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1 Starship giant transposable elements cluster by host taxonomy using kmer-based

- 2 phylogenetics
- 3
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- 14 Running head: Kmer-based phylogenetics of Starships

1 ABSTRACT

2 Starships are a recently established superfamily of giant cargo - mobilising transposable elements in 3 the fungal subphylum *Pezizomyotina* (phylum *Ascomycota*). To date, *Starship* elements have been 4 identified up to ~700 Kbp in length and carrying hundreds of accessory genes, which can confer 5 both beneficial and deleterious traits to the host genome. Classification of Starship elements is 6 centred on the tyrosine recombinase gene that mobilises the element, termed the captain. We 7 contribute a new perspective to Starship relatedness by using an alignment-free kmer-based 8 phylogenetic tree building method, which can infer relationships between elements in their entirety, 9 including both active and degraded elements and irrespective of high variability in element length 10 and cargo content. In doing so we found that relationships between entire Starships differed from 11 those inferred from captain genes and revealed patterns of element relatedness corresponding to 12 host taxonomy. Using Starships from root/soil-dwelling Gaeumannomyces species as a case study, 13 we found that kmer-based relationships correspond with similarity of cargo gene content. Our 14 results provide insights into the prevalence of Starship-mediated horizontal transfer events. This 15 novel application of a kmer-based phylogenetics approach overcomes the issue of how to 16 represent and compare highly variable Starship elements as a whole, and in effect shifts the 17 perspective from a captain to a cargo-centred concept of Starship identity.

- 18 Keywords: cargo-mobilising elements, Gaeumannomyces, Ascomycota, Pezizomycotina, Fungi
- 19

20 INTRODUCTION

21 Transposable elements (TEs), or transposons, are stretches of DNA, typically between 100 to 22 10,000 bp in length, which can independently move and replicate within the genome (Biémont 23 2010; Wells and Feschotte 2020). Thanks to advances in long-read sequencing, highly contiguous 24 genome assemblies have revealed the existence of TEs hundreds of kilobases in length (Arkhipova 25 and Yushenova 2019). Some of these large TEs have been shown to harbour both genes necessary 26 for their mobilisation as well as miscellaneous accessory genes, and are accordingly referred to as 27 cargo-mobilising elements (CMEs; Gluck-Thaler and Vogan 2024). Recently, giant CMEs have been 28 found in various species in the fungal subphylum Pezizomycotina (phylum Ascomycota; McDonald 29 et al. 2019; Vogan et al. 2021; Urguhart et al. 2022), and have since been determined to belong to a 30 newly established TE 'superfamily' (sensu Wicker et al. (2007)) or 'subclass' (sensu Wells and

found to range in length from 15 Kbp (Gluck-Thaler *et al.* 2024) to ~700 Kbp (Urquhart *et al.* 2024). *Starship* mobilisation is mediated by a leading 5' located gene containing the DUF3435 domain (protein family accession PF11917), termed the 'captain', which encodes a tyrosine recombinase that initiates movement of the TE into a new genomic location via a 'cut-and-paste' mechanism (Urquhart *et al.* 2023). This is similar to the hypothesised mobilisation process of the '*Crypton*' Class II DNA transposon superfamily (Wells and Feschotte 2020), which was incidentally also first discovered in fungi (Goodwin *et al.* 2003), although this TE superfamily has since been found in other eukaryotes (Kojima and Jurka 2011). Tyrosine recombinase domains in *Starship* captain genes and *Cryptons* are very distantly related (Gluck-Thaler *et al.* 2022) and, unlike *Cryptons, Starship* elements are sometimes flanked by tandem inverted repeats (TIRs) in addition to direct repeats (DRs), and can contain a highly variable and often sizeable cargo of accessory genes (Gluck-Thaler and Vogan 2024). *Starship* cargos can harbour genes that are beneficial to the fungus, for example those associated with plant virulence (McDonald *et al.* 2019), metal tolerance (Urquhart *et al.* 2022) and climate adaptation (Tralamazza *et al.* 2024). However, as selfish genetic elements,

Feschotte (2020)) known as 'Starships' (Gluck-Thaler et al. 2022). To date, Starship CMEs have been

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Starships may also mobilise cargo which is neutral or even detrimental to the overall fitness of the
host genome (Vogan et al. 2021).

18 Classification within the Starship CME superfamily is focused on the captain gene, using both 19 phylogenetic relationships between captain genes to define 'family' and orthologue clustering of 20 captain genes to define 'navis' (i.e. a ship) (Gluck-Thaler and Vogan 2024). Both the captain family 21 and the flanking DRs are thought to influence the genomic site that an element is inserted into, with 22 Starships of certain captain families preferentially inserting into, for instance, other TEs or 5S rDNA 23 (Urquhart et al. 2023; Gluck-Thaler and Vogan 2024). DUF3435-containing tyrosine recombinase 24 genes are more usually found 'solo', rather than within a cargo -carrying element i.e. as a captain, 25 however it is not clear to what extent this is due to the failure to detect the boundaries of an 26 element or because pseudogenisation of the tyrosine recombinase gene has occurred (Gluck-27 Thaler and Vogan 2024). Starship captain genes do not form a single monophyletic cluster in the 28 DUF3435 tyrosine recombinase gene tree, and are instead scattered across the phylogeny amongst 29 other apparently 'solo' DUF3435-containing tyrosine recombinase genes (Gluck-Thaler et al. 2022; 30 Hill et al. 2025). Due to their highly divergent nature, tyrosine recombinase gene sequences are also 31 difficult to align, introducing uncertainty into conventional alignment-based phylogenetic analyses. 1 It is not currently possible to determine whether these relationships described by captains are 2 preserved or representative of the Starships as a whole, considering that elements are highly 3 variable in terms of cargo and overall length. This also limits phylogenetic assessment of the 4 prevalence of (or boundaries to) horizontal exchange across the *Pezizomycotina*. In an effort to 5 represent distinction in cargo content, Gluck-Thaler and Vogan (2024) introduced the additional 6 definition of 'haplotype', based on clustering of kmer similarity scores. Here, we have taken this 7 approach one step further and used a kmer-based phylogenetic tree building method to contribute 8 a new perspective to Starship relatedness. In doing so we have revealed previously obscured 9 patterns of *Starship* relatedness corresponding to host taxonomy.

10 To determine whether the relatedness revealed by the kmer trees conformed with similarity in cargo 11 gene content, we explored the cargos of Starships previously identified from genomes within the 12 genus Gaeumannomyces (Hill et al. 2025). This genus comprises soil-dwelling fungi which are also 13 both pathogenic and non-pathogenic wheat and wild grass root associates (Palma-Guerrero et al. 14 2021; Chancellor et al. 2024). These elements provided an ideal case-study as they vary greatly in 15 overall size and number of cargo genes within their host-taxonomy clusters. The genomes were also 16 all generated in parallel using the same long-read sequencing technology and a cross-referent 17 annotation pipeline (Hill et al. 2025). Given the impact of assembly and annotation quality on 18 Starship recovery (Gluck-Thaler and Vogan 2024), these Gaeumannomyces elements therefore 19 represent a consistent dataset that are impacted to a lesser extent by the technology used to 20 produce them.

21

22 MATERIALS AND METHODS

23 Kmer-based phylogenetic analysis

To compare phylogenetic reconstruction of whole elements versus captain genes, we used a
curated set of 39 *Starships* from Gluck-Thaler *et al.* (2022) and Gluck-Thaler and Vogan (2024)
alongside 14 *Gaeumannomyces Starships* predicted using the tool starfish v1.0.0 (Gluck-Thaler
and Vogan 2024) in our previous study (Hill *et al.* 2025). Only *Gaeumannomyces Starships* with
predicted flanking repeats were used. We used entire element sequences as input for the kmerbased method Mashtree v1.4.6 (Katz *et al.* 2019) with 1,000 bootstrap replicates and the --mindepth 0 parameter to discard very unique kmers, recommended to improve accuracy. We used the

1 corresponding captain genes as input for a maximum likelihood (ML) tree, first aligning gene 2 sequences using MAFFT v7.271 (Katoh and Standley 2013), trimming using trimAl v1.4.rev15 3 (Capella-Gutiérrez et al. 2009), and finally building the ML tree using RAxML-NG v1.1.0 (Kozlov et al. 4 2019) with bootstrapping until convergence, which occurred after 150 bootstrap replicates. We 5 visualised concordance between the two phylogenies via a tanglegram, produced in R v4.3.1 (R 6 Core Team 2023) using the packages ape v5.7-1 (Paradis and Schliep 2019), phytools v2.1-1 (Revell 7 2024) and ggtree v3.9.1 (Yu et al. 2017). We calculated the normalised Robinson–Foulds (RF) 8 distance between the element and captain phylogenies using the RF.dist function from the 9 phangorn v2.7.0 package (Schliep et al. 2017).

10 We then used a larger dataset of Starships predicted using the tool starfish v1.0.0 by Gluck-Thaler 11 and Vogan (2024) to assess whether patterns in the curated kmer tree would persist with broader 12 sampling. Comparisons were made using the entire dataset including elements without predicted 13 flanking repeats (597 elements + 20 Gaeumannomyces elements = 617 total) against a filtered 14 dataset of only elements with predicted flanking repeats (343 elements + 14 Gaeumannomyces 15 elements = 357 total) to explore the impact of uncertain element boundaries on the topology. For 16 both cases, entire element sequences were again run with Mashtree, but with 100 bootstrap 17 replicates and the default -min-depth parameter to accommodate for the much larger dataset. 18 Previously determined Starship family classifications, based on captain phylogenetic relationships 19 (Gluck-Thaler and Vogan 2024), were mapped to element kmer tree tips to visualise the distribution 20 of families across clades using the additional R packages ggtreeExtra v1.10.0 (Xu et al. 2021) and 21 glottoTrees v0.1.10 (Round 2021).

22 Mashtree estimates similarity between kmer sketches using the Mash distance, which models 23 mutation rates under a simple Poisson process of random site mutation (Ondov et al. 2016). To 24 compare this with an alternative evolutionary model we used sourmash v4.8.14 (Irber et al. 2024) to 25 calculate a distance matrix with the --estimate-ani parameter. Like the Mash distance, average 26 nucleotide identity (ANI) as implemented in sourmash is computed from the Jaccard index, but 27 unlike Mash it does not make the assumption that all kmers are mutated independently, which can 28 result in Mash overestimating mutation rates (Rahman Hera et al. 2023). The kmer sketching 29 algorithm within sourmash, FracMinHash, may also outperform Mash's MinHash algorithm when 30 used on very different set sizes (Rahman Hera et al. 2023). We should caveat that ANI was 31 developed for use with prokaryote data and has not, to our knowledge, been validated with

eukaryote data, although this may predominantly be due to scalability issues when working with
 larger eukaryote genomes. We used the ape nj command in R to generate a neighbour-joining tree
 from the sourmash ANI distance matrix, which is conceptually the same tree-building approach
 that is integrated into Mashtree.

5 Exploration of cargo gene content in Gaeumannomyces elements

6 We used the aforementioned larger dataset of twenty *Starships* predicted from seven

7 Gaeumannomyces genomes to assess whether similarities in cargo gene content corresponded 8 with the patterns of relatedness described by the kmer trees. We characterised orthologous genes 9 predicted in our previous study (Hill et al. 2025) as being core, accessory or specific within the set 10 of twenty elements, and their sharedness was visualised using the R package ComplexUpset v1.3.3 11 (Krassowski 2022). After normalising cargo orthogroup presence-absence values with the base R 12 scale function, we produced a Euclidean distance matrix using the R dist function and performed 13 hierarchical clustering with the hclust function using the 'complete' agglomeration method. We 14 then compared the topology produced by hierarchical clustering with phylogenetic relationships 15 from the larger kmer-based tree using a tanglegram and calculated the normalised RF distance, as 16 described above. We also determined the location of cargo orthogroups - i.e. whether orthologous 17 genes were only found inside elements or also found in the wider genome.

18 We searched for specific genes or domains previously reported to be prevalent in Starships or with 19 assigned functional roles of particular note (Gluck-Thaler et al. 2022) using BLAST v2.10 (Camacho 20 et al. 2009) and also PFAM domain assignment from the functional annotation (Hill et al. 2025). 21 Namely: DUF3723, ferric reductase (FRE), patatin-like phosphatase (PLP), ToxA effector, spore 22 killing (Spok) genes, and associated domains. We additionally made BLAST searches against the 23 Pathogen–Host Interactions Database v4.17 (PHI-base; (Urban et al. 2025) downloaded on 1st 24 August 2024, and considered a positive match when at least 50% of genes in an orthogroup had the 25 same hit. We assessed whether gene ontology (GO) terms were enriched amongst cargo genes 26 using the R package topGO v2.52.0 (Alexa and Rahnenfuhrer 2022) with Fisher's exact test and the 27 weight01 algorithm.

In addition to previously mentioned packages, data analysis and visualisation was performed using
the following R packages: cowplot v1.1.3 (Wilke 2024), ggforce v0.4.2 (Pedersen 2024), gggenomes
v1.0.0 (Hackl *et al.* 2024), ggnewscale v0.4.10 (Campitelli 2024), ggpubr v0.6.0 (Kassambara 2023),

- 1 ggrepel v0.9.5 (Slowikowski 2024), matrixStats v1.3.0 (Bengtsson 2024), patchwork v1.2.0
- 2 (Pederson 2024), scales v1.3.0 (Wickham and Seidel 2023), tgutil v0.1.15 (Chomsky and Lifshitz
- 3 2023) and tidyverse v2.0.0 (Wickham *et al.* 2019).
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5 RESULTS AND DISCUSSION

A kmer-based approach for *Starship* phylogenetics recovers signal corresponding to host taxonomy

8 We used a kmer-based approach for phylogenetic analysis of Starships to produce a phylogenetic 9 tree of 53 entire Starship element sequences from Gluck-Thaler et al. (2022) and Hill et al. (2025), 10 encompassing 17 host genera across 6 classes in the Pezizomycotina. We found elements to 11 broadly cluster by genus, even when differing greatly in length (Fig. 1a). This contrasted with the 12 captain gene tree (Supplementary Fig. 1) and element and captain trees were frequently discordant 13 (RF distance 0.73=73% differing bipartitions; Fig. 1b), i.e. Starships that were more closely related 14 according to their kmer profiles could have very divergent captain genes. There were some 15 exceptions to element/captain discordance, for instance similar relationships in both captain and 16 element trees were observed for the Alternaria clade (Fig. 1b). Alternaria captains were also closely 17 related to some Macrophomina captains, in reflection of expected host species relationships in the 18 Dothideomycetes, however dothideomycete captains were not monophyletic as Macrophomina 19 captains were also dispersed across other clades in the captain tree (Supplementary Fig. 1). 20 Overall, 6/10 host genera with more than one genome represented were monophyletic in the 21 element tree versus 2/10 in the captain tree. Also note the placement of Mpha Derelict - a 22 previously 'unclassifiable' deactivated Starship missing the captain gene - alongside other 23 elements from Macrophomina species (Fig. 1a). Two striking disruptions of this host clustering were 24 caused by the elements Bdot_Voyager and Pvar_Chrysaor, the latter of which has been recently 25 asserted to be horizontally transferred between various eurotiomycete species (Urguhart et al. 26 2024).

To determine if these observations of clustering by host taxonomy extended more broadly across
the *Pezizomycotina*, we used the same kmer-based phylogenetics method on a larger dataset of
597 elements systematically predicted using the tool starfish by Gluck-Thaler et al. (2024)
alongside 20 *Gaeumannomyces* elements (Hill *et al.* 2025). This again recovered widescale

dataset to include only elements with predicted flanking direct repeats (343 elements + 14 *Gaeumannomyces* elements), which broadly reflected the results from the unfiltered dataset (Supplementary Fig. 3). As may be expected from the observed element/captain tree discordance in Fig. 1b, family classifications based on captains were scattered across the larger starfishpredicted element kmer trees (Fig. 1d, Supplementary Fig. 2,3). The degree of element/captain phylogenetic discordance is important because phylogenetic relationships of captains have been the predominant factor in element classification (Gluck-Thaler and Vogan 2024). Phylogenetic discordance in comparison to species relationships is frequently used as evidence for horizontal gene transfer (HGT) (Ravenhall *et al.* 2015), however there are a number of alternative biological and/or analytical factors that can also result in a similar pattern (Steenwyk *et al.* 2023). Trans-species polymorphisms, where polymorphism originates before speciation and is preserved, potentially by balancing selection, can result in genes being more similar between species than within. Trans-species polymorphisms have been reported in fungal genes associated with

17 vegetative incompatibility (Milgroom *et al.* 2018; Auxier *et al.* 2024), and such genes have been

clustering by host taxonomy, with the additional clear formation of clades broadly corresponding to

host class-level (Fig. 1c; Supplementary Fig. 2). We also performed a more conservative analysis to

minimise the risk of including kmers from the background genome, where we filtered the larger

18 found multiple times in *Starships* (Fig. 2a; Gluck-Thaler *et al.* 2022, 2024; Urquhart *et al.* 2024).

19 Even without natural selection, neutral processes such as incomplete lineage sorting;

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20 recombination and gene conversion; and gene duplication and loss can elevate levels of

21 discordance (Bjornson et al. 2024). The latter is a particularly aggravating factor for misidentifying

HGT as it can result in paralogues being mistaken as orthologues (Smith and Hahn 2021).

23 Another suite of commonly used methods to detect HGT are 'surrogate' phylogenetics methods, 24 which do not build a tree but still assess evolutionary distances e.g. using sequence similarity 25 (Ravenhall et al. 2015), however the results of surrogate methods can still be confounded by the 26 phenomena described above. A sequence similarity approach also comes with the caveat that the 27 best BLAST hit is not necessarily the closest related gene (Koski and Golding 2001), and requires 28 subjective decisions about acceptable similarity thresholds. Distinguishing the cause(s) of 29 phylogenetic discordance can be especially difficult for closely related taxa (Steenwyk et al. 2023), 30 which is relevant here as elements from different host species were scattered amongst each other 31 within genus-level clades in all kmer-based tree analyses (Fig. 1a, Supplementary Fig. 2). Due to

1 semipermeable species boundaries in fungi, interspecific hybridisation within the genus level has 2 been detected multiple times (Steenkamp et al. 2018; Steensels et al. 2021). In such cases, 3 Starships could be inherited during sexual reproduction between two different species and 4 subsequent backcrossing could leave the element as an introgression which may be mistaken as 5 having been horizontally transferred. For all the reasons outlined above, general frequency of HGT 6 events may have been overestimated in fungi (Kurland et al. 2003; Dupont and Cox 2017). The 7 kmer-based phylogenetics approach described here may be useful in certain contexts as one piece 8 of evidence towards identifying (or dismissing) HGT, but the confounding factors described above 9 would need to be assessed to have confidence that HGT has occurred (e.g. Fijarczyk and Babik 10 2015; Knowles et al. 2018). A number of the above factors contributing to discordant relationships 11 are likely to have a greater impact for more closely related species, and it may be important to focus 12 attention on apparent HGT events across greater evolutionary distances, which are presumed to be 13 rarer, at least in prokaryotes (Popa et al. 2017; Burch et al. 2023; Dmitrijeva et al. 2024).

14 In the larger kmer-based tree there were many within-genus subclades of elements with captains of 15 the same family, but also cases where minimally diverged sister elements had different captains. 16 For example: aspcri2_s00912 and aspcri1_s00891 from different host genomes within the 17 Aspergillus-9 clade had Phoenix and Prometheus captains, respectively; and aspnig6_s01954 and 18 aspnig6_s01955 from the same host genome within the clade Aspergillus-19 had Hephaestus and 19 Phoenix captains, respectively (Supplementary Fig. 2). It should be noted that there is some 20 uncertainty as to the boundaries of these elements, as in these cases elements did not have 21 predicted flanking repeats. A similar observation was made by Gluck-Thaler and Vogan (2024) for 22 Starship pairs with near identical cargo 'haplotypes' but different captain-derived families. Together 23 with the fact that captain genes are phylogenetically indistinguishable from 'lone' tyrosine 24 recombinase genes harbouring the DUF3435 domain (Gluck-Thaler et al. 2022; Hill et al. 2025), this 25 prompts the question as to whether Starships can swap the captain for a different tyrosine 26 recombinase gene, which would render the 'captain' status as somewhat transient. A previous 27 study has already reported that Starship elements can lose their captain gene to become 28 'degraded' or 'derelict' (Gluck-Thaler et al. 2022), and in another study a mechanism has been 29 suggested wherein different elements partake in cargo swapping (Urguhart et al. 2024). A similar 30 mechanism where the captain, as opposed to the cargo, is swapped to acquire a captain gene from 31 a different family could be a strategy to diversify insertions of virtually identical elements into 32 different target sites. Comparing the kmer profiles of regions surrounding CMEs could incidentally

be another fruitful avenue for understanding target site preference, as many *Starships* have been
 found to insert into other TEs and AT-rich regions but without clear patterns in, for instance, TE
 superfamily or domain (Gluck-Thaler and Vogan 2024).

4 Aside from the major clade in the larger starfish kmer tree overrepresented with elements from 5 eurotiomycete hosts, other eurotiomycete elements appeared scattered amongst other clades, 6 although there were lower support values for deeper tree nodes (Fig. 1c). It is notable that 7 eurotiomycete elements dominate the starfish dataset - of all the genomes explored by Gluck-8 Thaler and Vogan (2024), Eurotiomycetes was the class with the highest proportion of genomes 9 returning a Starship (36%; Supplementary Fig. 4). This was closely followed by the Orbiliomycetes 10 (28%), despite 16 times fewer orbiliomycete genomes having been surveyed compared to the 11 Eurotiomycetes, and orbiliomycete element clades were similarly wides pread across the kmer tree 12 (Fig. 1c). As one of the earliest diverging classes within the *Pezizomycotina* subphylum, the 13 Orbiliomycetes are distantly related to Eurotiomycetes (Li et al. 2021), and they do not share 14 ecological distributions more so than other taxonomic classes, so the underlying biological 15 explanation is unclear. The far larger *Eurotiomycetes* class comprises diverse lifestyles including 16 rock-inhabiting fungi and other extremophiles; plant and animal pathogens; lichenised and lichen-17 associated fungi; ectomycorrhizal fungi; ant mutualists; and saprotrophs (Geiser et al. 2015). The 18 Orbiliomycetes are primarily thought to be saprotrophs but include some soil-dwelling carnivorous 19 fungi which trap invertebrates (Pfister 2015). Variation in the rate of Starship recovery in the 20 genomes of different taxonomic classes could be a result of inconsistencies in assembly quality, or 21 bias within the starfish tool to recover certain elements from certain classes. However, these 22 results do suggest that there may be a relationship between the tendency for a taxonomic class to 23 have Starship elements and greater diversity of element clades.

24 While we consider this to be a promising application for kmer-based phylogenetics, we must note 25 that such methods were typically developed for whole-genome data. We are not aware of kmer-26 based phylogenetic methods having been tested on sequences such as fungal CMEs. However, 27 given that such methods are considered well-suited to viral genomes due to their high levels of 28 mutation, gene duplication and rearrangement (Zielezinski et al. 2017), CMEs would appear to be a 29 similarly appropriate use-case. Other than circumventing issues with alignment, kmer-based 30 methods also have the advantage of being more computationally efficient than alignment-based 31 phylogenetic methods, which could reduce the carbon footprint of analyses (Grealey et al. 2022).

1 There are many different approaches and tools for alignment-free sequence comparison which 2 would warrant further testing in the context of CME phylogenetics (Luczak et al. 2019; Zielezinski et 3 al. 2019). For instance, average nucleotide identity (ANI) is frequently used as a distance metric for 4 prokaryote genomes and, as implemented in sourmash, has the benefit of a more realistic 5 evolutionary model of mutation than that used by Mash (Rahman Hera et al. 2023), but whether it is 6 appropriate for eukaryote data has yet to be validated. Nonetheless, we found that trees generated 7 from ANI distance matrices produced using sourmash were broadly consistent with our Mashtree 8 results (Supplementary Fig. 5,6) and supported our conclusion that Starships predominantly 9 cluster according to host taxonomy. We were unable to produce a kmer tree for captain genes using 10 Mashtree, presumably due to the much smaller sequence length of a single gene. This meant we 11 were not able to directly compare whole element and captain trees using the same kmer-based 12 method. However, at the genome-scale, previous comparisons of alignment and kmer methods 13 suggest reasonable topological congruence (VanWallendael and Alvarez 2022; Lo et al. 2022; Van 14 Etten et al. 2023), or no greater incongruence than might be expected from using different 15 alignment-based methods (e.g. Shen et al. 2021). This also demonstrates the capacity for kmer-16 based methods to reconstruct evolutionary history and, when they incorporate models of 17 evolution, be deemed 'phylogenetic'. 18 There are some limitations to alignment-free phylogenetics methods. Unlike conventional

alignment-based phylogenetic trees, alignment-free trees do not produce branch lengths with a
scale corresponding to geological time, and so one cannot extrapolate the date of divergences.
Alignment-free methods also struggle with the reconstruction of deep nodes (Fan *et al.* 2015),
which is evident from the kmer trees we present here, although that issue is inherent to all
phylogenetics methods (Lanier and Knowles 2015). This may limit the ability of these methods to
address questions about inter-relatedness of larger CME clades but should still allow for
assessment of more recent divergences.

26 Both cargo genes and non-coding cargo content contribute to kmer-based phylogenetic

- 27 relationships between Gaeumannomyces Starships
- 28 To explore the extent to which cargo gene content corresponded with the kmer-based phylogenetic
- 29 relationships, we used twenty *Starships* previously identified from seven genomes across three
- 30 separate lineages within the genus *Gaeumannomyces*, an understudied member of the
- 31 *Magnaporthaceae* (Hill *et al.* 2025). These genomes were sequenced from five strains of the wheat

1 root pathogen species G. tritici (Gt) and two of the oat root pathogen G. avenae (Ga). Within the Gt 2 strains there is further subdivision of two strains belonging to 'type A' and three to 'type B', two 3 distinct genetic lineages present in the species (Palma-Guerrero et al. 2021). This division is 4 meaningful, as differences between the two types in terms of both virulence and genomic 5 signatures may indicate that these two types actually represent cryptic species (Hill et al. 2025). As 6 well as being a consistently amassed set of *Starships* for controlled comparison, these 7 Gaeumannomyces elements also provided major variability, ranging from ~32–688 Kbp in total 8 length and containing between 1–156 genes (Fig. 2a). It should be noted that six of the elements, 9 including both from the GtA strains, were excluded from the first phylogenetic analysis (Fig. 1a) as 10 these elements did not have predicted flanking direct repeats and so there is some uncertainty as 11 to their exact boundaries. However, we retain them here so as not to exclude potentially biologically 12 meaningful results.

13 We found that Starships with greater numbers of shared orthologous genes were frequently sister 14 elements or closely related in the kmer tree, for instance Gt-LH10_s00088, Gt-23d_s00104 and Ga-15 3aA1 s00046 (Fig. 3a). Most cases of more distantly related elements with high cargo gene 16 sharedness involved the largest and most gene-rich element, Gt-23d_s00107, which incidentally 17 also had one of the highest proportions (48%) of element-specific genes. Hierarchical clustering of 18 cargo orthologous gene content supported these results, with reasonable concordance between 19 the hierarchical clustering and kmer element tree (RF distance 0.47=47% differing bipartitions; Fig. 20 3c) and the most notable deviation between the two trees was the divergence of element Gt-21 23d s00107. Pairs of closely related elements with evident regions of syntenic cargo genes (Fig. 2a) 22 were often located on different chromosomes, suggesting previous mobilisation (e.g. Gt-23 23d_s00104 and Ga-3aA1_s00046; Ga-CB1_s00036 and Ga-3aA1_s00044; Gt-4e_s00056 and Gt-24 23d_s00105; Fig. 2b). In contrast, there were also apparently static elements, being closely related 25 and in the same orientation and position within different genomes (e.g. Gt-LH10_s00088 and Ga-26 3aA1_s00046; Gt-4e_s00058 and Gt-23d_s00103). The question of how similar elements must be 27 to be considered 'the same' is also pertinent, as there was one case of closely related elements at 28 different locations within the same host genome, although one lacking predicted flanking repeats 29 (Gt-23d s00105 and Gt-23d s00099). Elements becoming multi-copy in the genome may arise 30 from mobilisation of an ancestral element followed by sexual recombination between two hosts 31 with the element in the original and more recent genomic location, respectively (Urguhart et al. 32 2023).

2 element relatedness recovered from the kmer-based phylogenies, the nature of a kmer-based 3 approach means that intergenic content within Starships must also be implicated. Indeed, 4 repetitive DNA, introns and presumably other non-coding regions can provide important 5 phylogenetic signals (Lo et al. 2022). Here, the only two GtA elements found, one in each GtA 6 genome, contained a single cargo gene despite being 61 and 73 Kbp long. In the larger kmer tree of 7 starfish-predicted elements the GtB and Ga elements were closely related to sordariomycete 8 elements from the pathogenic rice blast fungus Pyricularia oryzae (syn. Magnaporthe oryzae) and a 9 eurotiomycete clade, while the single-gene GtA elements were in a distinct clade more closely 10 related to an element from the sordariomycete species Sporothrix brasiliensis, albeit without 11 significant branch support (Supplementary Fig. 2). S. brasiliensis is found in soils and vegetation, 12 but is also an opportunistic mammalian pathogen, primarily of humans and cats, due to its 13 temperature-dependent dimorphic lifestyle (Téllez et al. 2014). Despite being a similar length (57 14 Kbp) to the GtA elements, the S. brasiliensis element contained 19 genes, none of which showed 15 sequence similarity with the single gene found in the GtA elements. This suggests that it was 16 primarily non-coding cargo content that informed kmer-based relationships between the S. 17 brasiliensis and GtA elements. The GtA elements were also previously found to have likely 18 undergone repeat-induced point mutation (RIP) (Hill et al. 2025). RIP induces transition mutations 19 in repetitive DNA, with a particular bias for C>T mutations targeting CpA dinucleotides, and so RIP-20 like signatures in genomic sequences manifest as biases in the relative frequencies of 21 dinucleotides (Lewis et al. 2009; Hane et al. 2015). This raises the question of whether or to what 22 extent signatures of RIP, such as a higher frequency of TpA dinucleotides, influence kmer-based 23 inference of element relationships, especially in cases with extensive intergenic cargo content. 24 The whole-element kmer trees, captain tree and the patterns of shared cargo genes indicated that 25 there is no apparent species boundary for Starship content between GtB and Ga. We found no 26 evidence of similarity with GtA elements, although there was only one gene-poor GtA element with 27 which to compare. We see two possible scenarios: (1) elements were in the common ancestor of all 28 three lineages and lost in GtA or (2) elements are readily exchanged between Ga and GtB strains, 29 whether through HGT or interspecific hybridisation. Either way, together with the fact that, unlike 30 the other Gaeumannomyces elements, the GtA elements were previously found to be subject to

While cargo gene content was evidently a contributing factor to the patterns of *Gaeumannomyces*

1

element-wide RIP (Hill *et al.* 2025), *Starship* prevalence and divergence may be another symptom of
cryptic speciation between *Gt* types. Although *Gt*B and *Ga* elements appear to be closely related,

1 there was an imbalance in how cargo genes were shared, as a higher proportion of Ga cargo genes 2 had an orthologue in GtB elements (56%) than GtB cargo genes had in Ga elements (38%; Fig. 3b). 3 Additionally, there were differences in how cargo genes were distributed in the genome, with more 4 cargo gene orthogroups only found inside Ga elements that had copies integrated into the wider 5 genome in Gt strains than the reverse (Supplementary Fig. 8a). In a similar vein, Ga Starships 6 broadly had a higher proportion of orthogroups that were only inside the element compared to GtB 7 Starships (Supplementary Fig. 8b). Unpicking the differences in relative levels of duplication, 8 sharedness and location of cargo genes on different Starships may be important for determining 9 patterns of inheritance or selection.

10 *Gaeumannomyces Starship* cargos harbour a variety of putative plant-fungal interaction

11 genes, but the ToxA gene was notably absent

12 Most genes previously reported to be common, or notable, in Starships (Gluck-Thaler et al. 2022) 13 were absent from Gaeumannomyces Starship cargos, namely DUF3723, ferric reductase (FRE), 14 patatin-like phosphatase (PLP) and spore-killer (Spok1) genes. There was one putative NOD-like 15 receptor (NLR) located on element Gt-23d_s00107 (Fig. 2). The NLR contained a central NACHT 16 domain - the most common nucleotide binding and oligomerization (NOD) domain in fungal NLRs 17 (Daskalov et al. 2020) - a WD40 repeat domain, and a sesA N-terminal domain of unknown function 18 (PF17107) that is more common in ascomycete NLRs (Daskalov et al. 2020). This sesA-NACHT-WD 19 structure is also found in the NWD3 gene of the model experimental fungus Podospora anserina 20 (Daskalov et al. 2012). While the function of sesA is not established, other members of the P. 21 anserina NWD gene family are involved in heterokaryon/vegetative incompatibility or self/non-self 22 recognition, which has also been hypothesised to contribute to an innate fungal immune system 23 (Paoletti and Saupe 2009; Uehling et al. 2017).

24 Of particular note was the absence of the necrosis-inducing ToxA effector in the Gaeumannomyces 25 cargos, which is located in Starships in three other wheat pathogens – Pyrenophora tritici-repentis, 26 Parastagonospora nodorum and Bipolaris sorokiniana (McDonald et al. 2019; Bucknell et al. 2024). 27 Py. tritici-repentis and Pa. nodorum are known to frequently co-infect wheat (Abdullah et al. 2020), and Py. tritici-repentis and B. sorokiniana together form a leaf blight disease complex (Kumar et al. 28 29 2002). While we could not find information on potential co-occurrence of *Gaeumannomyces* spp. 30 and other wheat pathogens in the literature, based on their global distributions and the global 31 distribution of the wheat crop, it is highly likely that Gaeumannomyces spp. also cooccur with one

or more of these wheat pathogens (Větrovský *et al.* 2020), which would have provided the
 opportunity to exchange *Starships*. However, all three species containing ToxA reside in a different
 class, *Dothideomycetes*, in the order *Pleosporales*. At the present time, the lack of ToxA in the
 Gaeumanomyces Starships is consistent with our kmer tree results indicating a host relatedness
 boundary to *Starship* exchange.

6 Regarding whether the Gaeumannomyces Starship cargos exhibited a core functional role, GO term 7 enrichment analysis of cargo genes reflected high variability as there was no significant enrichment 8 in most elements, although ubiquinone biosynthesis and regulation of translational fidelity were 9 significantly enriched in Ga-3aA1 s00044 and Ga-CB1 s00036, respectively. There were no cargo 10 orthogroups that were core to all elements, but five orthogroups were present in at least 50% of the 11 elements (Supplementary Fig. 7). One was predicted to be a carbohydrate-active enzyme (CAZyme) 12 belonging to glycosyltransferase family 2 (GT2; Fig. 2). The GT2 family includes enzymes necessary 13 for the synthesis of chitin (Lairson et al. 2008), which is required for structural integrity of the fungal 14 cell wall (Bowman and Free 2006). A GT2 enzyme has been demonstrated to be required for the 15 disease-causing abilities of the wheat pathogens Zymoseptoria tritici and Fusarium graminearum 16 (King et al. 2017). Expansion and contraction of GT2 CAZyme genes have been shown to be strong 17 predictors of phytopathogenicity and saprotrophy, respectively (Dort et al. 2023), but GT2 genes are 18 also expanded in mycorrhizal lineages (Rosling et al. 2024), suggesting a key role in both 19 pathogenic and mutualistic plant-fungal interactions. In addition to the prevalent GT2 orthogroup, 20 other CAZymes and CAZyme families were found in various elements: sterol 3ß-glucosyltransferase 21 (GT1), glycoside hydrolase (GH) family 33, α-galactosidase (CBM35+GH27), and glucose-methanol-22 choline oxidoreductase (AA3_2) in elements Ga-3aA1_s00044 and Ga-CB1_s00036; chitinase 23 (GH18) in Gt-LH10_s00085; and another GT2 CAZyme in Gt-23d_s00099.

24 Multiple Gaeumannomyces Starship cargo genes had BLAST hits to genes in the PHI-base 25 database, which compiles and curates experimentally verified genes implicated in pathogen-host 26 interactions (Urban et al. 2025). This included four genes in the closely related P. oryzae which have 27 been associated with virulence in barley and rice, two of which are implicated in calcium signalling 28 and two transcription factors, and the previously mentioned GT2 CAZyme which has been 29 associated with virulence of Zymoseptoria tritici and Fusarium graminearum in wheat leaves and 30 floral spikes, respectively (Table 1). Intriguingly, the chitinase CAZyme cargo gene in element Gt-31 LH10 s00085 matched a chitinase gene in the mycoparasite *Trichoderma virens* which is

associated with its virulence towards the basidiomycete plant pathogen *Rhizoctonia solani*.
 Trichoderma species are known for endophytic colonisation of plants, particularly roots, and in
 some cases can reduce disease via both inducing plant resistance and direct antagonism of other
 fungi (Harman *et al.* 2004). Two further orthogroups had BLAST hits to CAZyme genes in PHI-base
 (Xyp1 and PELB/CcpelA), however as these were not previously flagged during CAZyme annotation
 (Hill *et al.* 2025) there remains some uncertainty as to their function.

7 Also of note is that none of the biosynthetic gene clusters (BGCs) previously identified in the 8 Gaeumannomyces genomes were present in any Starships, but two cargo genes had hits to PHI-9 base genes implicated in secondary metabolite synthesis in *Fusarium* species, namely nrps5 and 10 FUG1. The latter is involved in fumonisin synthesis in Fusarium verticillioides (Ridenour and Bluhm 11 2017), but is located on a separate locus to the fumonisin (FUM) gene cluster, suggesting that it may 12 play a regulatory role, as biosynthesis transcription factors can frequently be located outside of 13 contiguous BGCs (Kwon et al. 2021). FUG1 was also previously found to have orthologues across 14 Ascomycota, including in Gt (Ridenour and Bluhm 2017). The non-ribosomal peptide synthetase 15 nrps5 gene is located alongside nrps9 in an eight-member BGC cluster in *Fusarium* species, which 16 produces fusaoctaxin A and is essential to virulence of F. graminearum in wheat (Jia et al. 2019). 17 However, none of the genes surrounding the nrps5-like gene in the Gaeumannomyces elements 18 showed similarity to the other nrps5/9 cluster members. We also found an uncharacterised 19 candidate secreted effector protein (CSEP) gene in one element (Ga-CB1_s00036). Intriguingly this 20 CSEP was located within a region that was highly syntenic with another element (Ga-3aA1 s00044) 21 but the CSEP was not present in that second element (Fig. 2), underlining the dynamism of Starship 22 cargos.

23

24 Conclusions

Here, we provide evidence of a difference in evolutionary history between *Starship* elements in their
entirety versus their captain genes. This raises the question: is it more important to define *Starships*by their mode of mobilisation – i.e. the tyrosine recombinase captain gene – or the cargo of genes
and non-coding/repetitive content mobilised? The answer to that question will depend on the
context in which the question is asked; namely, whether the enquiry at hand is to understand the
mechanism of transposition, or to understand how elements and their cargos evolve and impact

1 host fitness. Whole-element relationships are easily assessed using kmer-based phylogenetic 2 methods, which has revealed previously hidden signals corresponding to host taxonomy. These 3 methods also allow us to assess relationships including 'degraded' elements where captain and/or 4 DRs/TIRs have been lost. By accounting for the composition of *Starships* without being hampered 5 by alignment issues caused by repeats, indels, duplications, rearrangements and inversions, or 6 lack of available sequences in general, kmer-based phylogenetic methods can help to refine the 7 existing haplotype-based classification of CMEs. Beyond informing classification, this new 8 approach could also provide context and new insights to address fundamental outstanding 9 questions regarding Starships and other CMEs, such as the evolutionary origins of elements, the 10 prevalence of HGT and the role of elements in the host genome.

11

12 DATA AVAILABILITY STATEMENT

All original data sources used in this study are cited in the text. Analysis scripts are available at
https://github.com/Rowena-h/StarshipTrees.

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Table 1. PHI-base genes with BLAST hits in Gaeumannomyces Starship cargos. * Pectate lyases CcpelA and PELB matched to the same 1

orthogroup. † *Pyricularia oryzae = Magnaporthe oryzae* 2

PHI-base ID	Gene	Function	Species	Mutant phenotype	Plant host
PHI:7559	FgGT2	glycosyltransferase	Fusarium graminearum	loss of pathogenicity	Triticum aestivum
PHI:2057	MoPLC1	modulator of calcium flux	Pyricularia oryzae †	loss of pathogenicity	Oryza sativa
PHI:3837	Sre1	iron-sensitive transcription factor	Bipolaris maydis	reduced virulence	Zea mays
PHI:2476	CcpelA *	pectate lyase	Colletotrichum coccodes	reduced virulence	Solanum lycopersicum
PHI:222	PELB *	pectate lyase	Colletotrichum gloeosporioides	reduced virulence	Persea americana
PHI:9042	nrps5 (FGSG_13878)	non-ribosomal peptide synthetase	Fusarium graminearum	reduced virulence	Triticum aestivum
PHI:6262	FUG1	role in pathogenicity and fumonisin biosynthesis	Fusarium verticillioides	reduced virulence	Zea mays
PHI:3315	conx1	Zn ² Cys ⁶ transcription factors	Pyricularia oryzae †	reduced virulence	Oryza sativa
PHI:3308	cnf1	Zn ² Cys ⁶ transcription factors	Pyricularia oryzae †	reduced virulence	Hordeum vulgare
PHI:2113	Kin4	Ca ²⁺ /CAM-dependent serine/threonine protein kinases	Pyricularia oryzae †	reduced virulence	Hordeum vulgare
PHI:144	CHT42	chitinase	Trichoderma virens	reduced virulence	Rhizoctonia solani
PHI:3210	FfSge1	morphological switch regulator	Fusarium fujikuroi	unaffected pathogenicity	Oryza sativa
PHI:1603	GzOB044	transcription factor	Fusarium graminearum	unaffected pathogenicity	Triticum
PHI:1377	GzC2H040	transcription factor	Fusarium graminearum	unaffected pathogenicity	Triticum
PHI:6639	Modnm3	dynamin	Pyricularia oryzae †	unaffected pathogenicity	Oryza sativa
PHI:6613	Mocapn14	calpain	Pyricularia oryzae †	unaffected pathogenicity	Oryza sativa
PHI:124206	Xyp1 (Uv8b_02447)	cell wall degrading enzymes	Ustilaginoidea virens	increased virulence	Oryza sativa

1 Figure 1. Kmer-based phylogenetic analyses of Starship elements. a) An unrooted kmer-based 2 phylogenetic tree of 53 Starships – 39 curated elements from 33 Pezizomycotina species (Gluck-3 Thaler et al. 2022; Gluck-Thaler and Vogan 2024) and 14 predicted by starfish from 4 Gaeumannomyces species (Hill et al. 2025). Grey branches indicate bootstrap support < 70. Tip 5 points are coloured by genus and the outer ring indicates total element length. Black stars beside 6 tips highlight elements from another genus in an otherwise monophyletic clade. b) A tanglegram 7 comparing the topology of the kmer-based element tree in Fig. 1a and a maximum-likelihood gene 8 tree of the corresponding captain genes (see Supplementary Fig. 1 for the unrooted captain tree). 9 Both trees are arbitrarily rooted with the Msp_Enterprise element. Grey branches indicate bootstrap 10 support < 70. c) An unrooted kmer-based phylogenetic tree of 617 Starships predicted with starfish 11 (Gluck-Thaler and Vogan 2024; Hill et al. 2025), with grey branches indicating bootstrap support < 12 70. Genus-level monophyletic clades are highlighted and labelled, with the number of elements in 13 each clade shown in brackets. Clades and tips are coloured by host taxonomic class. See 14 Supplementary Fig. 2 for element tip labels and captain-based family classifications. d) A summary 15 of the kmer-based tree in Fig. 1c with genus-level monophyletic clades collapsed. The outer grid 16 summarises Starship family classifications based on captain genes for the elements in each clade, 17 with a darker grid cell colour indicating a higher proportion of the elements within the clade 18 belonging to that family. Clades with no grid cells did not have any classified captain data. 19 Figure 2. Summary of the Gaeumannomyces Starships predicted using starfish by Hill et al. (2025). 20 a) A schematic of the 20 Starships ordered by phylogenetic relationships taken from Supplementary 21 Fig. 2. Synteny between orthologous genes in neighbouring elements is indicated with grey lines. A 22 nested element (Ga-3aA1_s00047) is highlighted in yellow. Common genes are coloured with 23 known functions and the presence of flanking direct repeats (DRs) or tandem inverted repeats 24 (TIRs) are indicated with an asterisk. Genes of note are labelled in black boxes. b) Ideograms 25 showing the position of the 20 Starships across pseudochromosomes, adapted from Hill et al. 26 (2025). ID numbers correspond to the bolded numbers for each element in Fig. 2a. Elements with 27 flanking DRs are indicated with asterisks either side of the element ID number. 28 Figure 3. Comparison of cargo gene content similarity across Gaeumannomyces Starships. a) An

30 and elements with at least five unique cargo genes (specific). Elements are ordered by phylogenetic

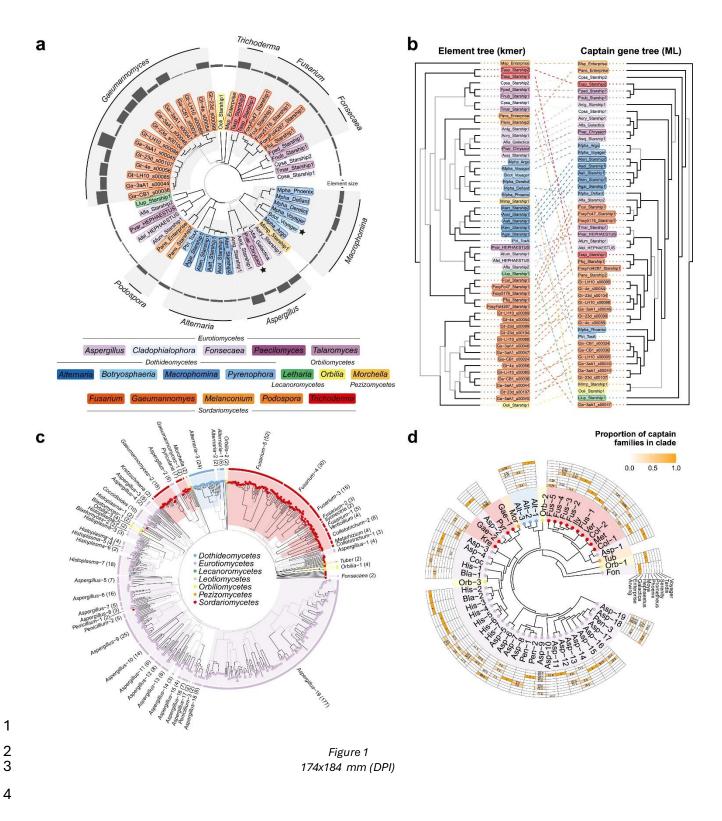
upset plot indicating groups of elements which share at least five orthologous genes (accessory),

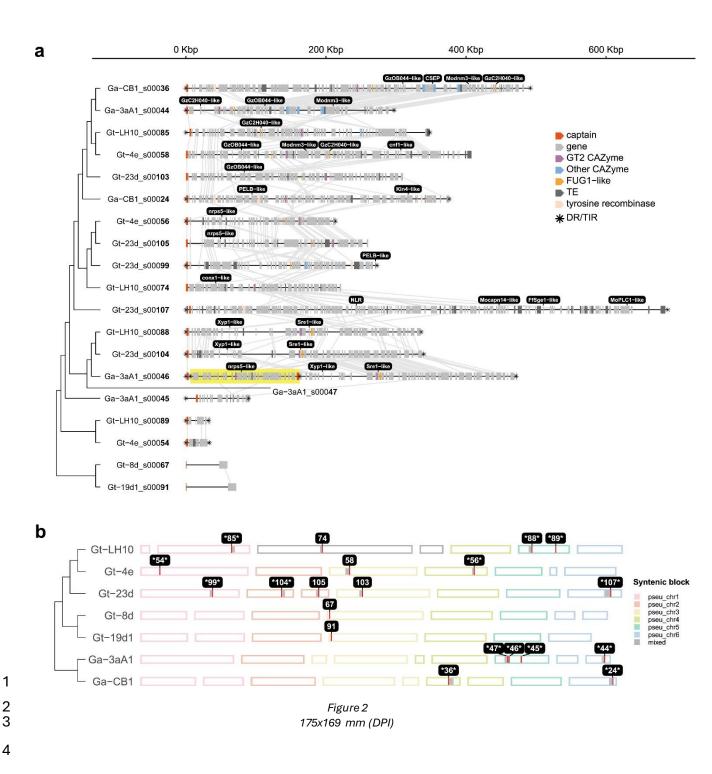
29

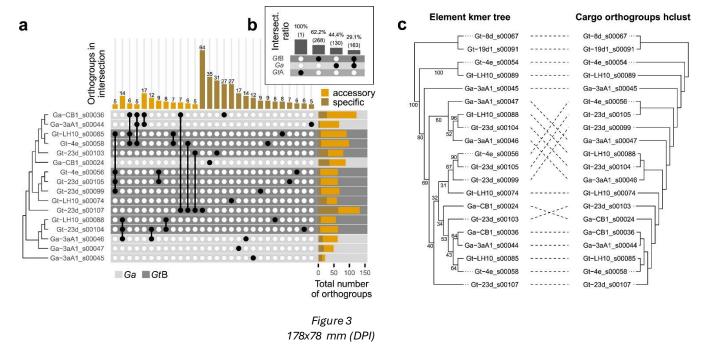
31 relationships taken from Supplementary Fig. 2. Total number of cargo orthogroups is shown in the

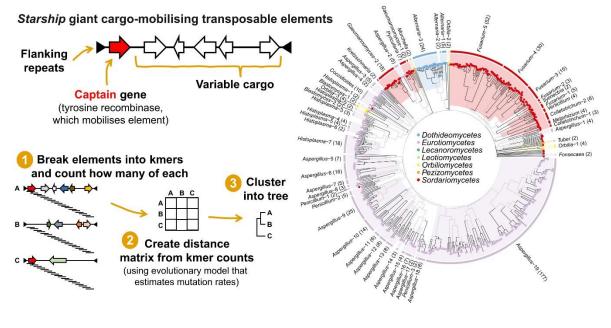
- 1 right-hand bar plot with the proportion of accessory and specific cargo genes coloured per
- 2 element. Element rows are coloured by host lineage. A representation of all shared accessory
- 3 orthologous genes is given in Supplementary Fig. 7. (b) An upset plot indicating the ratio of
- 4 orthologous genes shared across lineage/species boundaries. (c) A tanglegram comparing the
- 5 topology of *Gaeumannomyces* elements taken from Supplementary Fig. 2 and a hierarchical
- 6 clustering of cargo orthologous gene presence/absence.

7









Graphical Abstract