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Highlights

Compared with initial values, final SOC stock increased by 24–74% under manure.

SOC_r was positive for all regions but were negative under CK and NPK in Northeast.

A decrease in SOC stock was reported in some trials in Northeast under manure.

SOC_r has no significant differences between the M and NPKM in Northeast and South.

SOC_r was main controlled by initial SOC, soil BD and climate.

1 **Spatial changes and driving variables of topsoil organic carbon stocks in**
2 **Chinese croplands under different fertilization strategies**

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14

15 **Abstract**

16 The effect of different fertilization strategies on changes in soil organic carbon (SOC) largely
17 depends on the current status of a given agricultural region. We analysed the results of 90 long-
18 term field trials (20–37 years) in Chinese croplands to determine the effects of fertilization
19 strategies [i.e., no fertilizer (CK), chemical fertilizer (NPK), manure only (M) and manure plus
20 chemical fertilizers (NPKM)] on soil organic carbon stock (SOC_s) at 0–20 cm depth in the
21 North (NC), Northeast (NEC), Northwest (NWC) and South (SC) China. Compared with initial
22 values, SOC_s increased by 24–68% and 24–74% under NPKM and M applications, respectively,
23 over the experimental periods. Furthermore, final SOC_s under NPKM in NEC and NWC were
24 significantly higher than those under other treatments, but there was no significant difference
25 between NPKM and M in SC and no significant differences among fertiliser treatments in NC.
26 Average SOC stock change rates (SOC_r) were positive under all treatments for all regions
27 except for CK and NPK in NEC, which were negative. There were regional differences in
28 treatment effects: all treatments showed significantly different rates in NC and NWC, whereas
29 there were no significant differences between the M and NPKM in NEC and SC. Random forest
30 (RF) modelling showed that among the selected variables initial SOC_s was the most important
31 in accounting for differences in SOC_r, followed by soil bulk density, mean annual temperature
32 and precipitation for all treatments. Soil total nitrogen content was also an important
33 explanatory variable for SOC_r for CK and NPK, and soil pH for M. This study has highlighted
34 the main driving variables of SOC change which can be of use in optimizing fertilization
35 strategies, by taking account of the baseline SOC_s status and environmental factors for different
36 regions, to minimize soil carbon emissions while maximizing carbon sequestration in soils.

37 **Keywords:** soil organic carbon, topsoil, Chinese croplands, long-term fertilization, region

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41 **1 Introduction**

42 Soil organic carbon (SOC) in croplands is linked to soil fertility, crop production and food
43 security, and its increase has been considered as a potential measure to reduce or offset the
44 anthropogenic emission of carbon dioxide (CO₂), mitigating global climate change (Lal, 2004;
45 Zhao et al., 2018). About 10% of the total organic carbon (C) reserve on the earth (140–170 Pg,
46 1 Pg=10¹⁵g) is in cropland ecosystems, which is the most active part of world's terrestrial soil
47 C pool (Liang et al., 2016). Furthermore, it has been demonstrated that agricultural soils
48 provide a potential sink for atmospheric CO₂ by sequestering SOC (Smith et al., 2008).
49 Therefore, how to maintain and increase SOC in agricultural ecosystems has become a key
50 question for improving soil quality and mitigating global climate change.

51 China occupies only 7% of the world's arable land but it feeds nearly 20% of the world
52 population (Fang et al., 2018). To meet the growing demand for food, China's agriculture has
53 greatly intensified, with high inputs of chemical fertilizers and intensive agricultural land use
54 (Huang and Sun, 2006; Ju et al., 2009). Between 1980 and 2014, nitrogen (N) fertilizer
55 consumption nearly tripled in China (Liu et al., 2016). As a result, SOC stock (SOC_s) has been
56 decreasing, along with nutrient loss, raising serious concerns (Gattinger et al., 2012; Lal, 2004).
57 Manure has been widely applied in order to increase C sequestration in agricultural ecosystems
58 (Gattinger et al., 2012; Maillard and Angers, 2014). However, some studies demonstrate no
59 significant increase or even a decrease in SOC_s after manure amendment (Leifeld et al., 2009;
60 Li et al., 2012) because when compared to chemical fertilizer application, the C input from
61 crop biomass is lower. Generally, it is very important to improve the soil fertility and
62 biodiversity in organic agricultural systems (Mader et al., 2002). Several field experiments have
63 proven that long-term chemical fertilizers combined with manure input increases SOC
64 concentration (Guo et al., 2016; Zhang et al., 2015). Chemical fertilizers indirectly affect the

65 C input to the soil by influencing the additions of plant stubble and root and rhizodeposition;
66 in addition to this, manures directly influence C input to the soil and over the long-term assist
67 the stabilization of SOC (Zhao et al., 2008). Moreover, SOC distribution and storage can be
68 indicators of soil fertility, hydrology and propensity for greenhouse gas emissions (Lal, 2004).
69 However, changes in SOC_s vary under different long-term fertilization strategies and across
70 different regions.

71 Individual studies have attempted to relate the variability in SOC change to various
72 explanatory factors such as climatic conditions, soil properties and management practices
73 (Huang et al., 2012; Liang et al., 2016; Maillard and Angers, 2014; Tian et al., 2015). Generally,
74 climate conditions are often regarded as the dominant factor driving soil C dynamics
75 accounting for most of the variations in SOC change across larger scales (Carvalhais et al.,
76 2014; Chen et al., 2013). Soil physiochemical properties are also regarded as relevant to the
77 SOC_s change rate, including soil clay content which influences the rate of decomposition and
78 sequestration of organic C due to the stronger adsorption ability of soil clay particles (Zhao et
79 al., 2006). Soil acidity affects soil physical and biological properties, and plant growth and
80 therefore affects soil C (Grybos et al., 2009; Qin et al., 2013). Furthermore, management
81 practices, such as fertilization practices, also exert significant impacts on SOC dynamics by
82 influencing C inputs; in particular, SOC changes are usually closely related to soil N (Qin et
83 al., 2013). Few studies have attempted to comprehensively quantify the effects of these
84 explanatory factors from all the available data for China. It is therefore important to understand
85 the key driving factors of SOC change under different fertilization strategies which will
86 contribute to the understanding of the underlying processes of SOC stabilization in soils as well
87 as the development of potential strategies for the mitigation of soil degradation and climate
88 change.

89 Previous observations have shown that fertilizer applications can have a big impact on SOC

90 change but most studies have been at the local or limited regional scale (Guo et al., 2016; Li et
91 al., 2010; Zhu et al., 2010). However, SOC can vary greatly at a wider regional scale (Gattinger
92 et al., 2012; Zhang et al., 2016a). Very few studies have estimated SOC changes at the national
93 scale, and the results of those were highly variable or even contrasting (Pan et al., 2010; Yan et
94 al., 2011; Yu et al., 2009; Zhao et al., 2018). For example, Yu et al. (2009) reported reductions
95 in SOC_s of 41% in North (NC), 35% in Northwest (NWC), 26% in South (SC) and 37% in
96 Northeast (NEC) China, respectively in agricultural soils. However, Pan et al. (2010) report
97 that SOC_s have increased by 1%, 12%, 11% and 6% in NEC, NC, NWC and SC, respectively.
98 While Wang et al. (2013) showed that in NEC and NWC, SOC_s in agricultural soils was still
99 decreasing. However, previous studies have usually focused on the short-term SOC change for
100 a single site or a small area, and there is still lacking long-term comparative studies on SOC
101 changes at a national scale in China. In this context, the assessment of regional or national
102 SOC_s dynamics has recently become a very important topic (Gattinger et al., 2012; Carvalhais
103 et al., 2014; Liu et al., 2013).

104 The long-term experiments across China provide a good opportunity to investigate regional
105 variation in SOC and the driving factors for SOC change under different fertilization strategies.
106 Therefore, in this study a series of factors known to affect soil C dynamics were selected and
107 analysed statistically using data from 90 long-term field trials (>20 years) with the following
108 aims: 1) to characterize the regional variability in SOC change in the topsoil (0–20 cm) in
109 response to different agricultural fertilization strategies in China; 2) to identify the main driving
110 factors of SOC change for these agricultural soils.

111 **2 Materials and methods**

112 **2.1 Data sources**

113 In China, most of long-term field experiments were established since the 1970s. Thus, to
114 investigate the effects of long-term fertilization strategies on SOC changes, we only selected

115 data from long-term field trials that had been running for at least 20 years (started from 1973
116 to 1995 and ended before 2019). Two sources were used to obtain data on cropland SOC_s, soil
117 and climate characteristics (Appendix S1.): i) the Long-term National Soil Fertility Monitoring
118 Network (NSFMN, Xu et al., 2015), which was established during the 1970s and 1980s in
119 typical agricultural areas of China; ii) published peer-reviewed journal articles. We selected 38
120 long-term experiments representing different climates, soil types and cropping systems along
121 a latitudinal transect of China from the NSFMN and added a further 52 sites from the published
122 journal articles. Publications up until October 2019 were searched through the Web of Science
123 (<http://apps.webofknowledge.com/>) and the China Knowledge Resource Integrated Database
124 (<http://www.cnki.net/>) in which measured SOC from 20 cm topsoil was presented for Chinese
125 croplands grown with either wheat, maize, rice or soybean. Field experimental treatments had
126 to include: no fertilizers (CK), chemical fertilizers (N, phosphorus [P] and potassium [K]) -
127 NPK, manure applied only (M) or M plus chemical fertilizers (NPKM). China has a wide
128 variation in mean annual temperature (MAT) and mean annual precipitation (MAP) (i.e. -1.5-
129 19.5 °C in MAT, 127-1975 mm in MAP) based on the average over the experimental periods
130 using data from the Chinese Meteorological Administration (<http://cdc.cma.gov.cn>). To assess
131 regional variations, we divided the mainland China into four regions with distinct climate, soil
132 types and cropping systems: NEC (humid and cool temperate monsoon climate with a single
133 cropping system with maize, wheat, soybean or rice), NC (semi-arid and temperate monsoon
134 climate predominantly with a double cropping wheat-maize rotation), NWC (arid and
135 temperate continental climate predominantly with a single cropping winter wheat or summer
136 maize rotation), and SC (humid and sub-tropical or tropical monsoon climate predominantly
137 with a double cropping (either wheat-rice or rice-rice) rotation. In total, we assembled a
138 database of 762 observations of 0-20 cm SOC change for 23 counties from 90 long-term field
139 trials covering diverse climate, soil and agricultural management conditions (Fig. 1).

140 **2.2 Data analysis**

141 **2.2.1 SOC stock**

142 Soil organic carbon stock (SOC_s stock, Mg C ha^{-1}) was estimated from:

$$143 \quad \text{SOC}_s = \text{BD} \times \text{SOC} \times \text{H} \times 0.1 \quad (1)$$

144 where H is soil depth (cm, it was set to 20 cm in this study), SOC is organic carbon content (g kg^{-1} within soil depth H), and BD is soil bulk density (g cm^{-3}).

146 **2.2.2 SOC change rate**

147 A mean annual SOC change rate (SOC_r , $\text{Mg C ha}^{-1} \text{ yr}^{-1}$) was calculated as:

$$148 \quad \text{SOC}_r = \left(\frac{\text{SOC}_t - \text{SOC}_0}{t} \right) \quad (2)$$

149 where t is the experimental duration; and SOC_0 and SOC_t are SOC stocks in the beginning and
150 final reported year of the experiment, respectively.

151 **2.3 Statistical analysis**

152 To assess the different fertilization treatment effects on SOC_s and SOC_r , one-way ANOVA
153 was implemented using the SPSS 19.0 software package. Graphs were prepared using Origin
154 profession version 8.5.

155 **2.3.1 Data analysis**

156 We used a Random Forest (RF) model to analyse impact factors on SOC_r . This is an
157 ensemble approach to regression in which the classification algorithm builds a large number of
158 decision trees with the output as the mean prediction of the individual trees (Breiman, 2001;
159 Liaw and Wiener, 2002). The RF classifier consists of tree classifiers and each classifier is
160 generated using a randomly selected subset of the variables that are independent of the input
161 variable sampling, and each tree votes for the most popular projective units to classify the input
162 variables (Breiman, 2001). The RF classifier has been shown to be more successful than other
163 classifiers in assembling the ensemble (Dietterich, 2002).

164 We first selected dependent variables related to SOC_r under the different treatments, using

165 correlation analysis (SPSS 19.0 for Windows). In total, fourteen variables (Table 2) were
 166 chosen for the RF model. To run the RF model, three parameters to generate forest trees have
 167 to be determined: the number of trees to be generated in the forest (ntree), the number of
 168 variables to be selected and tested for the best split when growing the trees (mtry) and the
 169 minimum number of nodes of the trees and below which the tree is not split (nodesize) in a RF.
 170 According to previous published articles, ntree was set to 1,000 to stabilise the errors (Colditz.,
 171 2015; Reese et al., 2014) and mtry was the square root of the number of input variables
 172 (Gislason et al., 2006) and nodesize was set at 5. RF uses the bootstrap repeated sampling
 173 method; two thirds of the samples (called in-bag samples) are used to train the trees, with the
 174 remaining one-third of the samples (called out-of-the bag samples: OOB) used as a test sample
 175 for RF (Breiman, 2001). RF uses an extension of cross-validation, where each OOB sample is
 176 predicted by its corresponding bootstrap training tree. The Mean Square Error (MSE) was used
 177 to estimate the accuracy of OOB predictions (Liaw and Wiener, 2002). The RF model was
 178 validated by calculating the differences between the observed and predicted value of the mean
 179 percentage error (MPE), root mean square error of prediction (RMSEP) and R² (Liaw and
 180 Wiener, 2002; Wiesmeier et al., 2011):

$$181 \quad \text{MSE} = \frac{\sum_{i=1}^n (Z_i - Z)^2}{n} \quad (3)$$

$$182 \quad \text{MPE} = \frac{\sum_{i=1}^n (P_i - O_i)}{n} \quad (4)$$

$$183 \quad \text{RMSEP} = \sqrt{\frac{\sum_{i=1}^n (O_i - P_i)^2}{n}} \quad (5)$$

$$184 \quad R^2 = 1 - \sum_{i=1}^n \frac{(O_i - P_i)^2}{(O_i - O)^2} \quad (6)$$

185 where Z is the average value of all OOB predictions, Z_i is the *i*th OOB, O_i is the *i*th observed
 186 value, P_i is the predicted by RF models for the *i*th value, O is the average observed values and
 187 n is the total number of observations. The RF models was implemented using the
 188 “RandomForest” package in R program (R version 3.2.2, 2015) (Liaw and Wiener, 2002).

189

190 **3 Results**

191 **3.1 SOC stock changes under long-term fertilization strategies in different regions**

192 Final SOC_s under NPKM in NEC and NWC were significantly higher than those under other
193 treatments; there was no significant difference between NPKM (46.00 Mg ha⁻¹) and M (46.17
194 Mg ha⁻¹) in SC and no significant differences among NPK (46.00 Mg ha⁻¹), M (32.53 Mg ha⁻¹)
195 and NPKM (33.40 Mg ha⁻¹) in NC (Fig. 2). On average, SOC_r was positive under all treatments
196 for all regions but negative rates were observed under CK (-0.12 Mg ha⁻¹ yr⁻¹) and NPK (-0.03
197 Mg ha⁻¹ yr⁻¹) in NEC (Fig. 3). There were significant differences between all treatments in NC
198 (with a significant order of M > NPKM > NPK > CK from 0.03 to 0.58 Mg ha⁻¹ yr⁻¹) and NWC
199 (with a significant order of NPKM > M > NPK > CK from 0.07 to 0.59 Mg ha⁻¹ yr⁻¹) but no
200 significant differences between the M and NPKM in NEC and SC. Although there was an
201 increase in SOC stocks under M and NPKM on average in all the regions, a decrease in SOC
202 was observed in some trails, especially in NEC where there was no significant difference
203 between NPK (-0.03 Mg ha⁻¹ yr⁻¹) and CK (-0.12 Mg ha⁻¹ yr⁻¹) (Table 1).

204 **3.2 Influencing variables of SOC_r**

205 Selected independent variables from the RF models explained 21, 59, 56 and 22% of the
206 total variance in SOC_r under the CK, NPK, NPKM and M, respectively (Fig 4 a – d). Initial
207 SOC_s was the most important explanatory variable, followed by BD, MAT and MAP (Fig. 4 e
208 – h). In addition, soil total nitrogen (TN) was an important driving variable for the CK and
209 NPK . However, pH was an important driving variable for NPKM and M. Overall, RF analysis
210 suggests that SOC_r in the topsoil under different long-term fertilization treatments was mostly
211 controlled by edaphic characteristics and environmental factors, and the influence of
212 fertilization-related factors were moderate.

213 To assess whether the influence of the driving factors were significantly different, correlation

214 coefficients (r) were calculated. Results confirmed significant negative correlations between
215 initial SOC or BD and SOC_r of for all fertilization treatments (all $P < 0.05$; Table 2). There was
216 a significant positive correlation between soil microbial biomass carbon (SMBC), MAP or
217 MAT and SOC_r across all treatments. For the fertilization treatments, there were significant
218 positive relationships of SOC_r with TN for the NPKM ($r=0.20$) and M ($r=0.40$) but a negative
219 relationship for the NPK ($r=-0.12$). Moreover, in NPKM and M, there was a significant effect
220 on SOC_r of manure-C and N ($r =0.11$ and 0.21 for NPKM and $r =0.25$ and 0.43 for M,
221 respectively).

222 **4 Discussion**

223 Our analysis clearly showed that compared with initial SOC values, NPK increased SOC_s in
224 NC, NWC and SC but not in NEC. The relative increase for SOC_s under NPK in NC, NWC
225 and SC implies that adequate NPK application can result in a greater return of crop residues
226 and root-related C associated with a higher crop productivity (Mandal et al., 2007), which is
227 necessary to stabilize and maintain SOC levels in most intensive cropping systems. However,
228 in NEC which has a naturally high initial SOC_s , the accumulated SOC under NPK could not
229 compensate for the C loss. Khan et al. (2007) also found that long-term (40 to 50 years)
230 application of chemical N fertilizer caused a net decline in soil SOC and a negative C balance
231 in the Morrow Plots located in USA, the soils of which are similar to those of NEC. Manure
232 application, especially in addition to chemical NPK, has a great potential in improving C
233 sequestration for major agricultural systems in China, consistent with some previous studies
234 (Li et al., 2010; Wang et al., 2015; Yan et al., 2013). The positive SOC response following
235 manure application can probably be mainly attributed to direct C input by manure and indirect
236 C inputs through the promoted crop biomass return as roots and crop residues (Gattinger et al.,
237 2012; Gabriel and Kellman, 2011).

238 **4.1 Changes in the SOC stock dynamics with treatments and geographical regions**

239 There was great regional variation in cropland soil C dynamics under different long-term
240 fertilization strategies across China. In this study, there was high variation in the frequency
241 (37–100%) of SOC_s increase and SOC_r varied between –0.12 and 0.59 Mg ha⁻¹ yr⁻¹ on average
242 across the different regions of China. In general, climate conditions, especially MAP and MAT,
243 are acknowledged as important environmental factors influencing the distribution of SOC at
244 the large geographical scale (Mao et al., 2015; Wiesmeier et al., 2013) which could affect the
245 quantity and quality of crop residue inputs and the SOC turnover rates (Hevia et al., 2003). The
246 observed correlations between the SOC change and climate variables (MAP and MAT) in this
247 study are in agreement with other research results (Chen et al., 2018; Jobbagy and Jackson,
248 2000), showing a strong positive correlation with MAP and MAT. Our results show a relatively
249 higher SOC increase after fertilization in tropical SC than that in cool temperate NEC, which
250 was also supported by Han et al. (2016) and Huang et al. (2012). In NEC, SOC significantly
251 decreased without manure amendments, and other studies have also reported that cropland soils
252 in NEC have been losing C (Huang and Sun, 2006; Zhang et al., 2016a). The NEC is one of
253 the few world regions characterized by black soil and a cold climate (Duan et al., 2011) with a
254 short growth period and low rates of C inputs to soils. The overall SOC decline in NEC
255 croplands may also be related to the significant drying trend under climate change (Ding et al.,
256 2006). The more favourable MAT and MAP in SC for aboveground plant growth is therefore
257 likely to increase C input and SOC stabilization (Pan et al., 2009). Although higher MAT and
258 MAP conditions accelerate the decomposition of organic C, the excess C inputs are converted
259 to soil C and offset the C loss from soil respiration (Yu et al., 2013). The relatively higher SOC
260 increase in croplands from NWC may be attributed to the enhanced crop production favoured,
261 in part, by the increasing rainfall due to climate change over the last decades (Ding et al., 2006).
262 NWC is a vast semi-arid area with MAP ranging from 127 to 632 mm and more than 90% of
263 the cropland depends on rainfall. Thus, MAP is a dominant factor for production, and even a

264 small increase could significantly enhance the bio-productivity and thus contribute to the
265 accumulation of SOC (Jobbagy and Jackson, 2000). Interestingly, a high frequency (78%) of
266 increase in SOC_s was observed under the CK after more than 20 years in the NWC. In this
267 region, with low MAP, the crop residue and manure C decomposition and soil respiration rates
268 would be slower, so the C input supplied through the incorporation of only crop roots and
269 residues was sufficient to maintain or even enhance the C stock (Tewksbury and Van Miegroet,
270 2007).

271 China has a vast territory and diverse natural conditions which includes most kinds of
272 agricultural systems in the world (Liu et al., 2013). NEC and NWC are mainly associated with
273 a mono-cropping system and NC with double cropping systems, whereas double or triple-
274 cropping systems are applied in SC (Yu et al., 2013). The multiple cropping index together with
275 greater incidence of manure application in SC result in C inputs in that region approximately
276 twice those for NC, NEC and NWC under the same agricultural management scenario (Wang
277 et al., 2013; Yu et al., 2013). Moreover, SC has a large area of paddy fields and prolonged
278 water-logging may induce anaerobic soil conditions, slowing microbial decomposition of SOC,
279 resulting in a higher SOC content (Sahrawat, 2004). Our analysis also showed that in SC, SOC_r
280 was not significantly different between the NPKM and M. Generally, C input is the major factor
281 controlling the C stocks change (Huang and Sun, 2006), so this indicates that the magnitude of
282 C inputs from the crop residues, exudates and manure-C and the outputs from decomposition
283 may be roughly equal among the treatments. Additionally, some studies have shown that crop
284 production did not differ significantly between the NPKM and M from long-term studies in SC
285 (Zhang et al., 2009; Zhou et al., 2016).

286 We speculate that sites with inherently high and low fertility differ in the turnover, formation
287 and accumulation of SOC (Sollins et al., 2007). A negative relationship was derived between
288 SOC_r and initial SOC_s , as has been shown previously (Huang et al., 2012; Maillard and Angers,

289 2014; Tian et al., 2015). The initial SOC content varied widely across the different regions of
290 China (i.e., 33.47–38.24, 18.71–23.83, 19.53–20.46 and 32.96–34.53 t C ha⁻¹ in NEC, NC,
291 NWC and SC, respectively). A greater SOC_r was observed in NWC and NC soils with lower
292 initial SOC content than that in NEC. The NEC is generally characterized by high SOC content
293 and fertility (Liu et al., 2003) but over recent decades has experienced soil erosion, intensive
294 cultivation, low C return, and a significant warming and drying trend under climate change
295 (Xie et al., 2011; Zhang et al., 2016b) which have resulted in an initial rapid SOC loss despite
296 the inherently high content of SOC (Sun et al., 2010; Wang et al., 2010). Our observation of a
297 decrease in SOC in the NEC when relying solely on the native soil fertility (CK treatment) or
298 using only NPK is supported by others (Wang et al., 2013; Zhang et al., 2016a). In addition, in
299 NEC there was no significant difference in SOC_r between the NPKM and M which could also
300 be partly attributed to the relatively low average initial SOC content in M (Table 1). In NC, the
301 higher SOC_r under M may be attributed to the low initial SOC content (Table 1) and to the fact
302 that the NC has one of the highest N deposition rates both nationally and globally (Jandl et al.,
303 2007) which enhances crop production. This would result in more C input to the soil via crop
304 stubble and root biomass, and manure application would supply exogenous organic C,
305 elevating the relatively low SOC content of the soil in this region. However, for NWC with
306 lower productivity and nutrient retention, NPK application improves soil nutrient conditions to
307 meet crop nutrient demands and maintain a high level of crop production, leading to greater C
308 input from biomass (Campbell et al., 2001), but NPKM additionally directly adds C input and
309 so SOC_r was largest under NPKM.

310 **4.2 Factors influencing SOC_r under different fertilization treatments**

311 From the RF model, initial SOC_s was the most important factor driving SOC change for all
312 the treatments, even within the same region (e.g. SC, Appendix S2, combined NEC, NC and
313 NWC regions, Appendix S3). The negative relationship between SOC_r and initial SOC_s

314 suggests that soils with low C contents have the greatest potential and efficiency to sequester
315 C because they are further from their saturation level (Stewart et al., 2008; Yan et al., 2013).
316 Moreover, soil with a lower C content has insufficient substrate to maintain an active
317 decomposer population, resulting in conditions less favourable for C chemicalization compared
318 to soils with a higher C content (Mann, 1986). Some studies have shown little or no increase
319 in SOC for high C content soils, even with two- or three-fold increases in extraneous C inputs
320 (Campbell et al., 1991).

321 Soil BD has been identified as another important variable explaining differences in SOC
322 dynamics (Don et al., 2011; Liang et al., 2016), and this soil property varied across the cropland
323 soils in the different regions of China (being much higher in NEC). Increases in soil BD under
324 CK and NPK have been reported, especially the highest soil BD under CK (Guo et al., 2016).
325 Loss of SOC often results in soil BD increase because organic matter stabilizes soil aggregates
326 prevent soil from slaking, dispersion and collapse (Logsdon and Karlen, 2004). Soil BD data
327 showed a highly significant negative correlation with SOC_r ($P < 0.01$, Table 2), in accordance
328 with results reported by Guo et al. (2016). Soil BD is an important indicator of soil compaction,
329 influencing not only the soil-air-water interactions, microbial activity, nutrient uptake, moisture
330 and temperature retention (Martinez and Zinck, 2004; Whalley et al., 1995) but also nutrient
331 availability, indirectly affecting soil quality and productivity and SOC turnover (Reichert et al.,
332 2009).

333 **4.2.1 Factors influencing SOC_r under the control treatment**

334 Soil TN content was identified as another main influencing factor of SOC_r under the CK,
335 consistent with the observations of other studies (Duete et al., 2008; Liang et al., 2016).
336 Generally, soil C and N cycles are linked (Song et al., 2015) and N is a key to ecosystem
337 functioning and used frequently to evaluate soil fertility, i.e. the balance between plant demand
338 and soil supply of nutrients in croplands (Vitousek et al., 2009). Soil N tends to be a limiting

339 factor on crop growth and belowground C transformations (Duete et al., 2008) and it is required
340 to support C sequestration. For the CK, with no fertilizer input for more than 20 years, the
341 nutrient input depends on the natural soil fertility and crop residue input (Zhang et al., 2009).
342 A significant positive correlation between soil TN and SOC change was found in this study of
343 long-term experiments, which is consistent with other studies (Chen et al., 2013; Yu et al.,
344 2014).

345 The SMBC was another important variable related to the SOC_r under the CK. Wang et al.
346 (2015) also reported a significant positive linear correlation between SMBC and SOC. The
347 SOC provides substrates for soil microorganisms, thus stimulating their growth; in turn, SMBC
348 can have important effects on belowground C and N cycles and plant nutrient availability
349 (Sabahi et al., 2010; Zhang et al., 2015) thus increasing the crop biomass C input. Moreover,
350 SMBC contributes to C sequestration by producing polysaccharides and fungal hyphae that
351 improve soil aggregation and by directly contributing to stable soil C pools (Kindler et al.,
352 2009).

353 **4.2.2 Factors influencing SOC_r under inorganic fertilization**

354 The soil TN were identified as the most important edaphic factors explaining the variance in
355 SOC_r under the NPK. The results suggested a negative relationship between SOC_r and TN
356 content. These effects of N fertilizer input on crop C inputs to soils represent an important
357 mechanism via soil N on the SOC_r under these fertiliser treatments without external manure
358 inputs (Liang et al., 2016). Generally, N addition is understood to increase plant biomass and
359 thereby organic C inputs to the soil by enhancing soil TN content and promoting N availability,
360 resulting in a positive effect on SOC (Huang et al., 2010; Zhang et al., 2010). However, Tong
361 et al. (2009) observed that both SOC and TN contents tended to be stable, having reached an
362 equilibrium under the present conditions after long-term fertilization. Although N is required
363 to support C sequestration in soils (Lal, 2004), it was not a limiting factor for crop growth under

364 long-term chemical fertilizer application (Han et al., 2017). Under long-term chemical fertilizer
365 application alone, an N-induced decrease in soil pH and deterioration of soil properties may
366 depress root and microbial activity, thereby decreasing SOC (Tian and Niu, 2015). In addition,
367 under long-term intensive use of chemical N fertilizer, the C/N ratio also reduces which
368 accelerates SOC decomposition (Tong et al., 2009).

369 **4.2.3 Factors influencing SOC_r under manure fertilization**

370 Under the M, soil pH was a key explanatory variable for SOC_r, preceded only by the initial
371 SOC content. This agrees with previous observations that SOC concentration significantly
372 increased with decreasing pH (Kemmitt et al., 2006). Soil pH has a direct impact on SOC
373 decomposition by affecting its solubility (Kemmitt et al., 2006) and an indirect impact by
374 affecting plant and microorganism growth (Pietri et al., 2009). At high soil pH, the solubility
375 of iron, zinc, and manganese decrease, restricting plant growth, resulting in lower indirect C
376 inputs (Grybos et al., 2009). In addition, manure contains dissolved organic C which is more
377 readily released under high pH conditions (Andersson et al., 2000). Abundance and activity of
378 microorganisms are lower in low pH soils, resulting in a lower SOC quality and bioavailability
379 and increased recalcitrance because SOC forms complexes with aluminium (Al) (Kemmitt et
380 al., 2006).

381 **4.2.4 Factors influencing SOC_r under combined inorganic fertilizer and manure**

382 For the NPKM treatment, the soil TN was not identified as the most important explanatory
383 variable for SOC_r, suggesting that when compared with CK and NPK treatments, manure
384 application in the NPKM treatment most likely reduced the N constraints on crop growth and
385 its subsequent influence on SOC turnover (Duan et al., 2014; He et al., 2015). Our results
386 indicated that clay content was a relatively weak variable for predicting SOC dynamics. This
387 is inconsistent with some studies that have highlighted soil clay content as a key factor
388 controlling SOC dynamics, because, under short-term observations, SOC is generally protected

389 by the soil clay particles (Haynes and Naidu, 1998). However, this has not been shown for
390 Chinese cropland soils, where clay content has been only weakly related to long-term SOC
391 accumulation (Percival et al., 2000). These apparently contradictory observations may be due
392 to differences in clay chemically in different soils and under different land use (Li et al., 2016).
393 Further research is needed to explore the influence of clay content on SOC dynamics for
394 different soils.

395 The assessment of multiple influential factors on SOC change in this study suggests that the
396 effects of fertilization-related factors may be overshadowed by soil factors. However, the
397 inherent soil properties are difficult to change and the costs of change are too high. This study
398 informs the needs to develop strategic soil management plans to promote soil carbon
399 sequestration under long-term intensive fertilization. Our analysis showed that in NEC, SOC
400 in agricultural soils is still decreasing without manure amendment and future efforts should be
401 made to control this through increased use of livestock manure. We suggest that increased
402 productivity is most likely to be the main driver of SOC increase and conclude that the regular
403 use of manure with chemical fertilizers is essential for the long-term SOC increase in NWC.
404 In NC, however, with a relatively low SOC state, soils have a greater potential to sequester C,
405 so the M with more C input also served as a pathway for substantial soil C sequestration. In
406 addition, our analysis highlights the need to take account of the baseline status to assess the net
407 soil C balance over time and space. On average, the initial SOC_s is higher in SC, therefore,
408 high manure amendments as substitution or additional nutrient input are not recommended for
409 sustainable SOC sequestration, and that moderate manure application is a conducive practice
410 for the SC.

411 **5 Conclusions**

412 Compared with initial values, final SOC_s increased by 24–68% and 24–74% under NPKM
413 and M fertilization strategies, respectively, over the experimental periods. It is suggested that

414 Chinese croplands have great potential to sequester SOC and manure application can be a
415 highly important choice to enhance SOC_s and mitigate climate change. We found regional
416 differences in SOC_s changes and treatment effects. In NEC and NWC, the final SOC_s under
417 NPKM was significantly higher than under other treatments; in SC there was no significant
418 difference between NPKM and M, and in NC, there were no significant differences between
419 NPK, M and NPKM. Averaged across all treatments, SOC_r was positive for all regions but
420 treatment-specific rates were negative under CK and NPK in NEC. There were significant
421 differences in SOC_r between all treatments in NC and NWC, but there was no significant
422 difference between M and NPKM in NEC and SC. Although SOC_s increased on average under
423 treatments M and NPKM in all the regions, a decrease in SOC was reported in some trials,
424 especially in NEC. Among the selected variables, initial SOC_s had the strongest influence on
425 SOC_r, followed by soil BD and climate factors across all the treatments. Under specific
426 treatments, soil TN was the most important driving variable for CK and NPK, and soil pH was
427 for M. This study has demonstrated differential mechanisms in control of long-term SOC
428 change over large regions of China and informed the need to develop different soil management
429 plans to promote SOC_s. The responses of SOC to fertilizer managements as revealed by this
430 synthesis can be used in cropland models for better understanding and prediction of the agro-
431 ecosystem C cycle feedbacks under different fertilizer management strategies.

432

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440

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716

717 **Figure legends**

718 Fig 1. Distribution of long-term field trials in China as used in this study. Northeast (NEC),
719 North (NC), Northwest (NEC) and South (SC) China.

720

721 Fig 2. The soil organic carbon stock (0-20 cm) in different treatments (no fertilizers - CK;
722 chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]) - NPK; manure applied
723 only – M; and manure plus chemical fertilizers - NPKM) in the final report year of experiments
724 in Northeast (NEC), North (NC), Northwest (NEC) and South (SC) China. Black and red
725 dashed lines within the boxes are the median and mean values. The lowercase letters above the
726 bars signify differences at $P < 0.05$.

727

728 Fig 3. The soil organic carbon stock change rate (0–20 cm) in different treatments (no fertilizers
729 – CK; chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]) - NPK; manure
730 applied only – M; and manure plus chemical fertilizers – NPKM) in Northeast (NEC), North
731 (NC), Northwest (NEC) and South (SC) China. Black and red dashed lines within the boxes
732 are the median and mean values. The lowercase letters above the bars signify differences at
733 $P < 0.05$.

734

735 Fig 4. Performance of random forests (RF) models for identifying the controlling factors of soil
736 organic carbon stock change rate (0–20 cm) and the relative importance of independent
737 variables for controlling soil organic carbon stock changes rate in different treatments (no
738 fertilizers – (a) and (e); chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K])

739 – (b) and (f); manure applied only – (c) and (g); and manure plus chemical fertilizers – (d) and
740 (h) on croplands in China. MAT: mean annual temperature, MAP: mean annual precipitation,
741 pH: soil pH, BD: soil bulk density, TN: soil total nitrogen content, SMBC: soil microbial
742 biomass carbon.

743

744 **Supplementary material**

745 **Appendix S1** Basic information of the long-term experimental sites for this study.

746 **Appendix S2** Performance of random forests (RF) models for identifying controlling factors
747 of soil organic carbon stock change rate (0–20 cm) and the relative importance of independent
748 variables for controlling soil organic carbon stock change rate for different treatments in
749 croplands in the South China.

750 **Appendix S3.** Performance of random forests (RF) models for identifying controlling factors
751 of soil organic carbon stock change rate (0–20 cm) and the relative importance of independent
752 variables for controlling soil organic carbon stock change rate for different regions in China
753 (Northeast, North and Northwest).

754

Table 1

Variation in SOC dynamics across geographical regions of China

Geographical region	Treatment	Sample size	Initial SOC		Final SOC		Frequency of increase (%)	Mean SOC stock change rate (Mg ha ⁻¹ yr ⁻¹)	
			stock (Mg ha ⁻¹)		stock (Mg ha ⁻¹)			Mean	95% CI
			Mean	95% CI	Mean	95% CI			
NEC	CK	20	38.24	34.35-42.14	37.12c	34.01-41.13	36.54	-0.12c	-0.20--0.04
	NPK	52	38.07	34.30-41.84	37.35c	34.60-40.11	59.72	-0.03b	-0.10-0.03
	M	28	33.47	29.23-37.71	41.49b	37.16-45.82	76.32	0.29a	0.15-0.43
	NPKM	84	38.07	34.30-41.85	47.25a	44.34-49.52	83.33	0.32a	0.24-0.40
NC	CK	33	22.98	19.58-26.37	25.00b	20.64-29.36	57.58	0.03d	-0.03-0.09
	NPK	52	23.83	20.53-27.13	31.40a	27.69-35.11	86.54	0.19c	0.13-0.25
	M	17	18.71	13.92-23.51	32.53a	25.76-39.30	100	0.58a	0.39-0.77
	NPKM	47	22.21	19.24-25.17	33.40a	29.80-37.01	93.62	0.40b	0.32-0.47
NWC	CK	20	19.73	18.07-21.38	22.24c	20.20-24.27	77.5	0.07d	-0.004-0.15
	NPK	41	19.53	17.88-21.19	25.72b	23.98-27.46	86.88	0.27c	0.19-0.36
	M	18	20.46	17.44-23.48	26.96b	24.42-29.51	100	0.30b	0.23-0.37
	NPKM	40	19.70	18.03-21.37	33.08a	30.80-35.37	98.36	0.59a	0.49-0.68
SC	CK	42	34.53	32.19-36.87	35.69b	33.30-38.09	55	0.03c	-0.04-0.11
	NPK	94	32.96	30.86-35.06	37.88b	36.08-39.68	76.12	0.21b	0.14-0.29
	M	40	32.96	29.19-36.72	46.17a	41.59-50.76	91.67	0.52a	0.39-0.65
	NPKM	134	33.35	31.33-35.36	46.00a	44.07-47.93	89.08	0.51a	0.44-0.58

Note: NEC: Northeast China, NC: North China, NEC: Northwest China and SC: South China. CK- no fertilizers; NPK- chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]); M - manure applied only and NPKM- manure plus chemical fertilizers. 95% CI: 95% confidence intervals.

Table 2

Pearson's correlation coefficients (r) between soil organic carbon stock change rate and controlling factors.

Treatment	Controlling factors										
	Initial SOC (Mg ha ⁻¹) ¹⁾	Manure - C (kg C ha ⁻¹) ¹⁾	Manure - N (kg N ha ⁻¹) ¹⁾	Chemical N (kg N ha ⁻¹) ¹⁾	BD (g cm ⁻³)	Clay (%)	pH	TN (g kg ⁻¹) ¹⁾	SMBC (mg kg ⁻¹)	MAP (mm)	MAT (°C)
CK	-0.29**	N/A	N/A	N/A	-0.18**	0.06	-0.22**	0.10*	0.25**	0.31**	0.32**
NPK	-0.42**	N/A	N/A	0.16**	-0.18**	0.01	-0.07	-0.12*	0.25**	0.18**	0.28**
M	-0.40**	0.25**	0.43**	N/A	-0.42**	0.16	-0.12**	0.40**	0.10*	0.34**	0.29**
NPKM	-0.30**	0.11*	0.21**	0.09	-0.28**	0.04	-0.11**	0.20**	0.25**	0.13**	0.21**

Note: CK- no fertilizers; NPK- chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]); M - manure applied only and NPKM- manure plus chemical fertilizer

MAT: mean annual temperature, MAP: mean annual precipitation, pH: soil pH, BD: soil bulk density, TN: soil total nitrogen content, SMBC: soil microbial biomass carbon.

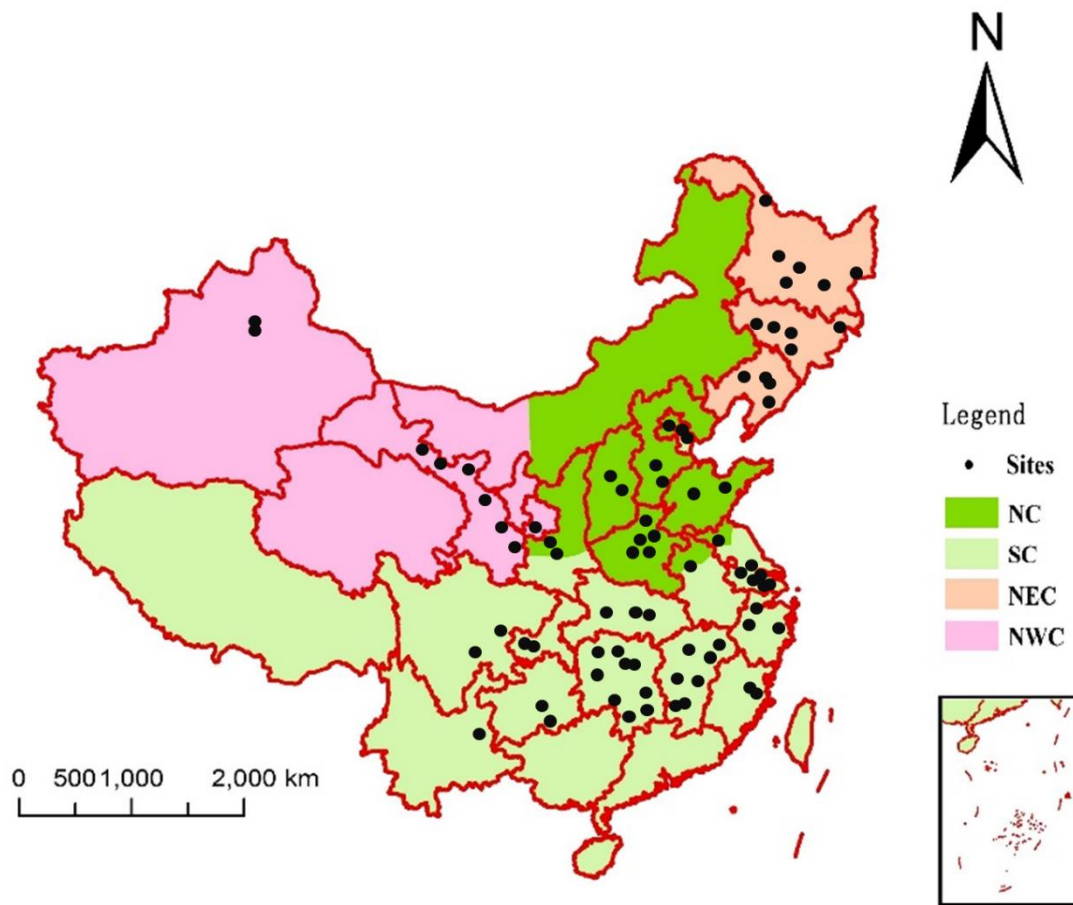


Fig 1. Distribution of long-term field trials in China as used in this study.

Northeast (NEC), North (NC), Northwest (NEC) and South (SC) China.

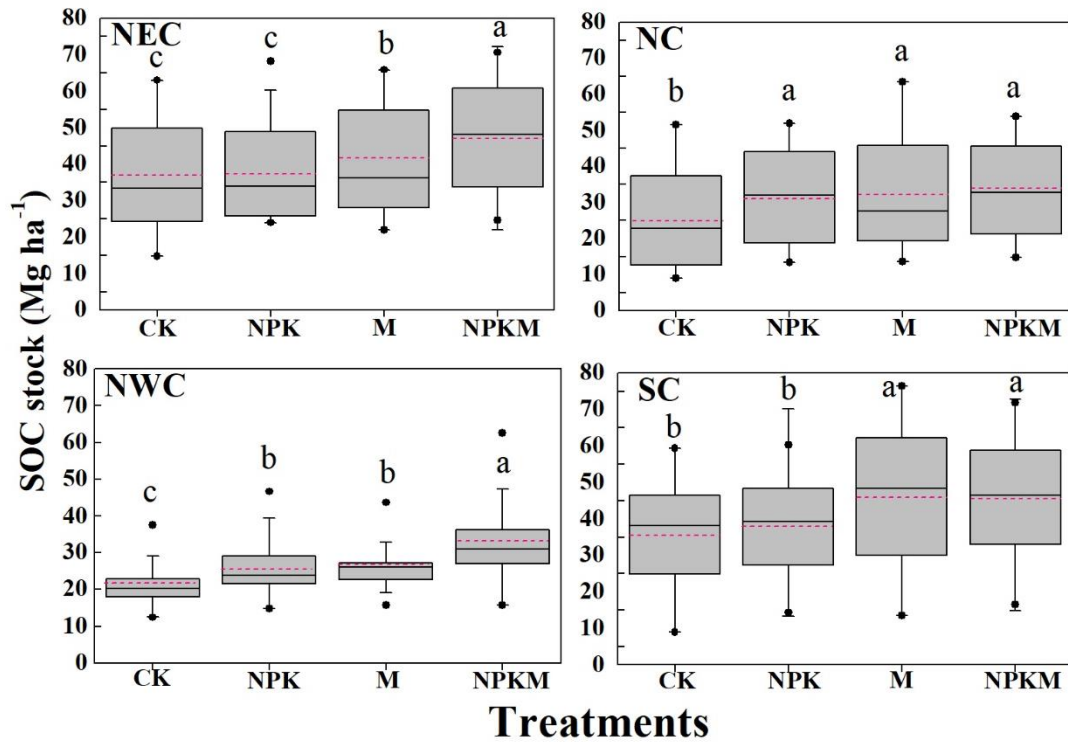


Fig 2. The soil organic carbon stock (0-20 cm) in different treatments (no fertilizers - CK; chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]) - NPK; manure applied only - M; and manure plus chemical fertilizers - NPKM) in the final report year of experiments in Northeast (NEC), North (NC), Northwest (NEC) and South (SC) China. Black and red dashed lines within the boxes are the median and mean values. The lowercase letters above the bars signify differences at $P < 0.05$.

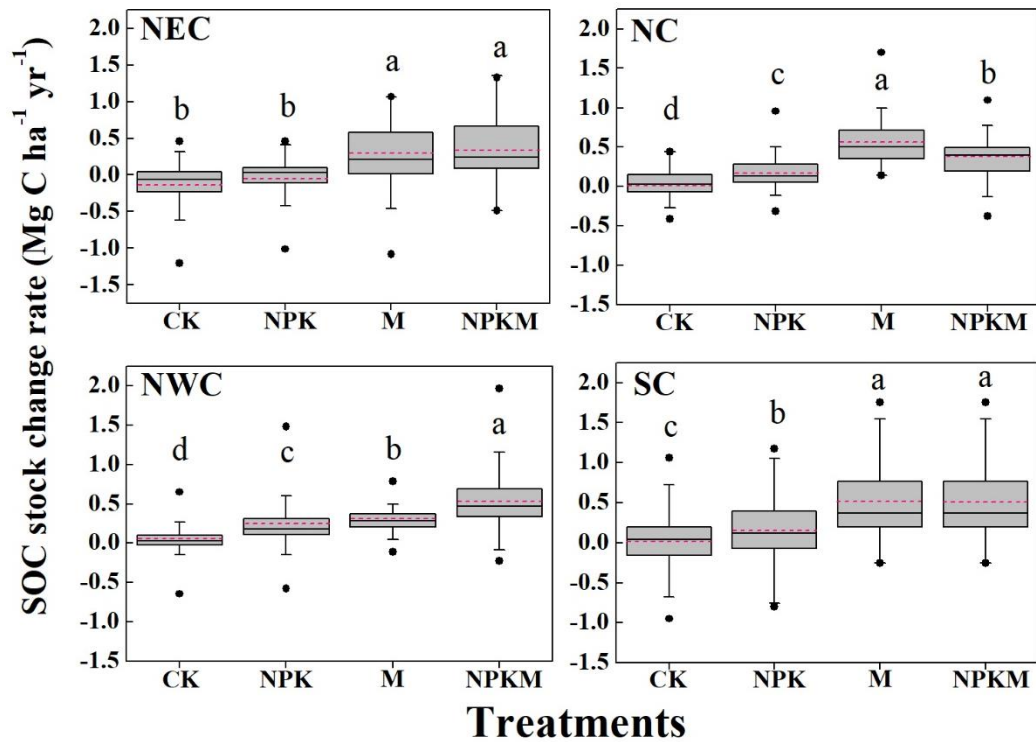


Fig 3. The soil organic carbon stock change rate (0–20 cm) in different treatments (no fertilizers – CK; chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]) - NPK; manure applied only – M; and manure plus chemical fertilizers – NPKM) in Northeast (NEC), North (NC), Northwest (NEC) and South (SC) China. Black and red dashed lines within the boxes are the median and mean values. The lowercase letters above the bars signify differences at $P < 0.05$.

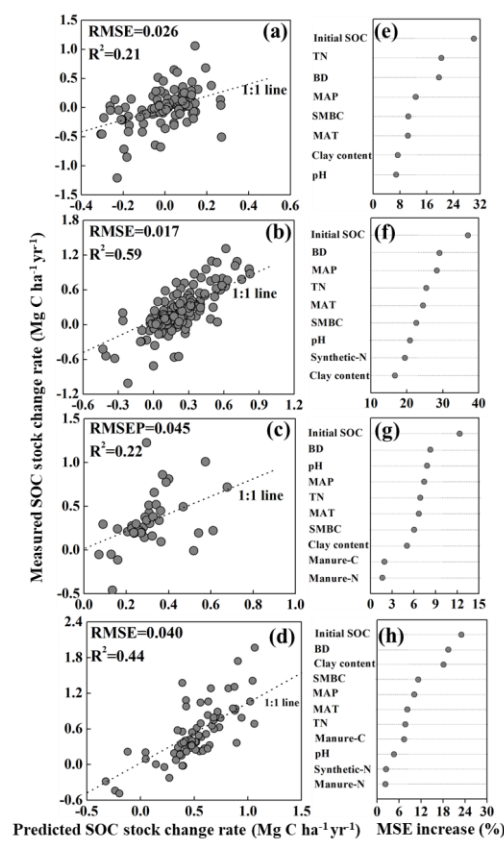
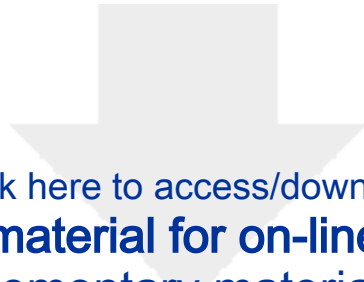


Fig 4. Performance of random forests (RF) models for identifying the controlling factors of soil organic carbon stock change rate (0–20 cm) and the relative importance of independent variables for controlling soil organic carbon stock changes rate in different treatments (no fertilizers – (a) and (e); chemical fertilizers (nitrogen [N], phosphorus [P] and potassium [K]) – (b) and (f); manure applied only – (c) and (g); and manure

plus chemical fertilizers – (d) and (h) on croplands in China. MAT: mean annual temperature, MAP: mean annual precipitation, pH: soil pH, BD: soil bulk density, TN: soil total nitrogen content, SMBC: soil microbial biomass carbon.



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Conflict of Interest Statement

The authors declared that there is no conflict of interest either financially or otherwise.

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