-Plain (>1500 m and slope <10 degrees) (Fig. S11D and Sect. 1.6 in SM). The 263 costs of land consolidation in China were estimated as 2634, 3535, 3082, 3661, 3530, 264 3787 USD ha⁻¹ for LP, LM, MP, MM, HP, and HM⁷, respectively, while for other 265 countries the costs were estimated and adjusted by the GDP per capita by country (Fig. 266 S11).

267

The "*one-time investment*" for increasing farm size described above was around 1107 (976-1237) billion USD in 2017, mainly in China (385 billion USD) and India (358 billion USD). The estimated cost in China was slightly lower than that estimated by the Chinese Ministry of Natural Resources (400 billion USD) for the same degree of consolidation. China invested 12 billion USD and developed a land transfer system to increase farm size in 2020^{12,13}, but this was much less than the estimated costs of 385 billion USD for achieving a complete move to large-scale farming.

275

276 Despite the high cost of the *one-time* investment, we calculate that it is beneficial for 277 farmers and society in general for decades or longer. A 23% decrease in the use of 278 synthetic N fertilizer saves 13-23 billion USD *per year*, while reduced labor, machinery 279 and associated services costs could save a further 26-36 billion USD per year (Fig. S12). 280 Based on the willingness to pay (WTP) associated with reduced N-related 281 environmental impacts (Supplementary Sect. 1.6), a net social benefit from reducing 282 NH₃ emissions was around 18-28 billion USD per year, while savings from other 283 environmental costs could be 11-21 billion USD per year for N leaching and runoff to 284 water, N₂O and NO_x emissions. In addition, surface ozone concentrations could be also 285 substantially reduced by reduced NO_x emissions resulting from implementing large-286 scale farming, such as in the NCP: a number of studies have estimated significant 287 episodic surface ozone enhancement from soil NO_x emissions by up to 8 ppb when 288 there is increased photochemical activity in the atmosphere^{14,15}. Expanding farm size 289 also facilitates sustainable agriculture, through the better use of machinery and 290 knowledge exchange^{7,16}.



292 Fig. 4 Country-level one-time investments and annual benefits of increasing farm size.

A, estimated single fixed investment costs of land consolidation to increase farm size; B, annual benefits of reduced N fertilizer use resulting from increasing farm size; C, annual benefits of reduced labor resulting from increasing farm size; D, annual benefits of reduced NH₃ emissions caused by increasing farm size; E, annual benefits of reduced leaching and runoff caused by increasing farm size; F, annual benefits of reduced NO_x and N₂O emissions caused by increasing farm size. All as USD billions yr⁻¹.

300 **Discussions**

301 Currently, China and India are the two developing countries with the largest proportion 302 of small farms of less than two hectares, which overuse of N fertilizers and so result in 303 the most severe N pollution in the world. In contrast to developed countries with larger 304 farms, N fertilizer use per hectare in China and India is 2-4 times that in high-income 305 economies in the USA and Western Europe, where farms of more than 100 ha are 306 common. Based on the conservative estimates above for China and India, reductions of 307 around 30-40% in synthetic N fertilizer applied can be achieved by expanding farm size, which could decrease NH₃ emissions by 33-39%, NH_x deposition by 21-25% and PM_{2.5} 308 309 related deaths over 90,000 per year in China and India.

310

The reasons why farms in developed countries tend to be larger than those in developing countries involve social and economic factors. Developed countries generally have more access to capital and other resources (knowledge exchange, better machinery, etc) than developing countries, which can lead to economies of scale and increased efficiency. For example, large farms in developed countries have access to advanced technologies such as precision agriculture, which can help them to maximize yields and

minimize inputs^{7,18}. Larger farms are able to negotiate better prices for inputs and 317 318 products, reducing costs and increasing profits. In contrast, many developing countries 319 face challenges such as limited access to credit, lack of access to modern agricultural inputs, and underinvestment in infrastructure¹⁹. Governments need to formulate 320 321 appropriate policies to promote urbanization, improve education and skills among rural 322 populations, strengthen urban-rural economic connections, create more job and 323 entrepreneurial opportunities for farmers, and gradually shift rural labor to urban areas. 324 This will help to reduce the labor supply in the agricultural sector and promote the 325 gradual development of larger-scale agriculture.

326

327 This has occurred in developed countries. For example, American farm sizes have gone 328 through a process of expansion, restriction and re-expansion¹⁹, in which the government 329 has played an important role with continuous interventions. Except during the two 330 world wars, the USA's farm scales have increased and by the 2010s, the midpoint 331 (medium) farm size had increased to 408 ha¹⁹. The US government has used 3S 332 (Remote Sensing, Geographic Information Systems, and Global Positioning Systems) 333 technology to develop precision agriculture, promoting this on farms and accelerating 334 the reorganization and merger of small farms to large farms. This "American path" 335 may prove an important reference for developing countries to expand farm scales for 336 achieving the increasing food demand while decreasing N-related environmental and 337 health impacts.

338

339 *The movement of labor away from agriculture* is now common in rapidly developing 340 countries, leading to a shortage of labor on small farms. However, with rapid 341 urbanization, economic development and successful industrialization, the shifting of 342 the economy from agriculture to nonagricultural sectors in China and India has proved advantageous. In addition, the global population is ageing at an increasing rate²⁰, which 343 344 could also lead to labor shortages on small farms. A comprehensive transformation of 345 smallholder farming to a more sustainable agriculture is urgently needed to overcome 346 the ageing of the rural population in developing countries, especially in China and India. 347 To reduce labor costs, farmers need to replace labor with machinery. Labor inputs on 348 middle-large scale farms can be reduced to one person per ha in contrast with around 349 six people per ha for small farms^{7,11}. Large-scale farming can provide a breakthrough 350 for improving rural living standards and achieving 'modern' agriculture. If farm sizes 351 cannot be changed in the future, China and India could become gigantic importers of 352 food grains due to a shortage of labor and the difficulty in mechanizing in small farms. 353 This has already happened in Japan and Republic of Korea²¹.

354

355 Due to the social, economic and environmental differences across countries, the extent 356 of large-scale farming varies, but a move towards larger-scale farming is the pathway 357 towards agricultural modernization. The smooth transfer of farmlands from smallholder 358 farmers to larger farmers is essential for achieving sustainable agriculture in developing 359 countries. Farm size expansion has occurred in China since the 2000s via a cropland transfer system²², accompanied by particular developments²³⁻²⁶; first, machinery 360 361 service providers directly rent the land of small farms, helping to consolidate 362 fragmented farmlands; second, local governments facilitate farmland rental 363 arrangements and have introduced subsidies for mechanization. In 2014, the China's 364 central government issued "Opinions on Guiding Orderly Transferring of Rural Land 365 Management Rights, and Developing Appropriate Scale of Agricultural Operations" to 366 accelerate and standardize cropland transfers. To increase the scaling up of farms in 367 developing countries, government policies and institutional incentives must be 368 developed, such as improving infrastructure construction, promoting agricultural 369 technology development, transferring surplus rural labor, increasing agricultural 370 subsidies and developing agricultural funds, credit and insurance.

371

372 **References**

- 373 1. Galloway, J.N. et al. Transformation of the nitrogen cycle: recent trends, questions,
- and potential solutions. Science 320, 889-892 (2008).
- Lowder, S.K. et al. The number, size, and distribution of farms, smallholder farms,
 and family farms worldwide. World Dev., 87, 16-29 (2016).
- Ricciardi, V. et al. Higher yields and more biodiversity on smaller farms. Nature
 Sustain., 4, 651-657 (2021).
- 4. Wu, Y. et al. Policy distortions, farm size, and the overuse of agricultural chemicals

380 in China. Proc. Natl. Acad. Sci. USA, 115, 7010-7015 (2018)

- 381 5. Senapati, N. et al. Global wheat production could benefit from closing the genetic
 382 yield gap. Nat. Food, 3, 532-541 (2022)
- 383 6. Lesiv, M. et al. Estimating the global distribution of field size using crowdsourcing.
- 384 Glob. Chang. Biol., 25, 174-186 (2018).
- 385 7. Duan, J.K. et al. Consolidation of agricultural land can contribute to agricultural
 386 sustainability in China. Nat. Food 2, 1014-1022 (2021)
- 387 8. Liu, X.J. et al. Enhanced nitrogen deposition over China. Nature 494, 459-462
 388 (2013).
- 389 9. Liu, L. et al. Exploring global changes in agricultural ammonia emissions and their
- 390 contribution to nitrogen deposition since 1980, Proc. Natl. Acad. Sci. U.S.A 119,

391 e2121998119 (2022)

- 392 10. Chen, X. et al. Producing more food with lower environmental costs. Nature 514,
 393 486-489 (2014).
- 394 11. Wang, S. et al. Urbanization can benefit agricultural production with large-scale
 395 farming in China. Nat. Food 2, 183-191 (2021)
- Huang, J. et al. The prospects for China's food security and imports: will China
 starve the World via imports? J. Integr. Agric. 16, 2933-2944 (2017).
- 398 13. Yu, Y. et al. Reforming smallholder farms to mitigate agricultural pollution.
 399 Environ. Sci. Pollut. Res. 29, 13869-13880 (2022)
- 400 14. Wang, R.; Bei, N.; Wu, J.; Li, X.; Liu, S.; Yu, J.; Jiang, Q.; Tie, X.; Li, G., Cropland
 401 nitrogen dioxide emissions and effects on the ozone pollution in the North China
 402 plain. Environ. Pollut. 294, 118617 (2022).
- 403 15. Lu, X.; Ye, X.; Zhou, M.; Zhao, Y.; Weng, H.; Kong, H.; Li, K.; Gao, M.; Zheng,
- B.; Lin, J.; Zhou, F.; Zhang, Q.; Wu, D.; Zhang, L.; Zhang, Y., The
 underappreciated role of agricultural soil nitrogen oxide emissions in ozone
 pollution regulation in North China. Nature Communications 2021, 12, 5021.
- 407 16. Cui, Z. et al. Pursuing sustainable productivity with millions of smallholder
 408 farmers. Nature 555, 363-366 (2018).
- 409 17. Xu, W. et al. Quantifying atmospheric nitrogen deposition through a nationwide
 410 monitoring network across China. Atmos. Chem. Phys. 15, 12345-12360 (2015)
- 411 18. Grinsven V. et al. Costs and benefits of nitrogen for Europe and implications for
 412 mitigation. Environ. Sci. Technol. 47, 3571-3579 (2013).
- 413 19. James M. et al. Farm size and the organization of USA report summary from the
 414 Economic Research Service (2013),
 415 https://www.ers.usda.gov/webdocs/publications/45108/39359 err152.pdf

- 20. Ren, C.C. et al. Ageing threatens sustainability of smallholder farming in China.
 Nature. 616, 96-103 (2023)
- 418 21. Otsuka, K. Food Insecurity, Income Inequality, and the Changing Comparative
 419 Advantage in World Agriculture. Agricultural Economics 44: 7–18 (2013)
- 420 22. Huang, J. and Ding, J. Institutional Innovation and Policy Support to Facilitate
 421 Small-Scale Farming Transformation in China. Agricultural Economics 47: 227–

422 237 (2016)

- 423 23. Ren, C. et al. The impact of farm size on agricultural sustainability. J. Clean. Prod.
 424 220, 357-367 (2019)
- 425 24. Ma, X. et al. Assessment of high-standard farmland construction fund effectiveness
- 426 in China. Statist. Decision 36, 85-89 (2020).
- 427 25. Liu, H. Accelerate the implementation of a new round of plans for high-standard
 428 farmland and improve the quality of construction throughout the year. Agric. Integr.
 429 Develop. China 3, 23-28 (2021).
- 430 26. Li, L., Wu, L. The impact of rural population changes on food security in China. J.
 431 China Agric. Univ. Social Sci. 37, 80-91 (2020).

432

433 Methods

Here we provide a brief introduction to the methods used in this study, but detailed information is in the Supporting Information. To assess the potential impact of expanding farm size on N pollution, we first compiled global N budgets in 2017, including N fertilizer use, manure use, and the environmental N inputs (biological N fixation, N deposition and irrigation) for 16 major crops, and their outputs including crop N uptake, NH₃ emissions, leaching and runoff, and other gaseous emissions (N₂O, 440 NO_x and N_2). Given the constructed N budgets, we made a global evaluation of how 441 farm size affected N fertilizer use for 16 major crops and its impact on N use efficiency 442 and NH3 emissions from croplands. Fractional Nr deposition and PM2.5 concentrations 443 as affected by expanding farm size were modeled using an advanced atmospheric 444 transport model (GEOS-Chem). We used the satellite-derived $PM_{2.5}$ exposure estimates 445 and the fractional PM_{2.5} as affected by increasing farm size to estimate PM_{2.5} related 446 premature deaths following the Global Burden of Disease (GDB) methods. Finally, we 447 estimated the potential cost and benefits from increasing farm size at the country level. 448

449 Acknowledgments This study was supported by the National Natural Science 450 Foundation of China (42001347, 41471343, 42277097, and 41705130), the Chinese 451 Key Research & Development Program (2017YFC0210100 State and 452 2017YFD0200101), and the High-Level Team Project of China Agricultural University 453 (X. Liu). The analysis in this study is supported by the Supercomputing Center of 454 Lanzhou University. Author contributions L.L. and L.X.J. designed the research; L.L. 455 and L.X.J. wrote the draft; L.L., L.S., and X.H. performed the analysis and prepared 456 the figures; All co-authors contributed to the text and interpretation of the results. 457 Competing Interests The authors declare no competing financial interests. Data and 458 code availability The GEOS-Chem model code is open source at 459 https://doi.org/10.5281/zenodo.3676008. All study data are included in the article 460 and/or the supporting information.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

• SINpollutionFarmsize.pdf